

Climate at high-obliquity

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Abstract

The question of climate at high obliquity is raised in the context of both exoplanet studies (e.g. habitability) and paleoclimates studies (evidence for low-latitude glaciation during the Neoproterozoic and the "Snowball Earth" hypothesis). States of high obliquity, ϕ , are distinctive in that, for $\phi \geq 54^\circ$, the poles receive more solar radiation in the annual mean than the Equator, opposite to the present day situation. In addition, the seasonal cycle of insolation is extreme, with the poles alternatively "facing" the sun and sheltering in the dark for months.

The novelty of our approach is to consider the role of a dynamical ocean in controlling the surface climate at high obliquity, which in turn requires understanding of the surface winds patterns when temperature gradients are reversed. To address these questions, a coupled ocean-atmosphere-sea ice GCM configured on an aquaplanet is employed. Except for the absence of topography and modified obliquity, the set-up is Earth-like. Two large obliquities ϕ , 54° and 90° , are compared to today’s Earth value, $\phi=23.5^\circ$.

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Three key results emerge at high obliquity: 1) despite reversed temperature gradients, mid-latitudes surface winds are westerly and trade winds exist at the equator (as for $\phi=23.5^\circ$) although they are confined to the summer hemisphere, 2) a habitable planet is possible with mid-latitude temperatures in the range 300-280 K and 3) a stable climate state with an ice cap limited to the equatorial region (possibly explaining Neoproterozoic paleoproxies) is unlikely.

We clarify the dynamics behind these features (notably by an analysis of the potential vorticity structure and conditions for baroclinic instability of the atmosphere). Interestingly, we find that the absence of a stable partially glaciated state is critically linked to the absence of ocean heat transport during winter, a feature ultimately traced back to the high seasonality of baroclinic instability conditions in the atmosphere.

1. Introduction

Exoplanets that have the potential to harbor life might have large obliquities. If obliquity exceeds 54 degrees, polar latitudes receive more energy per unit area, in the yearly mean, than do equatorial latitudes and undergo a very pronounced seasonal cycle, a challenge for the development of life (Fig. 1 and further discussion below). A key aspect with regard to habitability is to understand how the atmosphere and ocean of this high obliquity planet work cooperatively together to transport energy meridionally, mediating the warmth of the poles and the coldness of the equator. How extreme are seasonal temperature fluctuations? Should one expect to find ice around the equator?

12 Additional motivation for the study of climate at high obliquity is found
13 in Earth's climate history which shows evidence of large low-latitude glacia-
14 tions during the Neoproterozoic (~ 700 - 600 My ago). An interpretation is
15 that Earth was completely covered with ice at these periods, the so-called
16 "Snowball Earth" hypothesis (Kirschvink, 1992; Hoffman et al., 1998). This
17 hypothesis raises challenging questions about the survival of life during the
18 long (~ 10 My) glacial spells and requires an escape mechanism out of a fully
19 glaciated Earth (see Pierrehumbert et al., 2011, for a review). An alternative
20 to the "Snowball Earth" state is that Earth was in a high obliquity configu-
21 ration with a cold equator and warm poles. The interpretation is then that
22 large ice caps existed in equatorial regions while the poles remained ice-free.
23 From a climate perspective (leaving aside other difficulties, see Hoffman and
24 Schrag, 2002), it is unclear if such a climate state can be achieved in the
25 coupled system. Recent work showed that the existence of large stable ice
26 caps critically depends on the meridional structure of the ocean heat trans-
27 port (OHT): sea ice caps extend to latitudes at which the OHT has maxima
28 of convergence (Rose and Marshall, 2009; Ferreira et al., 2011). To address
29 such questions, one needs to consider dynamical constraints on the ocean
30 circulation and understand the pattern of surface winds.

31 High values of obliquity particularly challenge our understanding of cli-
32 mate dynamics because the poles will become warmer than the equator and
33 we are led to consider a world in which the meridional temperature gradients,
34 and associated prevailing zonal wind, have the opposite sign to the present
35 earth, and the equatorial Hadley circulation exists where it is cold rather
36 than where it is warm.

37 The problem becomes even richer when one considers the dynamics of an
38 ocean, should one exist below. A central question for the ocean circulation is:
39 what is the pattern of surface winds at high obliquities?, for it is the winds
40 that drive the ocean currents and thermohaline circulation. How do atmo-
41 spheric weather systems growing in the easterly sheared middle latitude jets
42 and subject to a global angular momentum constraint, combine to determine
43 the surface wind pattern. Should one expect middle latitude easterly winds?
44 If not, why not?

45 Here, possible answers to some of these questions are sought by experi-
46 mentation with a coupled atmosphere, ocean and sea-ice General Circulation
47 Model (GCM) of an earth-like aquaplanet: i.e. a planet like our own but on
48 which there is only an ocean but no land. The coupled climate is studied
49 across a range of obliquities (23.5, 54 and 90 degrees).

50 The novelty of our approach is the use of a coupled GCM in which both
51 fluids are represented by 3d fully dynamical models. To our knowledge, previ-
52 ous studies of climate at high-obliquity only employed atmosphere-only GCM
53 or atmospheric GCM coupled to a slab ocean (e.g. Jenkins, 2000; Donnadieu
54 et al., 2002; Williams and Pollard, 2003). There, the ocean is treated as a
55 “swamp” without OHT or with a prescribed OHT or with a diffusive OHT.
56 Other studies are based on Energy Balance Models (EBM, see North et al.,
57 1981, for a review) in which dynamics is absent and all (atmosphere+ocean)
58 transports are represented through a diffusive process (e.g. Williams and
59 Kasting, 1997; Gaidos and Williams, 2004; Spiegel et al., 2009).

60 In our simulations, the OHT is realized as part of the solution. Our
61 approach allows us to document the ocean circulation at high-obliquity and

62 to explore, in a dynamically consistent way, the role of the ocean in setting
63 the climate. We present some of the descriptive climatology of our solutions
64 and how they shed light on the deeper questions of coupled climate dynamics
65 that motivate them. We focus on understanding the ocean circulation and its
66 forcing. This leads us into a detailed analysis of the mechanisms responsible
67 for the maintenance of surface winds. We notably elucidate the conditions for
68 baroclinic instability and storm track development in a world with reversed
69 temperature gradients. Our analysis of the atmospheric dynamics and energy
70 transports are also a novelty of this study.

71 We use an Aquaplanet set up, a planet entirely covered with a 3000 m-
72 deep ocean. The previous studies mentioned above used present-day and
73 Neoproterozoic continental distributions. One might be concerned by the
74 absence of topographical constraints in our Aquaplanet. Fig. 2 however illus-
75 trates that the energy transports simulated in Aquaplanet at $\phi=23^\circ$ compare
76 favorably with present-day observed transports (in terms of shape, magni-
77 tude and partitioning between ocean and atmosphere – see further discussion
78 in Marshall et al. (2007)). That is, the main features of the ocean and atmo-
79 sphere circulations of our present climate are well captured in an Aquaplanet
80 set-up. Although continental configurations can influence the climate state
81 and are indeed important to explain some aspects of present and past Earth’s
82 climate (Enderton and Marshall, 2009; Ferreira et al., 2010), such a level of
83 refinement is not warranted for a first investigation of the coupled system at
84 high obliquity.

85 A short description of our coupled GCM is given in section 2. Section 3
86 focuses on the atmospheric dynamics and the maintenance of surface wind

87 patterns. Energy transports and storage in the coupled system are described
88 in section 4. Implication of our results for exoplanets’ habitability and Snow-
89 ball Earth are discussed in section 5. Conclusions are given in section 5. An
90 appendix briefly describes simulations at 54 obliquity.

91 **2. The coupled GCM**

92 We employ the MITgcm (Marshall et al., 1997, 2004) in a coupled ocean-
93 atmosphere-sea ice “aquaplanet” configuration. All components use the same
94 cubed-sphere grid at coarse C24 resolution (3.75° at the equator), ensuring as
95 much fidelity in model dynamics at the poles as elsewhere. The ocean com-
96 ponent is a primitive equation non-eddy-resolving model, using the rescaled
97 height coordinate z^* (Adcroft et al., 2004) with 15 levels and a flat bot-
98 tom at 3 km depth (chosen to approximate present-day ocean volume, and
99 thus total heat capacity). Effects of mesoscale eddies are parametrized as an
100 advective process (Gent and McWilliams, 1990) and an isopycnal diffusion
101 (Redi, 1982). Convection is implemented as an enhanced vertical mixing of
102 temperature and salinity (Klinger et al., 1996). Vertical diffusivity is uniform
103 at $3 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$.

104 The atmosphere is a 5-level¹ primitive equation model with moist physics
105 based on SPEEDY (Molteni, 2003). These include a four-band long and
106 shortwave radiation scheme with interactive water vapor channels, diagnostic
107 clouds, a boundary layer parameterization and mass-flux scheme for moist
108 convection. Details of these parameterizations (substantially simpler than

¹Tick marks on the pressure axis of Figs. 3 and 8 correspond to the mid- and interface levels of the vertical grid, respectively.

109 used in high-end models) are given in Rose and Ferreira (2012). Present-
110 day atmospheric CO₂ is prescribed. Insolation varies seasonally but there
111 is no diurnal cycle (eccentricity is set to zero and the solar constant S_o to
112 1366 W m⁻²).

113 The sea ice component is a 3-layer thermodynamic model based on Win-
114 ton (2000) (two layers of ice plus surface snow cover). Prognostic variables
115 include ice fraction, snow and ice thickness, and ice enthalpy accounting
116 for brine pockets with an energy-conserving formulation. Ice surface albedo
117 depends on temperature, snow depth and age (Ferreira et al., 2011). The
118 model achieves machine-level conservation of heat, water and salt, enabling
119 long integrations without numerical drift (Campin et al., 2008). The reader
120 is referred to Ferreira et al. (2010) for further details about the set-up.

121 Integrations of the coupled system (to statistical equilibrium) are carried
122 out for three values of obliquity ϕ : 23.5, 54, and 90 degrees (Aqua23, Aqua54,
123 and Aqua90, respectively). All other parameters remain the same.

124 **3. Momentum transport: maintenance of the surface winds**

125 *3.1. Insolation and Temperature distribution*

126 For present-day obliquity ($\phi=23.5^\circ$), the annual-mean incoming solar ra-
127 diation at the top of the atmosphere is largest at the Equator and decreases
128 by $\sim 50\%$ toward the poles (Fig. 1, top). At $\phi=90^\circ$, the pattern is reversed,
129 with a Pole-to-Equator decrease of about 30%. For $\phi=54^\circ$, the profile is
130 nearly flat.

