

1 **The Ice-Ocean governor: ice-ocean stress feedback limits**
2 **Beaufort Gyre spin up**

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8 February 24, 2018

9 **Abstract**

10 The Arctic Ocean’s Beaufort Gyre is spun up by the prevailing anticyclonic winds forcing
11 sea-ice and ocean motion. A regulator is described that limits spin up and explains the sta-
12 bilization of the sea-ice covered Beaufort Gyre, even while subject to sustained anticyclonic
13 wind-stress curl. Anticyclonic surface stress due to sea-ice drift drives Ekman downwelling
14 which intensifies the gyre geostrophic flow. The geostrophic flow, in turn, reduces ice-ocean
15 relative speeds and surface stresses: an ice-ocean stress governor. Analytical and numerical
16 modeling is employed to demonstrate the mechanism, contrasting equilibration processes in
17 an ice-covered versus ice-free gyre. Observations are presented and interpreted in terms of
18 the governor mechanism. Our study suggests that continued Arctic sea-ice loss will lead to
19 reduced effectiveness of the governor and change the fundamental internal dynamics of the
20 gyre.

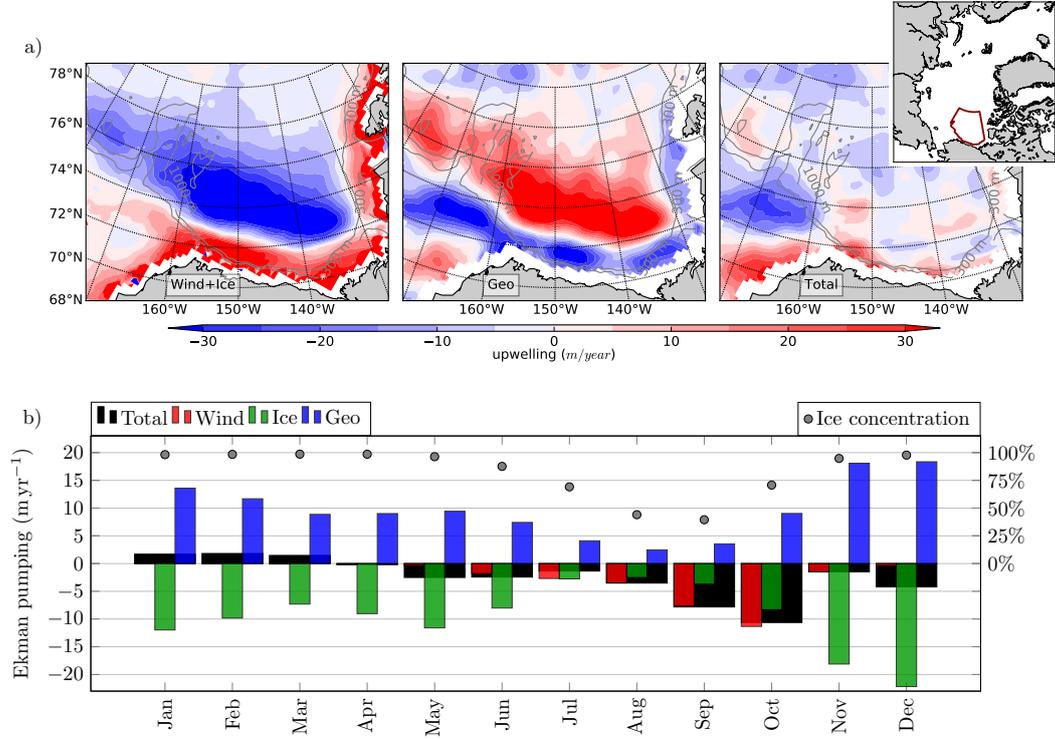
21 **1 Introduction**

33 Anticyclonic winds centered over the Arctic Ocean’s Beaufort Gyre (BG) force a lat-
34 eral Ekman transport in the surface ocean, bringing surface freshwater towards the center of
35 the gyre and driving downwelling. Ekman processes spin up the BG geostrophic circulation
36 and increase its freshwater content [1–5]. Freshwater accumulation, storage and release from
37 the BG, controlled by these wind-driven dynamics, have far-reaching influence on Arctic and
38 global climate [4]. Wind variability alone cannot completely explain the variability in fresh-
39 water content [6]: gyre spin up and freshwater increase are complicated by the presence of
40 sea ice cover, which mediates wind forcing on the ocean. Here we show how the dynamic in-
41 teraction of under-ice geostrophic ocean currents and sea-ice (an *ice-ocean stress governor*)
42 plays a key role in regulating the strength and sign of the ice-ocean stress curl and gyre spin
43 up.

