The Ice-Ocean governor: ice-ocean stress feedback limits Beaufort Gyre spin up

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April 6, 2018

9 Abstract

The Beaufort Gyre is a key circulation system of the Arctic Ocean and the main reservoir of 10 freshwater within it. Storage and release of its freshwater not only has significant implica-11 tions for the fate of Arctic sea ice cover, but also to climate in the North Atlantic and glob-12 ally. We present a new mechanism that is fundamental to the dynamics of the Arctic and its 13 ability to store fresh water, namely the "ice-ocean governor". Wind blows over the ice and 14 the ice drags the ocean along with it. But as the gyre spins up, surface currents catch the ice 15 up and effectively turn off the Ekman pumping. This governor sets the basic properties of 16 the gyre, such as its depth, freshwater content, and strength. Analytical and numerical mod-17 eling is employed to demonstrate the mechanism, contrasting equilibration processes in an 18 ice-covered versus ice-free gyre. Observations are presented and interpreted in terms of the 19 governor mechanism. Our study has significant consequences for freshwater accumulation 20 and release in a warming climate with continued sea-ice losses. We argue that reduced sea-21 ice extent and more mobile ice will result in the gyre becoming deeper and accumulating 22 more freshwater which will ultimately be released by instability of the gyre. 23

24 1 Introduction

Anticyclonic winds centered over the Arctic Ocean's Beaufort Gyre (BG) force a lat-25 eral Ekman transport bringing surface freshwater towards the center of the gyre and driving 26 it downwards. This convergence increases the freshwater content of the BG and spins up the 27 geostrophic current [1–5]. Freshwater accumulation, storage and release from the BG, con-28 trolled by these wind-driven dynamics, have far-reaching influence on Arctic and global cli-29 mate [4]. However, wind variability alone cannot completely explain the variability in fresh-30 water content [6]: gyre spin up and freshwater increase, proportional to the curl of the ocean 31 surface stress, are complicated by the presence of sea ice cover, which mediates wind forc-32 ing on the ocean [7-11]. Here we show how a previously unconsidered interaction between 33 under-ice geostrophic ocean currents and sea-ice cover (an ice-ocean stress governor) plays 34 a key role in regulating the strength and sign of the ice-ocean stress curl, and in equilibrating 35 the freshwater content of the BG. 36

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The total stress τ at the ocean surface is a combination of ice-ocean stress τ_i and airocean stress τ_a , each of which may be estimated by a quadratic drag law, weighted by the



Figure 1. Ekman pumping climatology. (a) Mean Ekman pumping over 2003-2014; negative (blue) in-37 dicates downwelling, positive (red) upwelling. Left: downwelling estimates locally exceed 30 m yr^{-1} if the 38 geostrophic current is neglected; center: inclusion of the geostrophic current results in an upwelling effect, 39 largely compensating the ice-driven downwelling; right: the net Ekman pumping, the sum of the previous 40 two panels, yields only moderate downwelling together with patches of upwelling. The BG Region (BGR) is 41 marked by a red line in the inset. (b) Monthly Ekman pumping climatology over the BGR and its partitioned 42 contributions, where negative indicates downwelling. Black bars show total Ekman pumping, equivalent to 43 the right panel in a). Red and orange bars show pumping induced by winds over ice-free regions, and by ice 44 in ice-covered regions respectively. Within ice-covered regions, empty green bars show downwelling induced 45 by the ice if the geostrophic current is neglected, while blue bars show upwelling induced by the geostrophic 46 currents flowing under the sea ice. Blue and green bars largely balance each another, and exactly balance if 47 $u_{rel}=0$. Grey dots represent ice concentration. 48

$$\tau = \alpha \underbrace{\rho C_{Di} |\boldsymbol{u}_{rel}| (\boldsymbol{u}_{rel})}_{\tau_i} + (1 - \alpha) \underbrace{\rho_a C_{Da} |\boldsymbol{u}_a| (\boldsymbol{u}_a)}_{\tau_a}.$$
(1)

 C_{Di} and C_{Da} are drag coefficients for the ice-ocean and air-ocean stress respectively, ρ is water density, and ρ_a is air density. In the computation of τ_a , the surface ocean velocity, of a few cm s⁻¹, is considered negligible with respect to a wind velocity u_a of a few m s⁻¹. On the other hand, surface ocean velocity cannot be neglected in the estimation of τ_i . The iceocean relative velocity u_{rel} is expressed as the difference between the ice velocity u_i and the surface ocean velocity, taken to be the sum of geostrophic u_g and ageostrophic (Ekman) u_e components. That is $u_{rel} = u_i - (u_g + u_e)$.