131 Over the seasonal cycle, all three obliquities show rather similar behaviors.
132 The summer/winter hemisphere contrast, however, is the strongest at $\phi=90^\circ$

133 and the weakest at $\phi=23.45^\circ$ (and would disappear for $\phi=0^\circ$). It is the
134 amplitude of the seasonal contrast that dictates the annual mean values.
135 At $\phi=23.5^\circ$, the equator receives a steady $\sim 400 \text{ W m}^2$ throughout the year
136 while the solar input at the poles barely reaches 500 W m^2 in summer and
137 vanishes in winter. During Boreal winter at $\phi=90^\circ$, the Northern Hemisphere
138 (NH) is almost completely in the dark while the South pole receives a full
139 1300 W m^2 . In contrast, the Equator oscillates between a medium solar input
140 ($\leq 500 \text{ W m}^2$) and near darkness, and so has a modest annual-mean value.

141 Focusing on the 90° case, the annual-mean potential temperature distri-
142 bution reflects the annual mean insolation (Fig. 4, top left): cold at the
143 equator and warm at the poles. Interestingly, we observe a rather mild cli-
144 mate, with surface temperatures within a narrow range (275-295 K) and a
145 weak Equator-to-Pole differences of 20 K. For comparison, Equator-to-Pole
146 differences are about 30 K in Aqua23 and in the present-day climate.

147 The climate exhibits more surprising features on a seasonal basis. In
148 January (Fig. 4, top right), despite the long NH darkness, the north pole
149 remains well above freezing point (the minimum temperature of 285 K is
150 reached in March) while temperatures at the South pole, receiving about
151 1300 W m^2 , “only” reach 315 K.

152 In the ocean Fig. 4, we also observe a cold Equator and warm poles and
153 in reverse to present day conditions, a large stratification is found at the pole
154 and a weak stratification at the Equator. Seasonal variations are restricted
155 to the upper 200 m. In January, the upper ocean warms up to 26°C at the
156 South Pole and cools down to 14°C at the North pole. The Equator remains
157 at a steady 2°C (again well above the freezing point, about -1.9°C for our

158 salty ocean).

159 How are such mild annual mean temperatures and weak seasonal varia-
160 tions achieved at $\phi=90^\circ$, despite the large incoming solar fluctuations? One
161 can isolate three main mechanisms that ameliorate the extremes: atmo-
162 spheric energy transport, oceanic energy transport and seasonal heat storage
163 in the ocean. Fig. 5 shows the ocean, atmosphere and total energy trans-
164 ports. The annual transports are equatorward nearly everywhere (in opposite
165 direction to the transports seen at 23.5° obliquity and on Earth, see Fig. 2),
166 but directed down the large-scale temperature gradient. Interestingly, both
167 ocean and atmosphere transports are essentially limited to one season. They
168 are large during summertime and nearly vanish in winter (see for example
169 January in Fig. 5).

170 Both ocean and atmosphere energy transports are a consequence of at-
171 mospheric circulation, directly in the atmosphere and indirectly in the ocean,
172 which is of course driven by surface winds. In this context, a key question is
173 to understand the development of synoptic scale eddies in the atmosphere.
174 Synoptic systems facilitate these transports: in the atmosphere, they are
175 very efficient at transporting energy while their eddy momentum fluxes also
176 maintain the surface winds which drive the ocean:

$$\overline{\tau_x} = - \int_0^\infty \partial_y (\overline{\rho u'v'}) dz \quad (1)$$

177 where τ_x is the zonal surface wind stress applied to the ocean, ρ the density
178 of air, overbars denote a time and zonal average, and primes a deviation from
179 this average.

180 We now go on to explore the dynamics of the atmospheric circulation of
181 Aqua90.

182 *3.2. Development of the storm track*

183 Since the circulation in Aqua90 is very strongly seasonal, we will focus
 184 on one month of the year, January, which corresponds to wintertime in the
 185 NH and summertime in the SH. In January, large temperature gradients
 186 (~ 40 K) develop in the mid-latitudes of the SH (Fig. 4, top right). In the
 187 NH, temperature gradients are very weak (~ 10 K), partly because there is
 188 little contrast of incoming solar radiation across the hemisphere (Fig. 1,
 189 bottom) and partly because the atmosphere is nearly uniformly heated from
 190 below by the ocean (see below).

191 To determine the propensity to baroclinic instability, we compute the
 192 meridional gradients of mean quasigeostrophic potential vorticity (QGPV)
 193 \bar{q}_y :

$$\bar{q}_y = \beta - \bar{u}_{yy} + f \frac{\partial}{\partial p} \left(\frac{\bar{u}_p}{\bar{\theta}_p} \right) \quad (2)$$

194 where \bar{u} is the mean zonal wind, $\bar{\theta}$ the mean potential temperature, f the
 195 Coriolis parameter and β its meridional gradient.

196 The QGPV gradient is computed on model levels and the discretization
 197 of Eq. (2) accounts for the upper and lower boundary conditions, following
 198 the approach of Smith (2007). That is, the QGPV gradient shown in Fig.
 199 6 effectively includes a representation of the top and bottom PV sheets,
 200 as in the generalized PV definition of Bretherton (1966). In the pressure
 201 coordinate system used here, we approximate $\omega=0$ at the surface (the vertical
 202 velocity ω is exactly zero at the top of the atmosphere). The relative vorticity
 203 term \bar{u}_{yy} is neglected here for simplicity: its inclusion does not change our
 204 conclusion but results in noisier fields.

205 In Aqua23, \bar{q}_y is negative near the surface and positive throughout the

206 troposphere: the surface temperature gradient dominates over β near the
 207 surface while the stretching term (due to sheared wind) reinforce β aloft
 208 (see Fig. 7, top, for the zonal wind profiles). Both hemispheres exhibit
 209 a clear gradient reversal in the vertical (slightly larger in the SH) and the
 210 (necessary) condition for baroclinic instability is satisfied (Charney-Stern
 211 criteria). Storm tracks are thus expected to develop in both hemispheres.
 212 In Aqua90, however, surface temperature gradients are reversed and now
 213 reinforce the β contribution. Hence, surface QGPV gradients \bar{q}_y in Aqua90
 214 are positive and large, particularly in the summer hemisphere. In the mid-
 215 troposphere, the strongly easterly sheared winds in the Summer hemisphere
 216 result in a negative stretching term, large enough to overcome β . There
 217 is a clear (and ample) gradient reversal in the SH. In contrast, in the NH,
 218 where temperature gradients and wind shear are weak, \bar{q}_y is one-signed and
 219 dominated by β (except close to the surface where both β and the surface
 220 temperature contribution combine). We thus expect a storm track to develop
 221 in the SH, but not in the NH.

222 This is indeed the case as shown by the Reynolds stresses $\overline{u'v'}$ developing
 223 near 30-40°S in January (Fig. 7, top left) and the large eddy heat flux in
 224 the atmosphere at these latitudes (Fig. 9, bottom). The presence of a storm
 225 track is also revealed by large-scale precipitation in the mid-latitudes (due
 226 to the equatorward advection in synoptic eddies of warm-moist air parcels
 227 toward the cold Equator, see Fig. 8, bottom).

228 The negative Reynolds stresses in the SH can be interpreted as due to
 229 Rossby waves propagating away from the baroclinically unstable zone into
 230 the Tropics (see Held, 2000). Consistent with Eq. (1), the eddy momentum

231 convergence sustains surface westerly winds near 50°S and trades winds in
232 the deep tropics (Fig. 7).

233 It is interesting to contrast Aqua90's stability properties with those of
234 Aqua23. Consistent with the QGPV analysis above, storm-tracks are co-
235 existing in summer and winter hemispheres, as evidenced by the large (pole-
236 ward) eddy momentum fluxes in both hemispheres (Fig. 7 top right). As a
237 consequence, surface westerly winds are sustained in the midlatitudes at all
238 seasons, as well as a sizable eddy energy transport (not shown). The per-
239 sistence of surface winds is key for understanding the oceanic temperature
240 structure (see below). In Aqua90, surface winds vanish in winter because
241 there are no eddies to sustain them.

242 In Aqua90, the atmospheric meridional overturning circulation in Jan-
243 uary (Fig. 8, top) is thermally direct as in Aqua23 (upwelling in the sum-
244 mer/southern hemisphere and downwelling in the winter/northern hemi-
245 sphere)², but has an hemispheric latitudinal extent. This circulation is likely
246 the result of the merging of the Ferrel and Hadley cells. The Ferrel cell is
247 expected to be clockwise given the sense of the eddy momentum fluxes in the
248 upper troposphere (Fig. 7, upper left). Meanwhile, the Hadley cell in the
249 SH is expected to be reversed (compared to the low obliquity case) because
250 of the reversed temperature gradient. As a result, the two cells circulate in
251 the same sense and appear as one single cell. In July, the upwelling branch
252 approaches the North pole and the overturning cell is of counterclockwise
253 from 5°S to 70°N (not shown).

²The jump of the Hadley circulation out of the boundary layer between 5°S and 0° may be explained by the mechanism of Pauluis (2004).

254 4. Energy transports and storage

255 4.1. *The ocean and atmosphere energy transports*

256 In Aqua90, the ocean and atmosphere both transport energy northward
257 in January, i.e. from the winter to the summer hemisphere and down the
258 large-scale temperature gradient. This is readily rationalized following the
259 previous analysis in section 3.

260 The decomposition of the AHT into mean and eddy components is shown
261 in Fig. 9. In January (bottom), both components are northward nearly ev-
262 erywhere, from the warm into the cold hemisphere. The large eddy heat flux
263 in the SH and near zero flux in the NH are consistent with the development
264 of baroclinic instability in the summer hemisphere only. The down-gradient
265 direction of the flux is associated with the extraction of available potential
266 energy from the mean flow. The eddy heat flux peaks near 50°S at 5 PW, a
267 value comparable to that seen in Aqua23 (although in the latter case eddy
268 heat fluxes exist in both hemispheres).

269 The transport due to the mean flow (largely the axisymmetric Hadley
270 circulation as there is no stationary wave component in our calculations)
271 accounts for most of the atmospheric heat transport in the tropics and all of
272 it in the Northern Hemisphere. Even at the latitudes of the storm track the
273 mean component is not negligible. This is in contrast with Aqua23 where
274 the mean flow contribution to AHT is small everywhere except in the deep
275 tropics.

276 Note that the AHT associated with the Hadley circulation has a strong
277 symmetry around the Equator. Therefore, the July (not shown) and January
278 Hadley cell transports largely oppose one another. In the annual mean, the

279 mean circulation contribution nearly cancels out and the AHT is dominated
280 by the eddy flux transport (Fig. 9, top).