44 Stress $\boldsymbol{\tau}$ at the ocean surface is a combination of ice-ocean stress $\boldsymbol{\tau}_i$ and air-ocean
45 stress $\boldsymbol{\tau}_a$, each of which may be estimated by a quadratic drag law, weighted by the sea-ice
46 concentration α [7]:

$$\boldsymbol{\tau} = \underbrace{\alpha \rho C_{Di} |\mathbf{u}_{rel}| (\mathbf{u}_{rel})}_{\boldsymbol{\tau}_i} + (1 - \alpha) \underbrace{\rho_a C_{Da} |\mathbf{u}_a| (\mathbf{u}_a)}_{\boldsymbol{\tau}_a}. \quad (1)$$

47 C_{Di} and C_{Da} are drag coefficients for the ice-ocean and air-ocean stress respectively, ρ is
48 water density, and ρ_a is air density. In the computation of $\boldsymbol{\tau}_a$, the surface ocean velocity, of a
49 few cm s^{-1} , is considered negligible with respect to a 10-m wind velocity \mathbf{u}_a of a few m s^{-1} .



22 **Figure 1.** Ekman pumping climatology. (a) Mean Ekman pumping over 2003-2014; negative (blue) in-
 23 dicates downwelling, positive (red) upwelling. Left: downwelling estimates locally exceed 30 m yr^{-1} if the
 24 geostrophic current is neglected; center: inclusion of the geostrophic current results in an upwelling effect,
 25 largely compensating the ice-driven downwelling; right: the actual Ekman pumping, the sum of the previous
 26 two panels, yields only moderate downwelling together with patches of upwelling. The BG Region (BGR) is
 27 marked by a red line in the inset. (b) Monthly Ekman pumping climatology over the BGR and its partitioned
 28 contributions, where negative indicates downwelling. Black bars show total Ekman pumping, equivalent to
 29 the right panel in a). Red and green bars show pumping induced by winds driving ice-free regions, and pump-
 30 ing under ice for zero geostrophic flow; their sum is equivalent to the left panel in a). Blue bars show pumping
 31 induced by geostrophic currents flowing under the sea ice, equivalent to the central panel in a). Blue and
 32 green bars largely balance each other, and exactly balance if $u_{rel}=0$. Grey dots represent ice concentration.

50 On the other hand, surface ocean velocity cannot be neglected in the estimation of τ_i . The
 51 ice-ocean relative velocity \mathbf{u}_{rel} is expressed as the difference between the ice velocity \mathbf{u}_i and
 52 the surface ocean velocity, taken to be the sum of geostrophic \mathbf{u}_g and ageostrophic (Ekman)
 53 \mathbf{u}_e components. That is $\mathbf{u}_{rel} = \mathbf{u}_i - (\mathbf{u}_g + \mathbf{u}_e)$.

54 Observations allow estimates of τ , which may be used to produce climatologies of the
 55 Ekman pumping rate $w_{Ek} = \nabla \times (\frac{\tau}{\rho f})$ in the BG Region, BGR (Figure 1), where ρ is a ref-
 56 erence water density and f is the Coriolis parameter. The intensity of the ocean surface cur-
 57 rents plays a central role in modulating the Ekman pumping [8–11]. The strong wind- and
 58 ice-induced downwelling locally exceeds 30 m yr^{-1} if the geostrophic current is neglected
 59 (Figure 1a, blue region in the left panel). This is largely compensated by the upwelling ef-
 60 fect of the surface current flowing against the ice (red region in the central panel), acting as
 61 a negative feedback and turning off the downwelling. That is, the governor drives the system
 62 towards $\mathbf{u}_{rel} = 0$. Consequently the Ekman pumping is strongly reduced (right panel). A
 63 monthly climatology of Ekman pumping and its components averaged over the BGR (Fig-
 64 ure 1b) shows how the total Ekman pumping is reduced by the geostrophic current, and even
 65 reversed during the months of January, February and March [8].