Observations of wind, ice velocity, surface current and ice concentration allow esti-59 mates of τ , which may be used to produce climatologies of the Ekman pumping rate w_{Ek} = 60 $\nabla \times (\frac{\tau}{\alpha f})$ in the BG Region, (BGR, Figure 1), where f is the Coriolis parameter. The in-61 tensity of the ocean surface currents plays a central role in modulating the Ekman pump-62 ing in an ice covered gyre [8–11]. Estimates of wind- and ice-induced downwelling locally 63 exceeds 30 m yr⁻¹ if the geostrophic current is neglected (Figure 1a, blue region in the left 64 panel, see also [12, 13]). This is largely compensated by the upwelling effect of the surface 65 current flowing below the ice (red region in the central panel), acting as a negative feedback 66 and turning off the downwelling. That is, the governor drives the system towards $u_{rel} = 0$. 67 Consequently the net Ekman pumping is strongly reduced (right panel). A monthly climatol-68 ogy of Ekman pumping and its components averaged over the BGR (Figure 1b) shows how 69 the total Ekman pumping is reduced by the geostrophic current, and even reversed during the 70 months of January, February and March [11]. 71

We demonstrate here how the governor acts as a mechanism to equilibrate the fresh-72 water content of the gyre. For example, should the anticyclonic ice stress curl — and fresh-73 water accumulation rate — intensify, the geostrophic flow of the gyre will strengthen until 74 the governor "kicks in" and reduces the surface stress and freshwater accumulation rate. This 75 is a distinct alternative to the eddy-equilibration mechanism first proposed for the southern 76 ocean [14, 15], and more recently extended to the BG [7, 8, 16-19]. To explore the gover-77 nor mechanism, we start by analyzing the response of an idealized gyre under two different 78 limit-case scenarios: i) an ice-driven gyre (α =1, in which forcing depends purely on gradi-79 ents of $\tau = \tau_i$) and ii) an ice free, wind-driven gyre (α =0, in which forcing depends purely 80 on gradients of $\tau = \tau_a$). We then proceed by discussing observations of Ekman pumping, 81

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⁸² Dynamic Ocean Topography (DOT) and freshwater content anomaly (FWC) in the BG over ⁸³ the last decade or so, in terms of the ice governor mechanism. We conclude by speculating ⁸⁴ on the role of the governor in a warming world characterized by an increasingly ice free Arc-⁸⁵ tic Ocean.

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2 The ice-ocean stress governor

We run numerical experiments employing a high resolution idealized model of the 87 BG based on the MIT General Circulation Model [20, 21] and designed to capture both 88 mesoscale eddy processes and the ice-ocean governor mechanism (see Supplementary ma-89 terial), and then compare the numerical results with a simple analytical model capturing the 90 essential components of the ice-ocean governor. All simulations begin with a uniformly-91 stratified ocean at rest in which freshwater is pumped down through the action of either a 92 wind-driven or an ice-driven surface stress. The ocean is spun up via a steady axisymmetric, 93 anticyclonic, wind/ice field with zero speed at the center of the domain and reaching a maxi-94 mum at a radius of 300 km (see Figure A.1), broadly consistent with observations [11]. Wind 95 and ice velocity magnitudes are chosen to produce the same surface stress τ_0 when the ocean 96 is at rest. The stress, and hence the freshwater accumulation rate, remains constant in the ice-97 free case $\alpha = 0$, but evolves and, in fact, diminishes in time in the ice-driven case $\alpha = 1$ as the 98 surface currents spin up to match the ice speed. Five experiments are run for each scenario, 99 varying ice and wind velocities; we diagnose the gyre response by computing the maximum 100 depth anomaly h of the S = 31 isohaline and the change in freshwater content (see Supple-101 mentary material) stored in the gyre (Figure 2). 102