281 The January OHT also transports heat from the pole toward the Equator
282 (Fig. 5). It is dominated by the contribution from mean Eulerian currents
283 (not shown). The Eulerian overturning (Fig. 7, bottom left) consist of a
284 series of Ekman wind-driven cells matching the surface wind pattern (mid-
285 dle). The OHT achieved by such circulation is well captured by the scaling
286 $\text{OHT} \sim \Psi \sim \rho_o C_p \Delta T$ where Ψ is the strength of the circulation (in Sv), and
287 ΔT is the vertical gradient of temperature (see Czaja and Marshall, 2006).
288 The clockwise circulation between 0° and 25°N is very intense, reaching up
289 to 400 Sv. However, because it acts on a very weak vertical temperature
290 difference $\Delta T \sim 0$ (see Fig. 4 right), its contribution to the OHT is small
291 (similarly for the cell between 5°S and 0° .) The SH midlatitude MOC cell
292 is relatively weak (~ 15 Sv), but acts on a strong vertical gradient (notably
293 sustained by the intense surface solar radiation). As the surface warm wa-
294 ters are pushed equatorward by the winds and replaced by upwelling of cold
295 equatorial deep water, the OHT achieved by the mid-latitude wind driven
296 cell is equatorward, close to 4 PW (Fig. 5).

297 It is interesting to note that the (parameterized) eddy-induced transports
298 in the ocean are negligible outside the deep tropics (not shown). This is not
299 the case in Aqua23 where eddy processes are order one in the momentum
300 and heat balance of the ocean (see Marshall et al., 2007). The absence of
301 a significant eddy transport in Aqua90 is a consequence of the small slope
302 of isopycnal surfaces³ (except close to the Equator, see Figs. 3 and 4). In

³To contrast with the previous discussion of PV gradients in the atmosphere, note that

303 comparison, steeply tilted isopycnals extend to a depth of 1000 m or more in
304 Aqua23 (Fig. 3, bottom). The thermocline structure reflects the near perma-
305 nent pattern of surface winds (polar easterlies, midlatitudes westerlies, trade
306 winds) and associated Ekman pumping/suction. In Aqua90, surface winds
307 come and go seasonally, disallowing the building of a permanent thermocline.
308 Instead isopycnal surfaces remain relatively flat.

309 In Aqua90 as in Aqua23, the AHT has a broad hemispheric shape, peak-
310 ing in the mid-latitudes (compare Fig. 2 to Fig. 5). In Aqua23 (as in
311 observations), the OHT is large in the subtropics decreasing poleward, with
312 large convergences in the midlatitudes. It has a distinctly different shape
313 from that of the AHT. In Aqua90 however, the OHT is a “scaled down (by
314 a factor 2) version” of AHT (on the seasonal and annual timescales) and has
315 an hemispheric extent. In January, the OHT in Aqua90 converges at the
316 Equator and vanishes in the Northern/winter hemisphere.

317 *4.2. Ocean heat storage*

318 The upper ocean also contributes in modulating seasonal extremes of
319 temperature through seasonal storage of heat. In summer the ocean stores
320 large amounts of heat, mostly by absorbing shortwave radiation, and thus
321 delaying the increase of surface temperatures through the summer. As a
322 consequence, the summer hemisphere upper ocean is strongly stratified (Fig.
323 4).

the eddy parameterization of Gent and McWilliams (1990) used here is not based on PV mixing (although it approximates it under some assumptions). Rather, it pre-supposes and represents release of available potential energy stored in tilting isopycnal surfaces.

324 In winter, heat stored in summer is released to the atmosphere. Large
325 amounts of heat are accessed through ocean convection which occupies the
326 entire northern hemisphere in January and much of it in March (note also the
327 deepening of the convective mixing as winter progresses, Fig. 4). The heat-
328 ing of the atmosphere from below is reflected in the fact that precipitation
329 is largely of convective origin in the winter hemisphere (an effect probably
330 amplified by the lack of stabilizing atmospheric eddies, Fig. 8, bottom).
331 As a result, the atmosphere is effectively heated from below during winter.
332 The solar heating is very weak (less than 10 W m^{-2} north of 25°N , see Fig.
333 10) while the top-of-the-atmosphere longwave cooling is nearly uniform at
334 about 240 W m^{-2} . This cooling is almost exactly balanced by air-sea fluxes
335 ($\sim 220 \text{ W m}^{-2}$, mostly due, in equal fraction, to latent heat release and long-
336 wave emission from the ocean surface, see Fig. 10, left).

337 The ocean plays a role in ameliorating temperature swings in two ways:
338 1) it supplements the AHT by transporting energy from the summer to the
339 winter hemisphere and 2) it stores heat in summer and releases it to the
340 atmosphere in winter. What is the relative contribution of these two effects?

341 To compare them, we compute the OHT implied by the net air-sea heat
342 fluxes, i.e. the meridional (and zonal) integral of the air-sea heat fluxes
343 (starting from the North pole here). The difference between the actual and
344 implied OHTs would be zero if there was no ocean heat storage (and indeed,
345 on annual average, the two quantities are identical – not shown). In January
346 (Fig. 10, right), the implied OHT reaches 50 PW at 20°S , that is 50 PW of
347 heat are transferred from the atmosphere into the ocean south of 20°S and
348 from the ocean into the atmosphere north of it. Clearly, only a small fraction

349 (4 PW, less than 10%)⁴ of the air-sea flux is transported meridionally by the
350 OHT, the remaining 90% being stored locally to be released the following
351 season. In fact, even the AHT appears to play a secondary role on seasonal
352 timescales. Within each hemisphere, the coupled ocean-atmosphere system
353 behaves as a 1d column, storing and releasing heat over the seasonal cycle.

354 5. Implications

355 5.1. Habitability: the role of the ocean

356 The surface climates of 90 and 54° obliquity planets are mild, in fact
357 milder than in Aqua23, a surprising result in perspective of the extreme
358 summer insolation/long polar nights at high obliquity. These conclusions are
359 similar to those of previous studies employing atmospheric GCMs coupled
360 to 'swamp' ocean models, i.e. a motionless ocean without OHT (Jenkins,
361 2000; Williams and Pollard, 2003). This is expected from our analysis in sec-
362 tion 4: the storage capability of the ocean largely overwhelms its dynamical
363 contribution, the OHT.

364 To confirm this, we couple the atmospheric component of our coupled
365 GCM to a "swamp" ocean. Three experiments at 90 degree obliquity are
366 carried out with mixed layer depths of 10, 50 and 200 m, all initialized
367 with uniform SST at 15°C. Here we explore the extreme short timescale
368 temperature fluctuations.

369 Statistics of the Surface Air Temperature (SAT) over the Southern Hemi-
370 sphere polar cap (90-55°S) are shown in Fig. 11 for the slab-ocean and

⁴In Aqua23, this fraction is substantially larger, about 30% (an OHT of 7 PW for an implied OHT of 20 PW) although the ocean heat storage remains the dominant effect.

371 coupled experiments. For each month of the year, the monthly-mean SAT
372 averaged over the polar cap (90-55°S) is plotted along with typical extreme
373 values. The latter are the averages (over 20 years) of the minimum and max-
374 imum values reached within a given month over the polar cap. This gives a
375 sense of the temperature fluctuations generated by weather systems for each
376 month of the year.

377 As seen previously, seasonal temperature changes in the coupled system
378 are mild. We also observed that temperature fluctuations within a given
379 month are also relatively small: the largest fluctuations are found in summer
380 with day-to-day changes of 20°C (in January). Slab-ocean simulations with
381 50 and 200 m mixed-layer depth exhibit SAT statistics similar to those seen
382 in the coupled GCM. The case of a 10 m deep slab ocean is remarkable as it
383 shows a collapse in a near Snowball state. This is obviously a catastrophic
384 outcome for habitability (see further discussion below).

385 The 50 and 200 m deep cases noticeably differs from the coupled system on
386 two aspects. First, minimum wintertime temperature are higher with a slab
387 ocean. This is probably because a slab ocean is more efficient at storing heat
388 in the summer because it has no compensating OHT toward the Equator. As
389 a result, slab-ocean runs exhibit even weaker seasonal fluctuations than the
390 coupled system. Second, the magnitude of day-to-day fluctuations increase
391 with slab oceans (35°C at 50 m depth). This is to be expected as temperature
392 changes in a dynamical ocean are damped by advection by the mean currents,
393 fluctuations in Ekman currents, upper ocean convection etc.

394 Although effects of a dynamical ocean are noticeable on SAT statistics,
395 the slab-ocean simulations closely reproduce the surface climate of the cou-

396 pled simulation, provided that the ocean is deep enough to avoid a Snowball
397 collapse.

398 *5.2. Collapse into a completely ice-covered state*

399 We showed in previous works that the coupled Aquaplanet at 23.5 degree
400 of obliquity can support a cold state with large ice caps extending from the
401 poles into the mid-latitudes and ice-free equatorial regions (Ferreira et al.,
402 2011). An analogous state at high-obliquity would present an ice cap around
403 the Equator extending poleward into the mid-latitudes and ice free poles.
404 Such a state of limited glaciation could provide an explanation for evidence
405 of low-latitudes ice-caps during the Neoproterozoic while avoiding the chal-
406 lenges of a complete "Snowball Earth" (e.g. the survival of life, an escape
407 mechanism). Is such a state possible?

408 To search for this solution, we carry out an experiment in which the
409 solar constant is lowered by small increments starting from the Aqua90 state
410 described previously. Lowering of the solar constant results in small cooling
411 until a dramatic global cooling for $S_o/4=338 \text{ W m}^{-2}$. At the transition, the
412 sea ice cover jumps, within a century, from 2% of the global area to 90% and
413 stabilizes around this value (Fig. 12). In the latter state, ice is present at all
414 latitudes: the globally averaged 90% ice coverage is only due to somewhat
415 reduced ($\sim 75\%$) ice concentrations around the poles. In other words, we do
416 not observed an intermediate state with a partial glaciation.

417 Interestingly, the same behavior is observed in the slab-ocean model.
418 While the solutions with 200 and 50 m deep mixed-layer converges to tem-
419 perate ice-free solution, the 10 m deep slab-ocean simulation collapsed into
420 a near-complete Snowball state (Fig. 11). Note that, a 50 m mixed-layer

421 simulation initialized with uniformly cold temperatures (5°C) similarly col-
422 lapses in a Snowball state. As in the fully ice-covered state of the coupled
423 model, above freezing temperatures and partial sea ice coverage ($\sim 75\%$) are
424 found at the poles in the summer because of the intense shortwave radia-
425 tion. These results are consistent with simulations by Jenkins (2000) and
426 Donnadieu et al. (2002) with atmospheric GCMs coupled to slab oceans.
427 For various choices of atmospheric CO_2 , solar constant and high obliquity,
428 Jenkins (2000) observed mild climates or Snowball collapse. In Donnadieu
429 et al. (2002), simulations with realistic configurations initialized from ice-free
430 states rapidly converged to a nearly global glaciation⁵.

431 In the context of existence of multiple climate equilibria, we showed that
432 a large ice cap solution in Aqua23 is possible because of the meridional struc-
433 ture of the OHT which peaks around 20°N/S to decrease sharply poleward
434 (see Fig. 2). The associated OHT convergence can stop the expansion of sea
435 ice into the mid-latitude, notably in winter (not shown), thus avoiding the
436 collapse into a Snowball state (see also Poulsen and Jacob, 2004; Rose and
437 Marshall, 2009). It is therefore not surprising that slab ocean configurations
438 without OHT would exhibit either ice-free states or near global glaciations.