66 We demonstrate here how the governor can be a mechanism for the equilibration of
 67 freshwater content in the gyre. For example, should the anticyclonic ice stress curl intensify,
 68 the geostrophic flow of the gyre will strengthen until the governor "kicks in" and reduces the
 69 surface stress. This is a distinct alternative to the eddy-equilibration mechanism first pro-
 70 posed for the southern ocean [12, 13], and more recently extended to the BG [9, 14–18].
 71 In the next section, we analyze the response of an idealized gyre under two different limit-
 72 case scenarios: i) an ice-driven gyre ($\alpha=1$, in which forcing depends purely on gradients of
 73 $\tau = \tau_i$) and ii) an ice free, wind-driven gyre ($\alpha=0$, in which forcing depends purely on gra-
 74 dients of $\tau = \tau_a$). We then conclude by discussing observations of Ekman pumping and
 75 dynamic topography in the BG over the last decade or so, in terms of the ice governor mech-
 76 anism. Finally we speculate on what might happen as the governor becomes less effective in
 77 a warming world and an increasingly ice free Arctic Ocean.

78 **2 The ice-ocean stress governor**

79 We run numerical experiments employing a high resolution idealized model of the BG
 80 based on the MIT General Circulation Model [19, 20] designed to capture both mesoscale

81 eddy processes and the ice-ocean governor mechanism. Simulations begin with a uniformly-
82 stratified ocean at rest in which freshwater is pumped down through the action of either a
83 wind-driven or an ice-driven surface stress. The ocean is spun up via a steady axisymmet-
84 ric, anticyclonic, wind/ice field (zero speed at the center of the domain, reaching a maximum
85 at a radius 300 km), broadly consistent with observations [8]. Wind and ice velocity magni-
86 tudes are chosen to produce the same surface stress τ_0 when the ocean is at rest. The stress
87 remains constant in the ice-free case $\alpha=0$, but evolves and, in fact, diminishes in time in the
88 ice-driven case $\alpha=1$ as the surface currents spin up to match the ice speed. Five experiments
89 are run for each scenario, varying ice and wind velocities. Additional model details are pro-
90 vided in the Supplementary material. We diagnose the gyre response by computing the max-
91 imum depth anomaly h of the $S = 31$ isohaline.

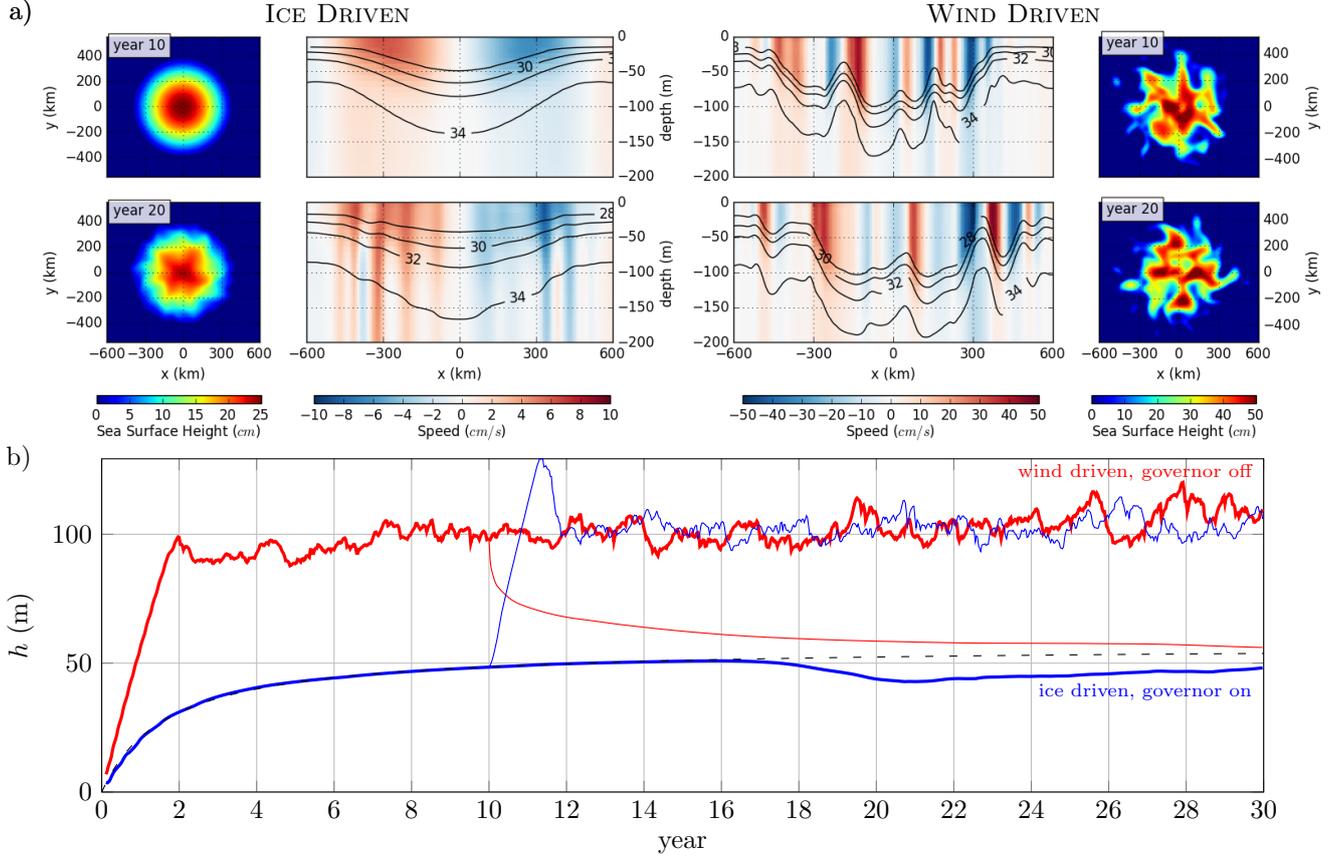
110 **2.1 Ice-driven gyre ($\alpha = 1$): stress-equilibrated**

111 We begin by describing the evolution of a typical ice-covered simulation in which the
112 ice governor operates (Figure 2a, left panel and Figure 2b, thick blue line). Ice-covered ex-
113 periments have imposed anticyclonic ice drift with a range of maximum speeds broadly in
114 agreement with observations [8]; our example simulation has an ice-speed maximum of
115 8 cm s^{-1} , corresponding to a surface stress $\tau_0 = 0.04 \text{ N m}^{-2}$. Initially, the ocean is at rest
116 and the applied surface stress gives rise to an Ekman pumping of order $w_{Ek} \approx \tau_0/(\rho f R) \approx$
117 30 m yr^{-1} , where $R \approx 300 \text{ km}$ is the radius of the gyre and $f = 1.45 \times 10^{-4} \text{ s}^{-1}$. As time
118 progresses, a geostrophic current is spun up; by year 10, the ocean surface speed is approx-
119 imately equal to the ice-drift speed (Figure 2a, top row). After 10-15 years h stabilizes at
120 a depth of around 50 m (Figure 2b, thick blue line). A relatively mild baroclinic instability
121 develops around year 20, but the ice-ocean stress governor is sufficiently efficient in the ice-
122 covered scenario that baroclinic instability does not play any appreciable role in gyre equili-
123 bration. We remark that the time at which baroclinic instability develops depends on the gyre
124 depth, and finally on the ice velocity.

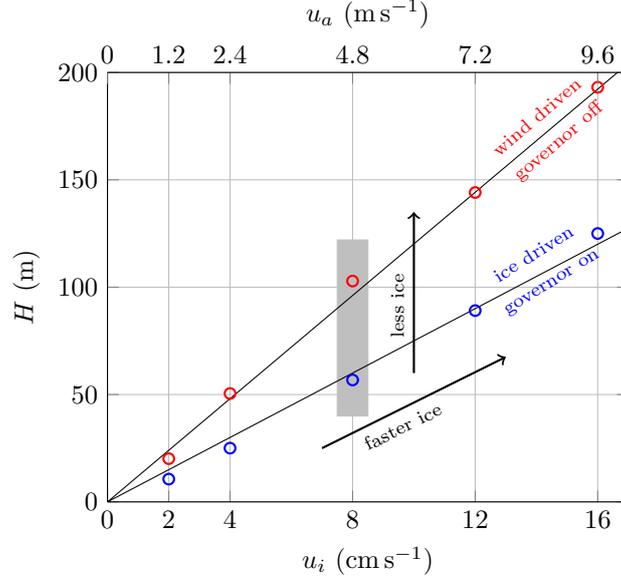
125 The isopycnal depth anomaly h increases at a rate proportional to w_{Ek} , which is pro-
126 portional to the curl of the surface stress τ_i so that we may write

$$\frac{dh}{dt} = \frac{\gamma}{\rho f} \frac{\tau_i}{R}, \quad (2)$$

127 where γ is a dimensionless constant that depends on the spatial distribution of the Ekman
128 pumping and the geometry of the isopycnal slope.