⁵In both studies as in our slab and coupled simulations, summer ice concentration near the poles is below 100%. Note however that, in our simulations the sea ice thickness (which is not artificially limited) continues to increase rapidly, even as the sea ice area is equilibrated, to reach tens of meter within 200 years. Simulations of a steady state would require taking geothermal heating into account. This is beyond the scope of this paper: it is likely however that ice would grow hundreds of meter thick (for typical geothermal flux) and that ice flows would eventually enclose the globe into a hard Snowball state.

439 As soon as sea ice appears even in very small amount (2% of the global cover
440 here), there is no mechanism to stop the sea-ice albedo feedback. This also
441 explains why shallow slab oceans are more susceptible to global glaciations:
442 their small thermal inertia makes it comparatively easier to approach the
443 freezing point within a winter season and initiate the ice-albedo feedback.

444 But, why does the dynamical ocean behave like a swamp? This answer
445 can be traced back to the seasonality of the storm track activity and surface
446 wind field. As discussed in sections 3 & 4, there are virtually no wind stress
447 and no OHT in the winter hemisphere (see Figs. 5 and 7). In other words,
448 when it matters the most, in winter during sea ice expansion, the dynamical
449 ocean does behave like a swamp. Interestingly, even the extremely large heat
450 capacity of the coupled ocean (3000 m deep) is not sufficient to stop the sea
451 ice expansion. This is probably because just before collapse (~ 7500 years,
452 Fig. 12) most of the deep ocean is filled with near freezing waters from the
453 Equator where a small cover of ice is present.

454 *5.3. Implication for the use of EBMs*

455 An interesting result of our simulations is that the total energy transport
456 (THT) in the coupled system is directed down the large-scale temperature
457 gradient at the three obliquities explored here. This occurs despite the oppo-
458 site temperature gradients found at 23.5 and 90° obliquities. At 54° obliquity,
459 both temperature gradients and THT are nearly vanishing, but the tropics
460 are slightly warmer than the poles and the THT is indeed poleward.

461 Our calculations suggest that the use of EBMs in which energy transports
462 are parametrized as down-gradient diffusive processes is justified (Spiegel
463 et al., 2009). This is important as the computationally inexpensive EBMs

464 permit to explore a wide range of parameters which would not otherwise be
465 accessible with a full 3d coupled GCM.

466 There is however an important limitation: the transport efficiency, D ,
467 relating the THT to the meridional temperature gradient is not a constant,
468 but is itself a function of the climate. This parameter is often considered
469 as a tuning parameter and chosen to obtain a good fit to Earth's present-
470 day climate (e.g. Williams and Kasting, 1997). Fig. 13 shows scatter plots
471 of the THT and surface temperature gradients for our Aquaplanets simula-
472 tions. Estimates (through linear fit) of D at 90° and 23° obliquity are rather
473 similar, about $0.7\text{-}0.8 \text{ W m}^{-2} \text{ K}^{-1}$.⁶ At $\phi=54^\circ$, D is substantially weaker,
474 $0.15 \text{ W m}^{-2} \text{ K}^{-1}$. This is not surprising: a significant fraction of the THT is
475 due to synoptic eddies in the atmosphere spawned by baroclinic instability
476 which is itself sustained by the large-scale meridional temperature gradient.
477 Starting with Green (1970) and Stone (1972), there is a large literature link-
478 ing the eddy diffusivity to the meridional temperature gradient. In Aqua54,
479 the latter is indeed much weaker than in Aqua23 and Aqua90.

480 This is beyond the scope of the paper to investigate the detailed rela-
481 tionship between the THT and temperature gradients. We emphasize here,
482 that even in our simple Aquaplanet set-ups, the heat efficiency D varies by
483 almost an order on magnitude across climates. Sensitivities of the results to
484 the choice of D should be explored when using EBMs.

⁶These values are slightly larger than those typically found in the literature for a
Earth's fit, $D \sim 0.4\text{-}0.6 \text{ W m}^{-2} \text{ K}^{-1}$, possibly because of the absence of sea ice in our
simulations. Estimates of D in colder Aquaplanet configurations with ice-covered poles
give $D \simeq 0.5 \text{ W m}^{-2} \text{ K}^{-1}$.

485 **6. Conclusion**

486 We explore the climate of an Earth-like Aquaplanet with high obliquity in
487 a coupled ocean-atmosphere-sea-ice system. For obliquities larger than 54° ,
488 the TOA incoming solar radiation is higher at the poles than at the Equator
489 in annual mean. In addition, its seasonality is very large compared to that
490 found for Earth's present-day obliquity, $\sim 23.5^\circ$.

491 At 90° obliquity, we find that at all seasons the Equator is the coldest
492 place on the globe and temperatures increase toward the poles. Importantly,
493 the reversed temperature gradients, in thermal wind balance with easterly
494 sheared winds, are large in the summer hemisphere but nearly vanish in the
495 winter hemisphere. This largely reflects the strong gradient of TOA incoming
496 solar radiation in the summer hemisphere but uniform darkness of the winter
497 hemisphere. This is also because the winter atmosphere is uniformly heated
498 by the ocean.

499 As a consequence, the baroclinic zone and storm track activity are con-
500 fined to the midlatitudes of the summer hemisphere. Eddy momentum fluxes
501 associated with the propagation of Rossby wave out of the baroclinic zone
502 maintain surface westerly wind in the midlatitudes of the summer hemi-
503 sphere. Conversely, surface winds nearly vanish in the winter hemisphere.
504 This is in contrast with the 23.5° obliquity Aquaplanet (and present-day
505 Earth) where storm track activity and surface westerly winds are perma-
506 nent in the midlatitudes of the two hemispheres (although weaker in the
507 summer one). The ocean circulation is dominated by its wind-driven com-
508 ponent, and is therefore also confined to the summer hemisphere too. In the
509 winter hemisphere, the ocean is motionless. However, heat stored during the

510 summer in the upper ocean is accessed through convection and released to
511 the atmosphere.

512 Importantly, at large obliquities, both ocean and atmosphere transport
513 energy toward the equator but down the large-scale temperature gradient,
514 as at low obliquities. Similarly to the circulation patterns, these transports
515 are essentially seasonal, large in summer and vanishingly small in winter.
516 In the atmosphere, the transport is achieved by a combination of baroclinic
517 eddies and overturning circulation (which comprises a single cell, extending
518 from 60° in the summer hemisphere to 25°). In the ocean, crucially, the
519 heat transport is carried mainly by the wind-driven circulation. It worth
520 emphasizing that, although the energy transport of the coupled system is
521 always down-gradient in our simulations, the transport efficiency (relating
522 the transport to the temperature gradient as is done in EBMs) is not a
523 constant but varies by an order of magnitude across climates.

524 As found in previous studies (e.g. Jenkins, 2000; Williams and Pollard,
525 2003), the surface climate at high obliquities can be relatively mild, provided
526 collapse into a Snowball is avoided. In this case, temperatures at the poles
527 in our Aquaplanet oscillate between 10 and 35 K, clearly in the habitable
528 range. This is primarily explained by the large heat capacity of the surface
529 ocean which stores heat during the summer and releases it to the atmosphere
530 in winter. Although the OHT is substantial and down-gradient, it is of
531 secondary importance in mitigating extreme temperature when compared to
532 the storage effect. This is confirmed by simulations in which the dynamical
533 ocean of our coupled GCM is replaced by a motionless 'swamp' ocean.

534 We found that Snowball collapse is possible whether a dynamical ocean

535 with OHT or a "swamp" ocean is used. Importantly, we could not find
536 'intermediate' climate state in which a substantial ice cover is present without
537 a global coverage. This is despite expectations that a dynamical ocean could
538 stabilize the ice margin in the midlatitudes (Poulsen et al., 2001; Poulsen
539 and Jacob, 2004; Ferreira et al., 2011). In these studies (all at present-day
540 obliquity), the large OHT convergence in midlatitudes (due to the wind-
541 driven circulation) can stop the progression of the sea ice toward the Equator.
542 In our coupled simulations at high obliquity however, the OHT vanish in the
543 winter hemisphere at the time of sea ice expansion because surface winds
544 vanish too. This explains the similarity of the coupled GCM and swamp
545 ocean simulations. Our results suggest that a state of high obliquity is not
546 an alternative to the "Snowball Earth" hypothesis to explain evidence of
547 low-latitude glaciations during the Neoproterozoic.

548 In our simulations, it appears that a dynamical ocean makes little differ-
549 ence whether one is interested in the habitability of exoplanets or paleocli-
550 mate. This suggests that inferences made from simulations using a "swamp"
551 ocean with no OHT at high obliquity are robust (e.g. Jenkins, 2000, 2003;
552 Donnadieu et al., 2002; Williams and Pollard, 2003). Two caveats should be
553 noted. First, this conclusion results from the strong seasonality of the surface
554 winds, itself the consequence of complex atmospheric dynamics (conditions
555 for baroclinic instability). This conclusion should not be extended to other
556 situations without caution. Secondly, although "swamp" ocean formulation
557 appears to perform similarly to a fully dynamical ocean, they rely on an ad-
558 hoc choice of a mixed layer depth. In reality, the depth of the mixed layer
559 is a function of space and time and is determined by ocean dynamics and

560 air-sea interactions. Unfortunately, the choice of the mixed layer depth has
561 a direct impact on the solution. In our set-ups, ice-free or Snowball states
562 can be obtained depending on this choice (with multiple solutions possible
563 for a 50 m deep mixed layer). This is worth keeping in mind when carrying
564 out such simulations.

565 **7. Appendix: Climate at 54° obliquity**

566 On seasonal scales, atmospheric and oceanic circulations in Aqua54 show
567 many similarities with those seen at 90° obliquity as both astronomical con-
568 figurations share an intense contrast in summer/winter solar input.

569 Similarly to Aqua90, surface temperatures in the winter hemisphere re-
570 main largely above freezing (because of the heat release by the ocean, not
571 shown) and temperature gradient are very weak throughout the troposphere.
572 In contrast with Aqua90, temperature gradients are also weak in the summer
573 hemisphere, only ~ 10 K (Fig. 14, bottom left, to be compared with Fig. 4,
574 upper left).

575 As a consequence, the synoptic scale activity is weak in both hemispheres
576 as are surface winds in the midlatitudes. As in Aqua90, a Hadley circulation
577 develops with an upper flow from the summer to the winter hemisphere
578 (not shown). It is weaker than in Aqua90 (by a factor 2) as expected from
579 the smaller meridional gradient of incoming solar radiation (see Fig. 1).
580 This cell drives a mirror overturning cell in the ocean (Held, 2001). The
581 mirror ocean-atmosphere overturning circulations explain nearly all of the
582 northward OHT and AHT found in January (Fig. 14, bottom right). Energy
583 transports in both fluids and oceanic MOC are, directly or indirectly, driven

584 by the thermally direct seasonal Hadley circulation which is itself forced by
585 meridional contrasts in solar heating.