92 **Figure 2.** (a) Instantaneous sea surface height (exterior panels), vertical section of salinity (central panels,
93 black contours) and speed (central panels, color) at years 10 (top row) and 20 (bottom row) for the ice-driven
94 ($\alpha=1$ and $u_i = 8 \text{ cm s}^{-1}$, left) and the wind-driven ($\alpha=0$ and $u_a = 4.8 \text{ m s}^{-1}$, right) scenarios. Note the dif-
95 ferent ranges (color scales) between the two cases. Only the top 200 m of the 800 m domain depth are shown
96 in the central panels. (b) Depth anomaly of the 31 isohaline for the ice driven $\alpha = 1$ (blue) and wind driven
97 $\alpha = 0$ (red) scenario. For $\alpha=1$, Ekman pumping spins up the gyre until the surface ocean speed ($\mathbf{u}_g + \mathbf{u}_e$)
98 approaches the ice-drift speed (such that \mathbf{u}_{rel} approaches 0), thus turning off the ice-ocean stress driving spin
99 up. Evidence of weak baroclinic instability is visible in the later stages of the evolution (around 20 years).
100 For $\alpha=0$, the gyre inflates at a rate proportional to the Ekman pumping until it reaches a quasi-equilibrium in
101 which Ekman pumping is balanced by baroclinic instability and lateral eddy fluxes out of the gyre. Thin lines
102 in panel b) show the transition between an ice-covered and an ice-free state (blue), and vice versa (red). The
103 dashed black line is a fit of equation (6), and is almost indistinguishable from the numerical solution before
104 year 16.



105 **Figure 3.** Asymptotic isopycnal depths H for 5 different model runs each of the wind-driven (H_K , red)
 106 and ice-driven (H_τ , blue) scenarios, as a function of the wind and ice velocity respectively. The gray area
 107 marks the example cases shown in Figure 2. Arrows show two possible mechanisms for altering the depth of the
 108 gyre: an increase of the ice speed — faster ice — and the turning off of the ice-ocean governor due to a
 109 reduced ice cover — less ice.

129 In order to obtain an analytical solution which enables us to identify controlling param-
 130 eters, we make the following approximations. First, the Ekman velocity u_e may be reason-
 131 ably neglected in estimating τ_i . This velocity scales as $u_e \sim \tau_i/(\rho f D_e)$, where $D_e \approx 20$ m,
 132 the Ekman layer depth. It can be shown that when τ_i scales as the square of $[(u_i - u_g) - u_e]$
 133 then $u_e/(u_i - u_g)$ does not exceed 0.2 for the range of u_i considered here. Therefore it is a
 134 reasonable approximation to neglect u_e in the analytical model so that τ_i is only a function of
 135 u_i and u_g . Second, we suppose the bottom current to be negligible so that the magnitude of
 136 the surface geostrophic velocity may be estimated by the thermal wind relationship as follows

$$u_g \sim \frac{g' h}{f R}, \quad (3)$$

137 where $g' = g\Delta\rho/\rho$ is the reduced gravity and $\Delta\rho$ is the vertical density difference between
 138 the top and bottom of the model domain. The close agreement between theory and simula-
 139 tion, reported below, also attests to the validity of these approximations.

140 With equation (3) and (1), equation (2) may be expressed as

$$\frac{dh}{dt} = \frac{H_\tau}{T_\tau} \left(1 - \frac{h}{H_\tau}\right)^2, \quad (4)$$

141 where

$$H_\tau = \frac{f}{g'} R u_i \quad T_\tau = \frac{(fR)^2}{\gamma g' C_{Di} u_i} \quad (5)$$

142 are ice-stress-equilibrated length and time scales, respectively. The scale H_τ is the stress-
 143 equilibrated steady-state isopycnal depth (i.e., $dh/dt = 0$ when $h = H_\tau$). It can be seen from
 144 equation (3) that $h = H_\tau$ is equivalent to $u_g = u_i$ (i.e., $u_{rel} = 0$). In this limit the gyre has
 145 been equilibrated by the ice-ocean stress governor. Equation (4), with $h(t = 0) = 0$, has
 146 solution

$$h = H_\tau \left(\frac{t}{t + T_\tau} \right). \quad (6)$$

147 Values of H_τ are obtained by fitting equation (6) to each simulation, and show a linear de-
 148 pendence on u_i as expected from equation (5) (Figure 3, blue circles).

149 2.2 Wind-driven gyre ($\alpha=0$): eddy-equilibrated

150 The reference wind driven experiment (Figure 2a, right, and Figure 2b, thick red line)
 151 has an imposed anticyclonic wind-speed maximum of 4.8 m s^{-1} , corresponding to a surface
 152 stress of $\tau_a = 0.04 \text{ N m}^{-2}$, equivalent to the initial stress in the ice-driven scenario. Initially,
 153 the depth of the gyre (diagnosed by h) increases linearly at a rate proportional to the verti-
 154 cal Ekman velocity which scales as $w_{Ek} \sim \tau_a / (\rho f R) \approx 30 \text{ m yr}^{-1}$ (Figure 2b, thick red
 155 line). The linear increase in h proceeds until baroclinic instability develops. By year 2 of the
 156 simulation, $h \approx 100 \text{ m}$, or twice the asymptotic isopycnal depth anomaly of the ice-driven
 157 scenario. Baroclinic instability arrests the deepening with eddy fluxes transporting fresh-
 158 water laterally out of the gyre (Figure 2a, left). The gyre reaches a quasi-steady equilibrium
 159 characterized by an active eddy field, and a balance between Ekman downwelling and eddy
 160 fluxes [9, 16, 17].

161 The steady equilibrium state of the gyre can be described as a balance between the
 162 mean (Eulerian) overturning streamfunction (proportional to surface wind stress), and the
 163 eddy overturning streamfunction [13, 21, 22]. This *vanishing residual-mean* circulation
 164 framework [9] yields the following scaling for the equilibrated value of h :

$$H_K \sim \frac{R}{\rho f K} \tau_a = \frac{R}{\rho f K} \rho_a C_{Da} u_a^2 = u_a R \sqrt{\frac{\rho_a C_{Da}}{\rho f \kappa}}, \quad (7)$$

165 where K is eddy diffusivity and the subscript K implies eddy equilibrated. The final equality
 166 assumes a linear relationship between eddy diffusivity and isopycnal slope, $K = \kappa H_K / R$ (for
 167 some constant κ). The linear relationship between u_a and equilibrated isopycnal depth H_K

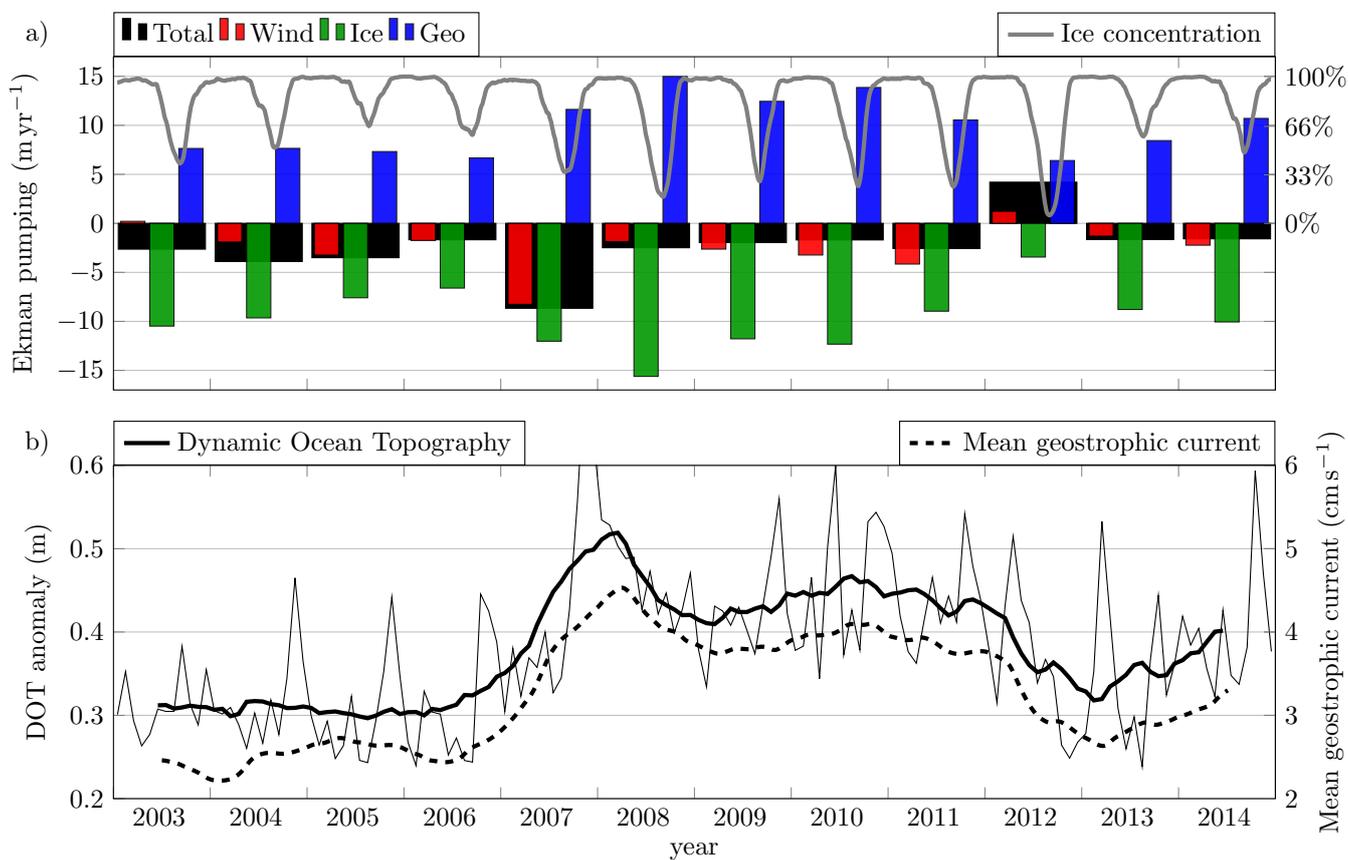
168 implied by equation (7) is clear over the range of imposed u_a in the five wind-driven simula-
169 tions (Figure 3, red circles).