586 To the extent that this forcing is linear, the canceling of seasonal contrasts
587 in incoming solar radiation (the annual mean meridional profile is “flat”)
588 leads to a vanishing of the annual mean Hadley circulation, and hence of
589 its AHT and of the oceanic MOC and associated OHT. Indeed, the energy
590 transports in July (not shown) are the opposite of those observed in January
591 and the annual mean values are only a small residual (Fig. 14, top right).

592 Indeed, the annual mean AHT is almost exclusively due to transports by
593 midlatitudes eddies (not shown) but this contribution is four times smaller
594 than in Aqua90 (0.5 PW compared to 2 PW in Fig. 9, top). Nonetheless, the
595 mean energy transports are equatorward, down the (weak) mean temperature
596 gradients.

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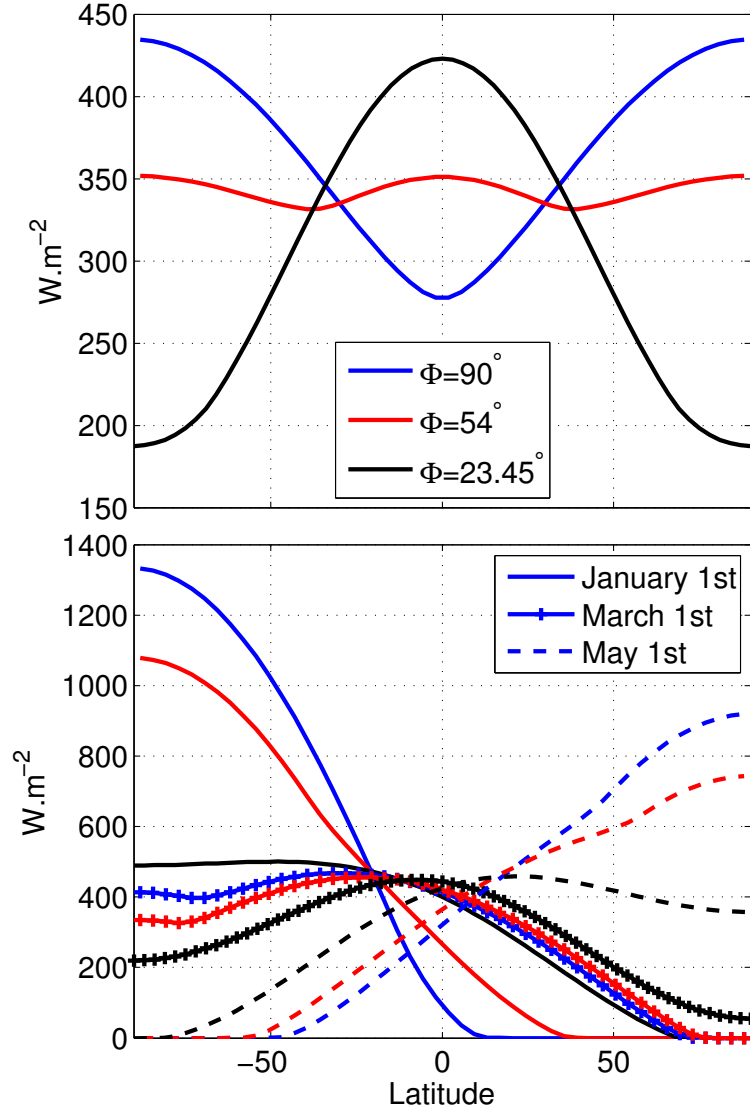


FIG. 1: Top-of-the-atmosphere incoming solar radiation (W m^{-2}) for obliquities of 90° (blue), 54° (red) and 23.45° (black): (top) annual mean and (bottom) daily mean on January 1st (solid), March 1st (dotted), and May 1st (dashed). A zero eccentricity is assumed.

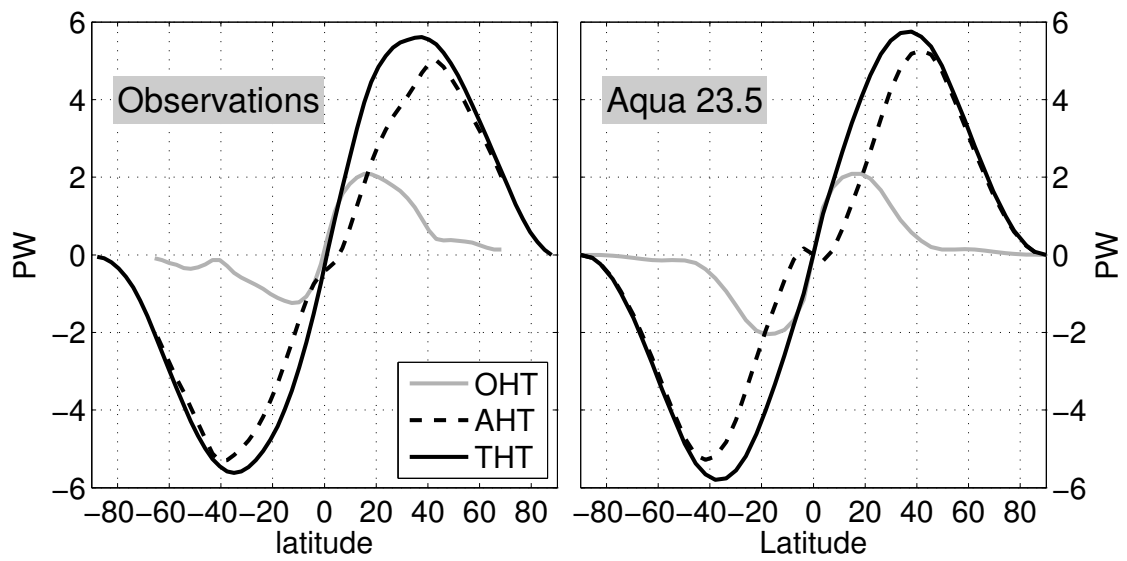


FIG. 2: Ocean, Atmosphere and Total heat transports (in $\text{PW}=10^{15} \text{ W}$) as observed on present-day Earth (left, from Trenberth and Caron (2001)) and in the coupled Aquaplanet GCM with a 23.5° obliquity (right).

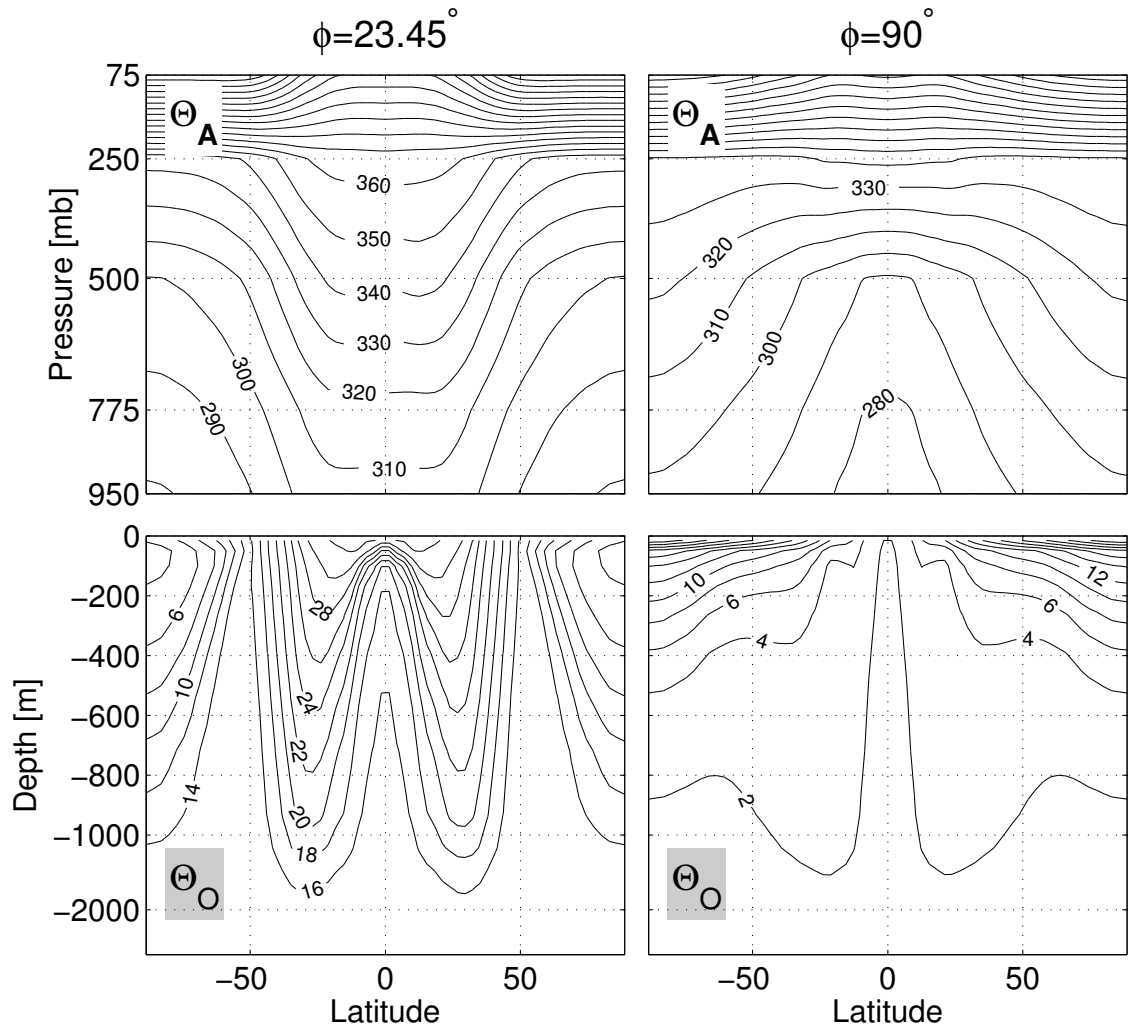


FIG. 3: Zonal and annual averaged atmospheric (top, in K) and oceanic (bottom, in C°) potential temperature in Aqua23 and Aqua90. Note that, in the bottom row, the upper ocean (0-1000 m) is vertically stretched.