170 Finally we carried out two experiments to examine the effect of a sudden transition be-
171 tween an ice-covered and an ice-free gyre. The eddying, deeper halocline transitions rapidly
172 to a non-eddy solution when the governor is turned on (Figure 2b, thin red line), and
173 the shallower, non-eddy solution transitions to an eddy solution when the governor
174 is turned off (Figure 2b, thin blue line).

175 **3 Implications of the ice-governor**

176 We have analyzed and compared two different mechanisms governing the equilibra-
177 tion of the Beaufort Gyre. In the wind driven scenario in the absence of ice, the depth of the
178 gyre is governed by the balance between Ekman pumping and a vigorous eddy field releasing
179 freshwater by baroclinic instability. This has been previously hypothesized to play a central
180 role in gyre equilibration [9, 16]. Here we have shown that another fundamental mechanism
181 is at play in the presence of ice, namely the ice-ocean stress governor, a negative feedback
182 between the ice-mediated Ekman pumping and the surface geostrophic currents of the gyre.
183 By examining the limiting cases ($\alpha = 1$ and $\alpha = 0$), we have demonstrated how the spin up
184 of the gyre, and its isopycnal depth anomaly, can be regulated by the interaction between the
185 sea-ice and the surface geostrophic current flowing at comparable speeds.

191 Evidence of the role of the ice-ocean governor over the observational period can be
192 seen by comparing the Ekman pumping climatology and Dynamic Ocean Topography (DOT)
193 [23], Figure 4. Over the record upwelling driven by the geostrophic flow approximately bal-
194 ances downwelling (evidence of the governor in action), with the exception of two notable
195 years: 2007 and 2012. In 2007, strong anticyclonic wind forcing resulted in a strong increase
196 of the DOT anomaly. The gyre consequently sped up, with stronger geostrophic currents
197 against the sea ice inducing upwelling. In 2012, cyclonic winds [25] resulted in a net total
198 upwelling and a reduction in the gyre DOT, with the system moving back towards the previ-
199 ous equilibrium in the following years. We remark that the 2012 ice component is still down-
200 welling favorable: it is the presence of the geostrophic current that drives a strong upwelling
201 in the ice covered part of the gyre; a similar but less marked effect is present in 2009, 2010,
202 2011 and 2014. Clearly the ice-ocean governor is a strong process relaxing the system back
203 to an equilibrium despite variable upwelling/downwelling signals imposed by the wind.



186 **Figure 4.** a) Yearly climatology of Ekman pumping (black bars) and its wind (red), ice with zero
 187 geostrophic current (green), and geostrophic current components (blue); mean ice concentration is shown
 188 by the gray thick line. b) Dynamic Ocean Topography[23] (DOT) anomaly over the BGR (solid thin line),
 189 its yearly running mean (solid thick line), and yearly running mean of mean geostrophic current [24] (thick
 190 dashed line).