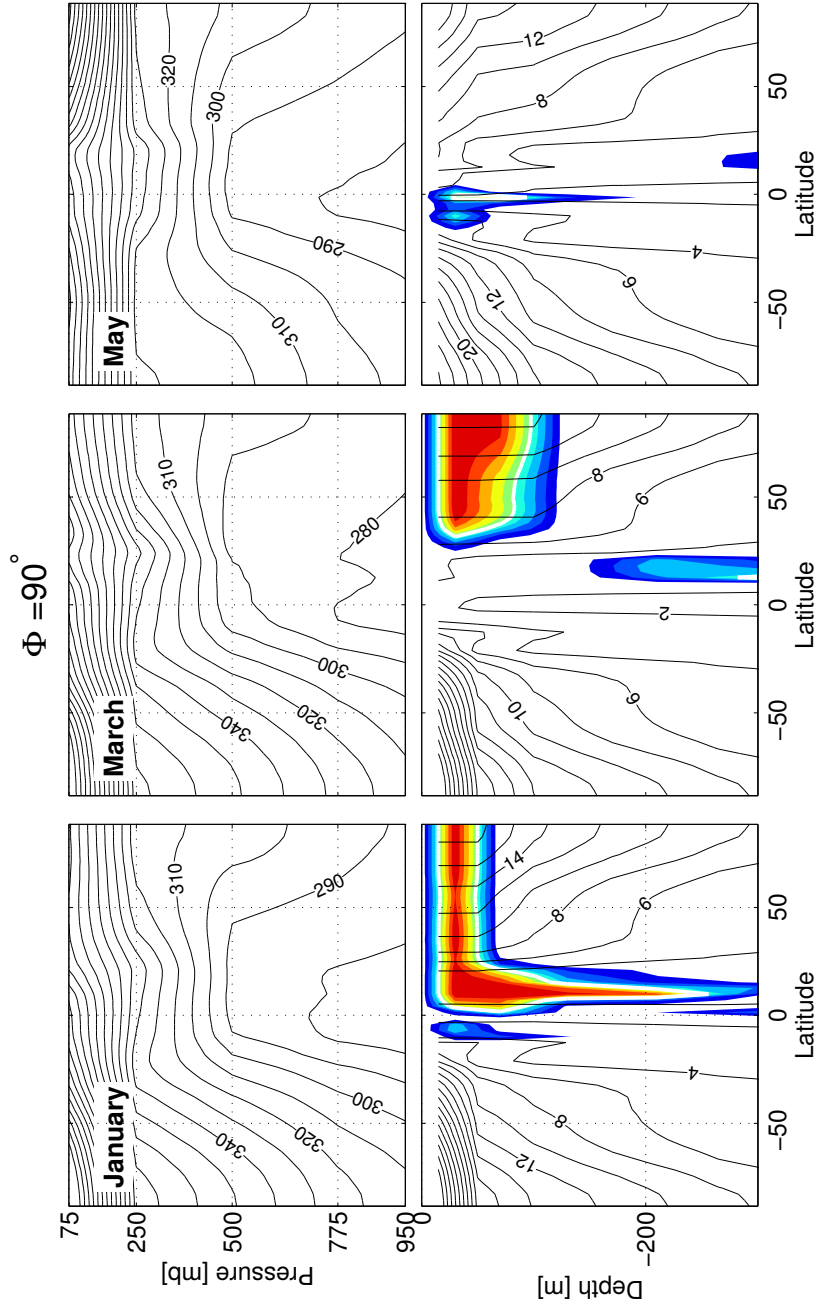


FIG. 4: Zonal mean potential temperature of (top) the atmosphere (in K) and (bottom) of the ocean (in $^{\circ}\text{C}$) in Aqua90: (left) January, (middle) March, and (right) May. Color shading in the ocean denotes the presence of convection³⁶. The convective index varies between 100% (red, permanent convection) and 0% (blue, no convection at all). The white contour indicates the 50% value.

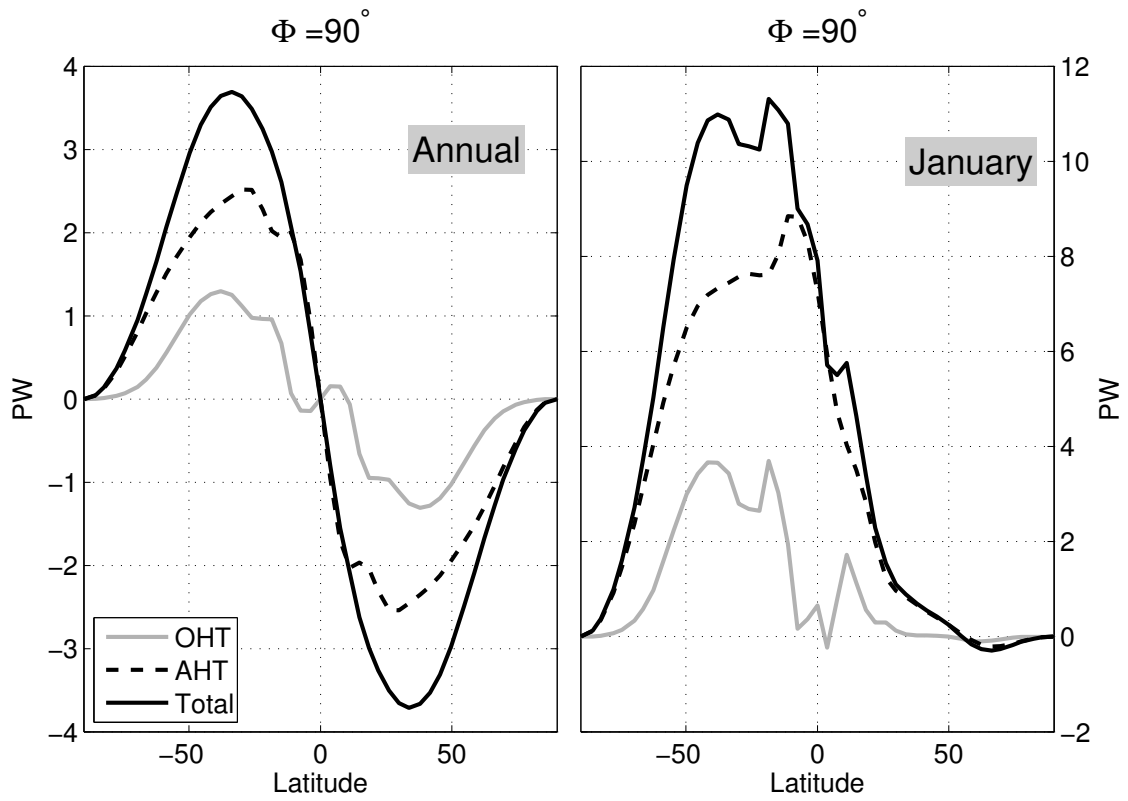


FIG. 5: Annual mean (left) and January mean (right) atmospheric, oceanic and total energy transports in Aqua90. Note the different ordinate scales in the two plots.

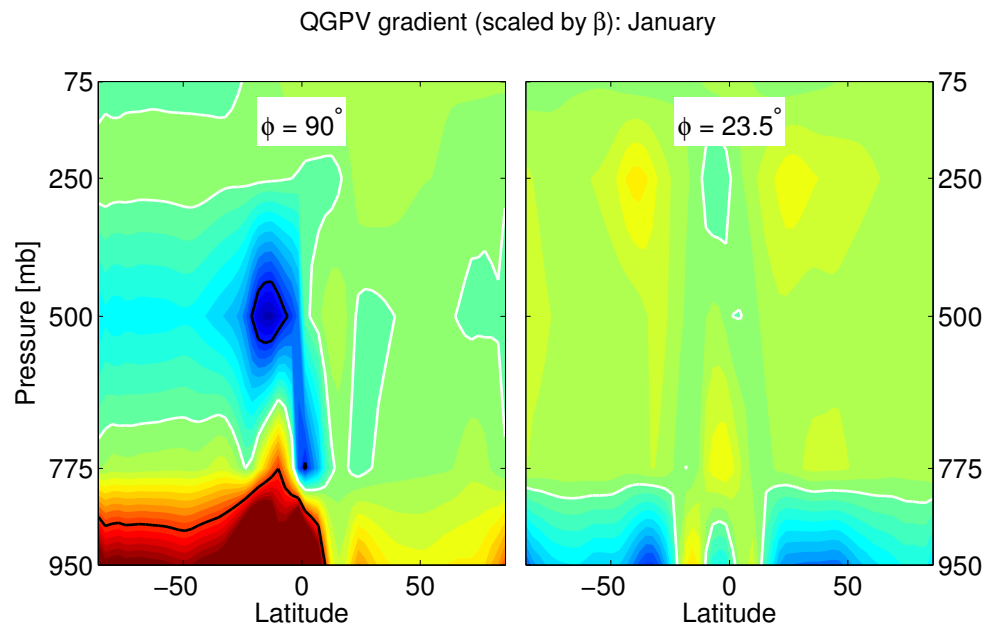


FIG. 6: January zonal mean meridional gradients of QGPV in (left) Aqua90 and (right) Aqua23. The gradients are scaled by the local value of β , the meridional gradient of the Coriolis parameter f . The contour interval is 1. The white and black contours highlight the 0 and ± 10 values, respectively.