204 This same equilibrium can be disrupted in two ways, as summarized by the arrows
205 in Figure 3: a change in the wind or ice velocity, as already suggested by previous authors
206 [6], or a change in the effectiveness of the ice-ocean governor, as suggested in the present
207 work. The pronounced seasonal cycle in the BG gives rise to wind-driven downwelling in
208 summer/fall (when sea-ice extent is smallest and ice is most mobile) and ice-ocean stress in-
209 duced upwelling during the winter months, when the ice-ocean governor is most effective
210 due to the extensive sea-ice cover (Figure 1b). This suggests the potential for an important
211 shift in BG dynamics under projected continued summer sea-ice decline in the coming years
212 and decades. Increased summer Ekman downwelling will result in a deeper gyre and faster
213 geostrophic current, while the faster geostrophic current will result in strong upwelling dur-
214 ing the ice-covered winter: depending on gyre response timescales, a stronger seasonal cycle
215 in isopycnal depth is to be expected due to the alternation of summers characterized by very
216 mobile ice and ice-covered winters. This will in turn impact the dynamics of the halocline
217 insulating the ice-cover from the warmer waters at depth, and hence on the persistence of the
218 ice-cover itself.

219 **Acknowledgements**

220 The authors thankfully acknowledge support from NSF Polar Programs, both Arctic
221 and Antarctic.

222 **Author contributions**

223 All authors contributes equally to this study.

224 **Additional information**

225 The authors declare no competing financial interests.

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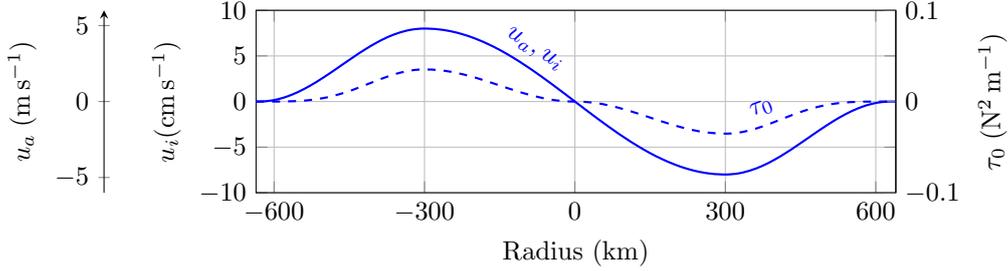
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296 **SUPPLEMENTARY INFORMATION**

297 **A: Numerical model details**

298 A configuration of the MIT General Circulation Model [19, 20] is employed for the
 299 numerical experiments described here. The domain is a 1200x1200 km by 800 m box with
 300 4 km horizontal resolution and 40 levels in the vertical, most of them near the surface to re-
 301 solve the developing halocline. The Rossby deformation radius in the Beaufort Gyre region
 302 being ≈ 15 km [26], the model can be considered eddy resolving and is thus able to repro-
 303 duce both the eddy and the ice-ocean governor processes.



304 **Figure A.1.** Forcing profile for the wind and ice driven model experiments (solid line) and equivalent
 305 surface stress (dashed line). In the ice driven case, the surface stress is for the ocean at rest.

306 All simulations begin with the ocean at rest, and salinity linearly increasing from 34
 307 psu at the surface to 35 psu at 800 m; density is considered to be a function of salinity only,
 308 effectively the case in the BG, and a linear equation of state is used. The ocean is spun up
 309 by time-invariant axisymmetric, anticyclonic, wind and ice velocity profiles shown in Fig-
 310 ure A.1, and surface stress is computed from velocity using the equivalent of equation (1)
 311 with $\alpha = 0$ or 1 over the entire domain. The stress remains constant in the wind-driven sce-
 312 nario $\alpha = 0$, but is reduced by the increasing ocean surface velocity in the ice-driven scenario
 313 $\alpha = 1$. Surface salinity is relaxed to a target value of 27 psu during the spin up process,
 314 and bottom salinity to 35 psu, consistent with BG observations. The wind and ice veloci-
 315 ties are within the range of observed values [8], and are chosen to produce the same surface
 316 stress when the ocean is at rest. f -plane dynamics are considered with Coriolis parameter
 317 $f = 1.45 \times 10^{-4} \text{ s}^{-1}$, and a vertical diffusion coefficient of $1 \times 10^{-3} \text{ m}^2 \text{ s}^{-1}$ is used. Isopycnal
 318 depth anomaly is computed as the difference between the maximum and the minimum depth
 319 of the 31 psu isohaline over the entire domain.