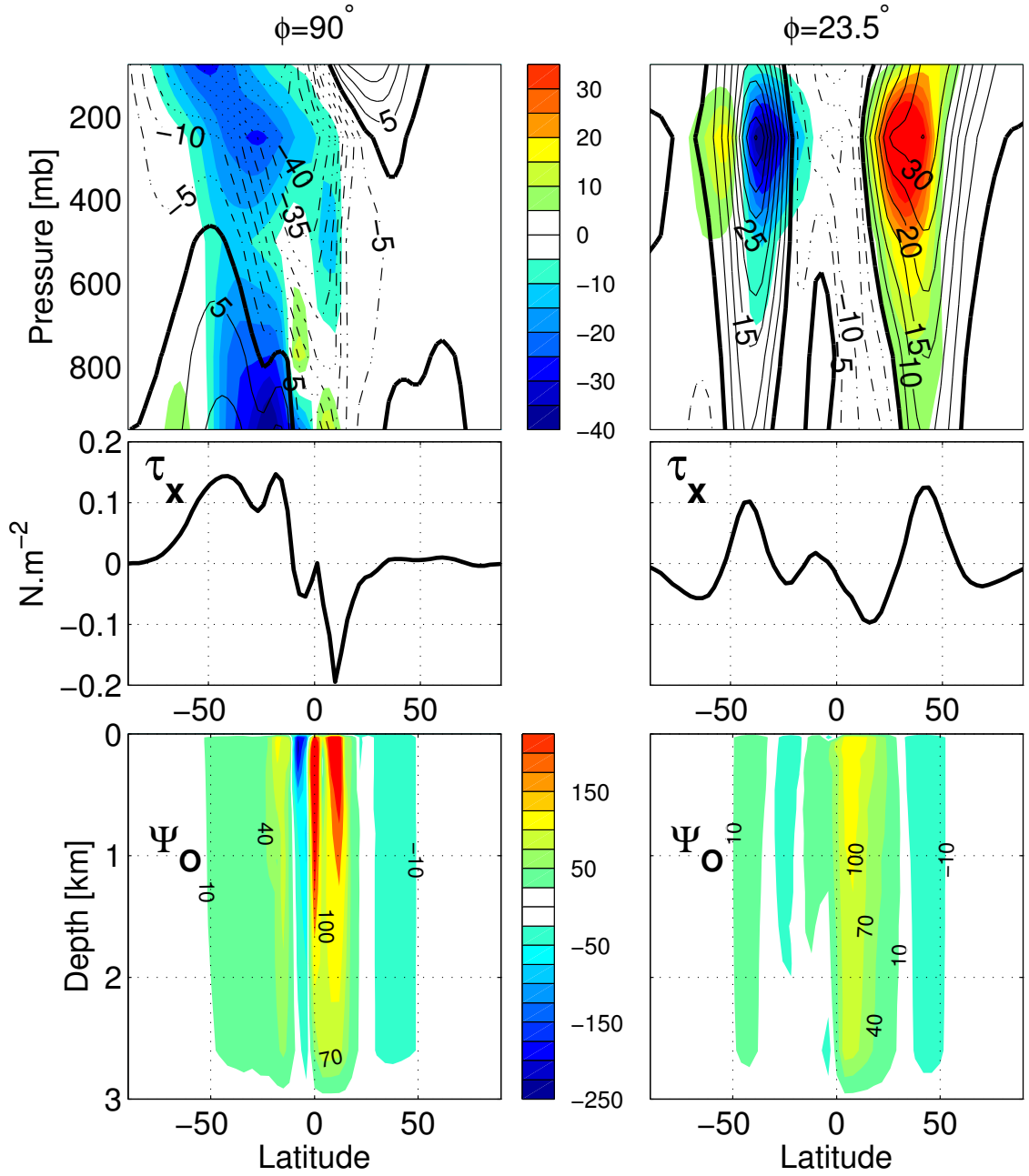


FIG. 7: (top) Zonal mean zonal wind (contours, in m s^{-1}) and Reynolds stresses (shading, in $\text{m}^2 \text{s}^{-2}$), (middle) zonal mean surface wind stress (in N m^{-2}), and (bottom) oceanic Eulerian overturning streamfunction (in Sv) in 30 January in (left) Aqua90 and (right) Aqua23.

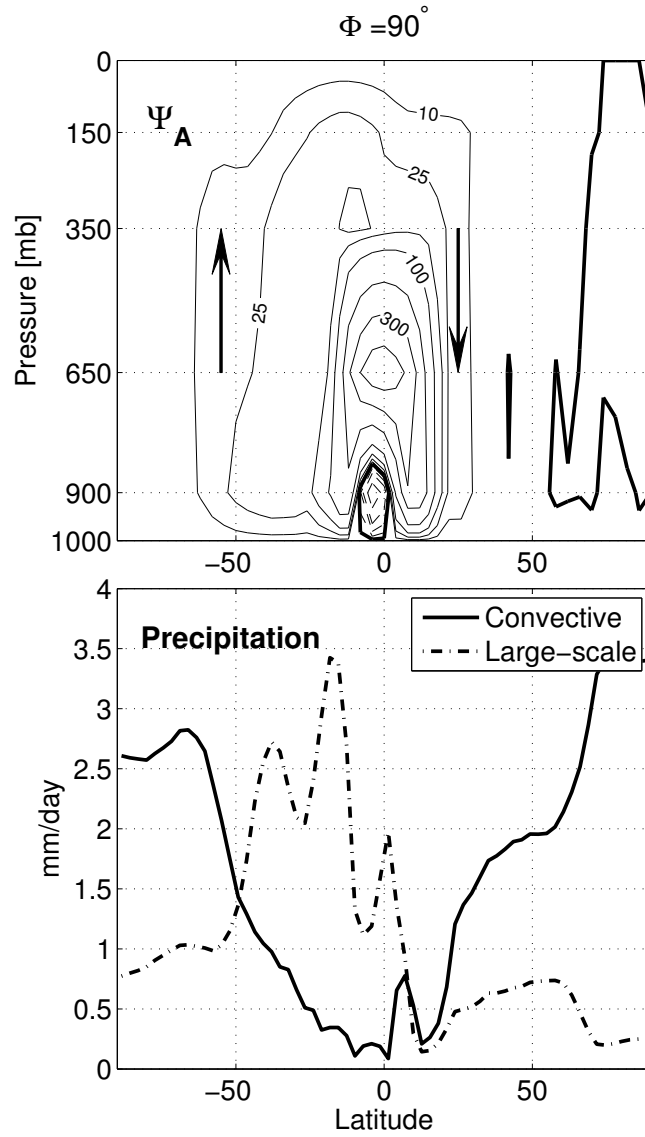


FIG. 8: January mean (top) Atmospheric overturning streamfunction in “atmospheric Sverdrup” ($1 \text{ Sv} = 10^9 \text{ kg s}^{-1}$) and (bottom) convective and large scale precipitation (in mm day^{-1}) in Aqua90.

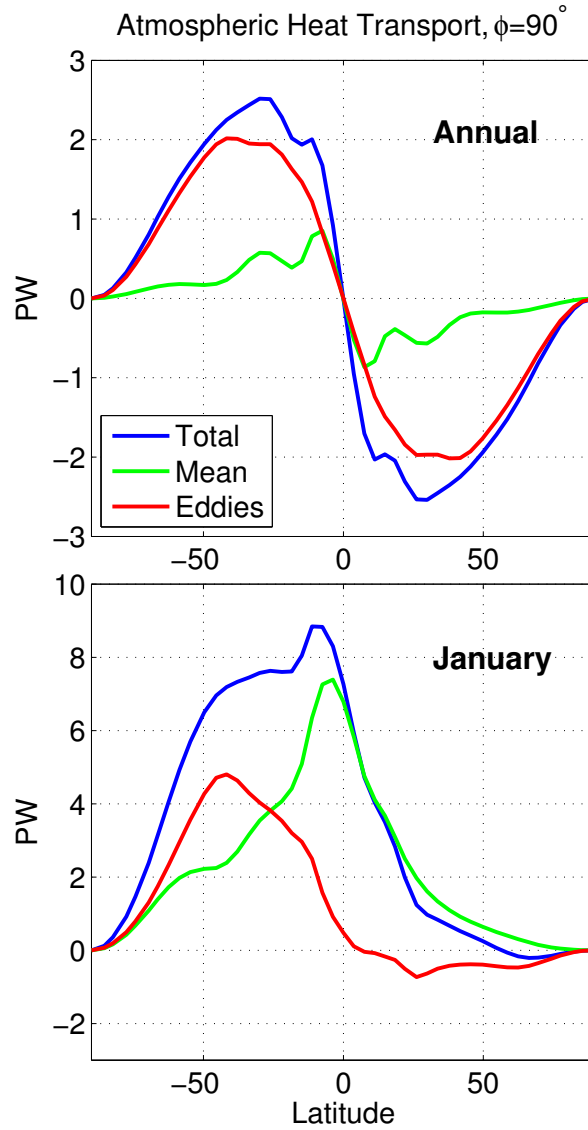


FIG. 9: Decomposition of the atmospheric energy transport AHT into mean and eddy components for the annual mean (top) and January mean (bottom) in Aqua90. Eddies are defined with respect to a zonal and time (monthly) mean. The annual mean eddy contribution is the average of the monthly eddy heat transports.

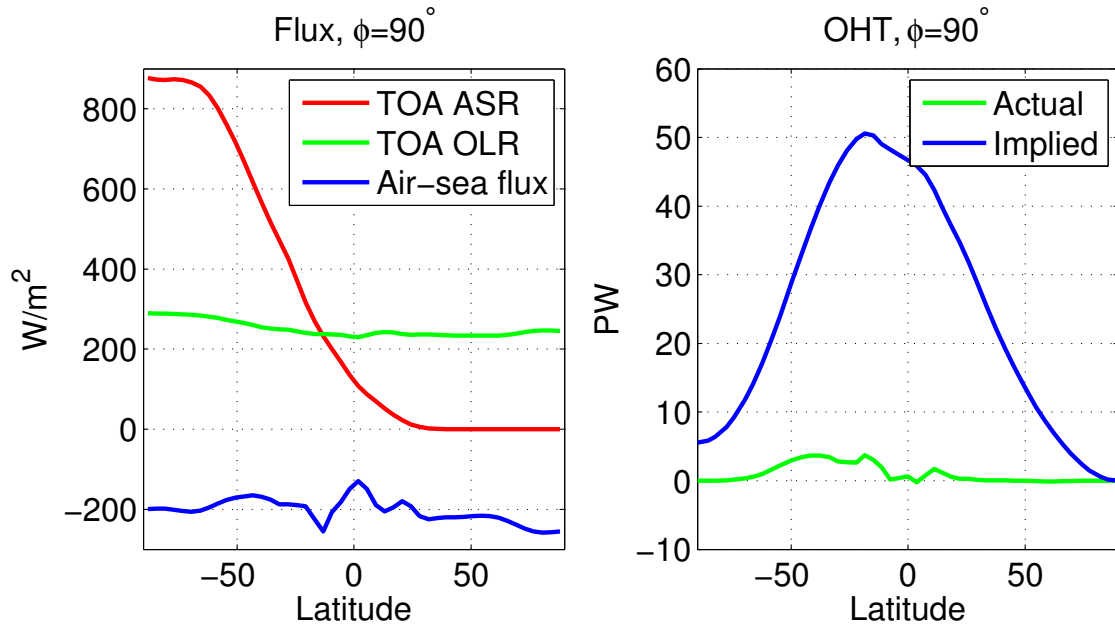


FIG. 10: (left) Top-of-the-atmosphere absorbed shortwave radiation (red), out-going long-wave radiation (green) and surface cooling flux (blue). The latter includes the latent heat, net longwave radiation and sensible heat at the air-sea interface, all three term cool the surface of the ocean. (Right) Actual OHT (green) and OHT implied by the net surface heat flux (blue) in Aqua90 in January. Note that the January OHT is identical to that shown in Fig. 5 (left).

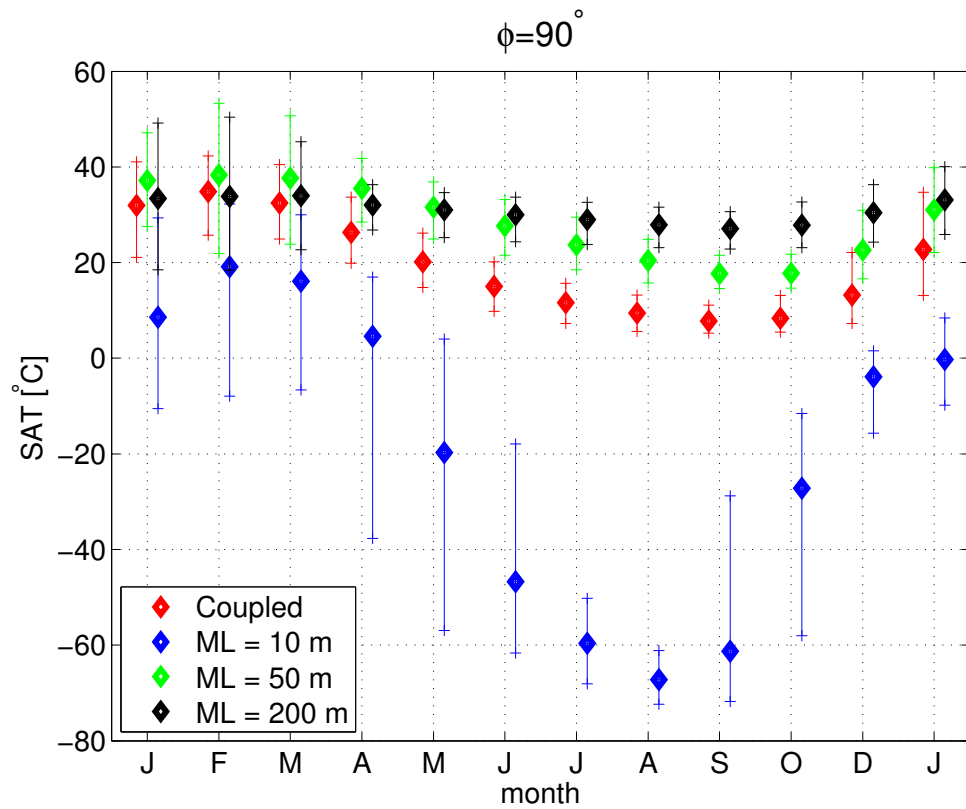


FIG. 11: Mean (diamond) and extreme (+) SAT in the coupled GCM and in the atmosphere-slab ocean runs for each month of the year (compiled over a 20 year period) for the Southern high-latitudes (90°S - 55°S). All simulations uses $\phi=90^\circ$.

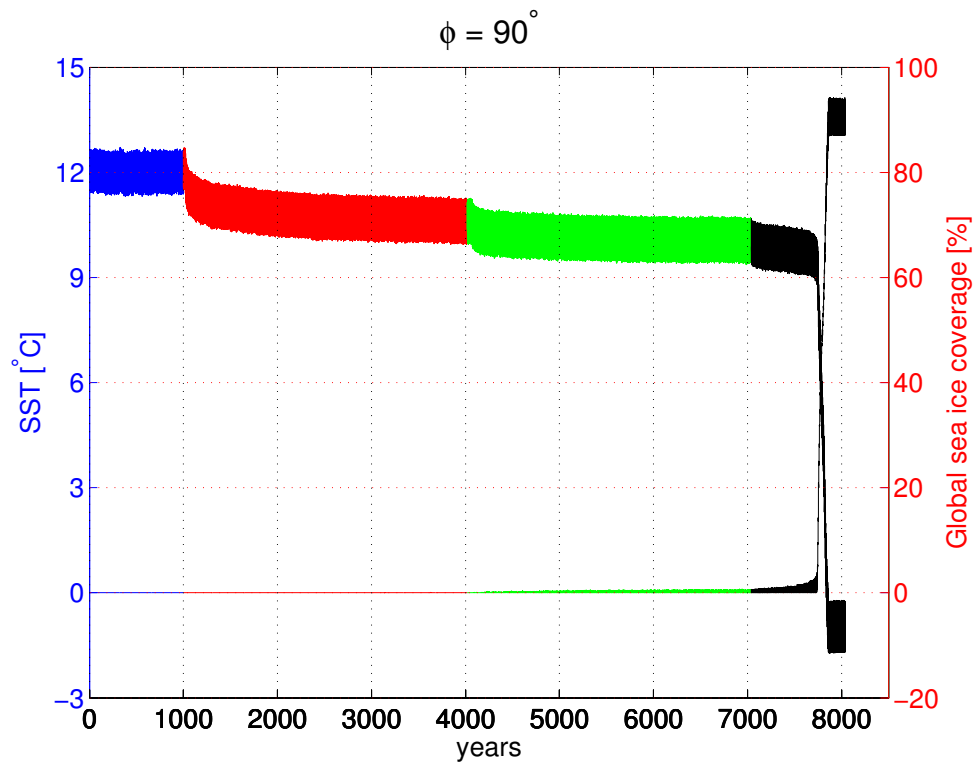


FIG. 12: SST (in $^\circ\text{C}$, upper curve, left axis) and fraction of the globe covered with sea ice (in %, lower curve, right axis) in Aqua90 as the solar constant $S_o/4$ is decreased from 341.5 to 338.0 W m^{-2} .

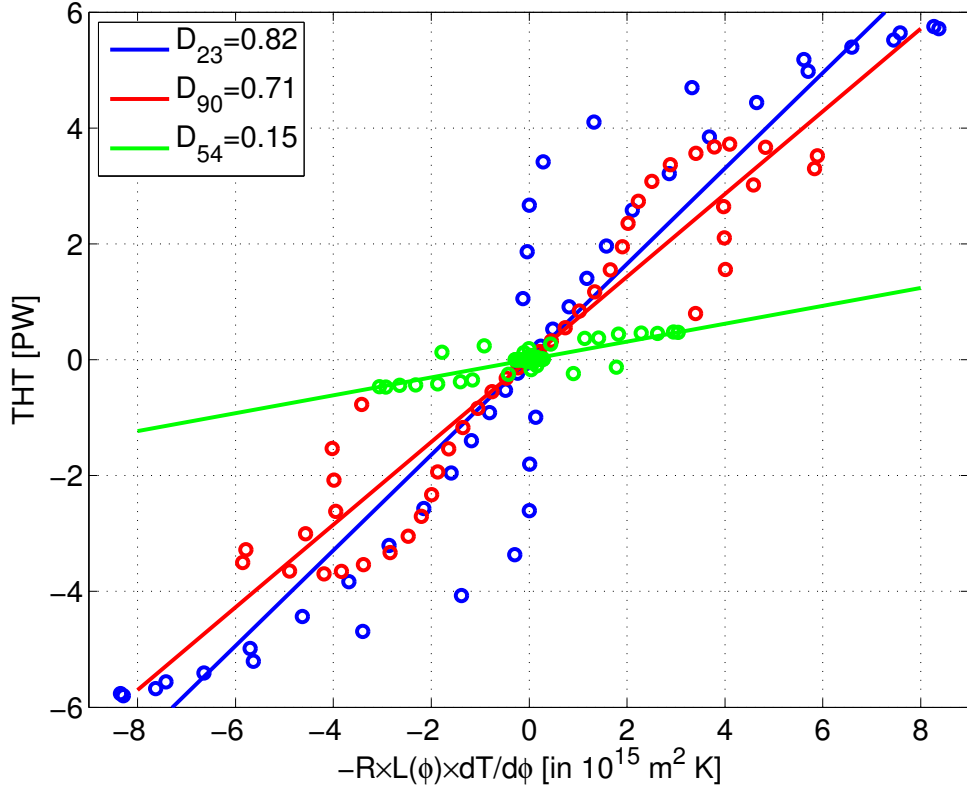


FIG. 13: Scatter plots of the annual-mean THT against the scaled meridional gradient of SAT, $RL(\phi)dT/d\phi$ where $R=6370$ km is the radius of Earth and $L(\phi)$ the length of a latitudinal circle at latitude ϕ for (blue) Aqua23, (red) Aqua90, and (green) Aqua54. Different points correspond to different latitudes. Best linear fits are also shown in solid lines and the estimated slopes D in the upper left box. The coefficient D is expressed in $\text{W m}^{-2} \text{ K}^{-1}$ and is comparable to the heat diffusion parameter used in EBMs (North et al., 1981).

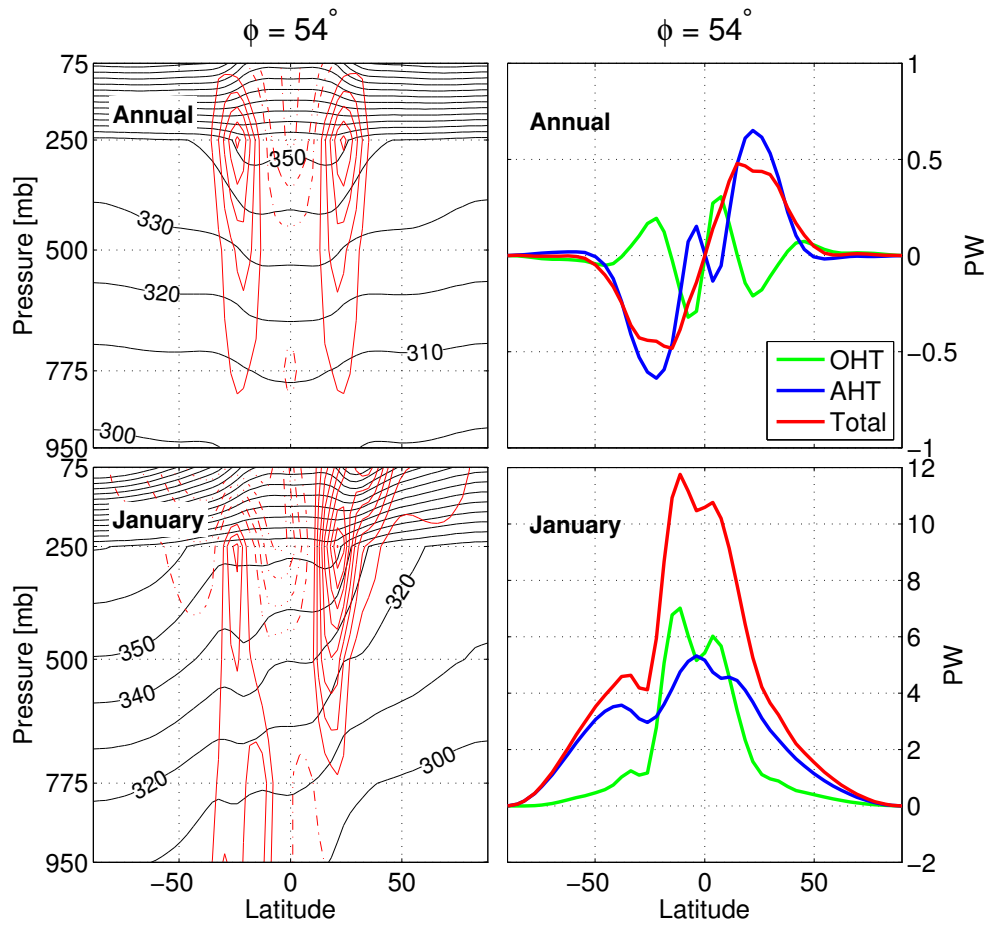


FIG. 14: Aqua54 simulation: (left) potential temperature (K) and zonal mean wind (m s^{-1}) and (right) oceanic, atmospheric and total energy transports. Annual and January averages are shown at the top and bottom, respectively.