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2.1 Ice-driven gyre ($\alpha = 1$): stress-equilibrated

We begin by describing the evolution of a typical ice-covered simulation in which the 124 ice governor operates (Figure 2a, left panel and Figure 2b, thick blue line). Ice-covered ex-125 periments have imposed anticyclonic ice drift with a range of maximum speeds broadly in 126 agreement with observations [11]; our example simulation has an ice-speed maximum of 127 8 cm s⁻¹, corresponding to a surface stress $\tau_0 = 0.04$ N m⁻². Initially, the ocean is at rest 128 and the applied surface stress gives rise to an Ekman pumping of order $w_{Ek} \approx \tau_0/(\rho f R) \approx$ 129 30 m yr⁻¹, where $R \approx 300$ km is the radius of the gyre and $f = 1.45 \times 10^{-4}$ s⁻¹. As time 130 progresses, surface freshwater is accumulated towards the center of the gyre and pumped 131 downwards inflating isohalines, and a geostrophic current is spun up; by year 10, the ocean 132



Figure 2. (a) Instantaneous sea surface height (exterior panels), vertical section of salinity (central panels, 103 black contours) and speed (central panels, color) at years 10 (top row) and 20 (bottom row) for the ice-driven 104 $(\alpha = 1 \text{ and } u_i = 8 \text{ cm s}^{-1}, \text{ left})$ and the wind-driven $(\alpha = 0 \text{ and } u_a = 4.8 \text{ m s}^{-1}, \text{ right})$ scenarios. Note the dif-105 ferent ranges (color scales) between the two cases. Only the top 200 m of the 800 m domain depth are shown 106 in the central panels. (b) Depth anomaly h of the 31 isohaline, and equivalent freshwater content anomaly 107 (FWC, right axis), for the ice driven $\alpha = 1$ (blue) and wind driven $\alpha = 0$ (red) scenario. For $\alpha = 1$, Ekman 108 pumping spins up the gyre until the surface ocean speed approaches the ice-drift speed (such that u_{rel} ap-109 proaches 0), thus turning off the ice-ocean stress driving spin up. The dashed black line is a fit of equation (6), 110 and is indistinguishable from the numerical solution before year 16. Evidence of weak baroclinic instability is 111 visible in the later stages of the evolution (around year 20). For $\alpha=0$, the gyre inflates at a constant rate pro-112 portional to the Ekman pumping until it reaches a quasi-equilibrium in which Ekman pumping is balanced by 113 baroclinic instability and lateral eddy fluxes out of the gyre. Thin lines in panel b) show the transition between 114 an ice-covered and an ice-free state (blue), and vice versa (red). 115



Figure 3. Asymptotic depth anomaly H, and equivalent freshwater content anomaly (FWC, right axis), for 5 different model runs each of the wind-driven (H_K , red) and ice-driven (H_τ , blue) scenarios, as a function of the wind and ice velocity respectively. Black lines show the theoretical prediction from equation (5) for the ice driven (blue) scenario, and the least-squares fit for the wind driven (red) scenario. The gray area marks the example cases shown in Figure 2. Arrows show two possible mechanisms for altering the depth and freshwater content of the gyre: an increase of the ice speed — faster ice — and the turning off of the ice-ocean governor due to a reduced ice cover — less ice.

¹³³ surface speed is approximately equal to the ice-drift speed (Figure 2a, top row). After 10-15 ¹³⁴ years *h* stabilizes at a depth of around 50 m, and the freshwater content anomaly equilibrates ¹³⁵ at a maximum of around $15\,000\,\mathrm{km^3}$ (Figure 2b, thick blue line). Weak baroclinic instability ¹³⁶ develops around year 20, but the ice-ocean stress governor is sufficiently efficient in the ice-¹³⁷ covered scenario that baroclinic instability does not play any appreciable role in gyre equili-¹³⁸ bration. We remark that the time at which baroclinic instability develops depends on the gyre ¹³⁹ depth, and finally on the ice velocity.

To develop a conceptual model, we assume that the isopycnal depth anomaly h increases at a rate proportional to w_{Ek} , which in the ice-driven case is proportional to the curl of the surface stress τ_i , and thus depends on the geostrophic velocity u_g via equation (1). We may then write

$$\frac{dh}{dt} = \frac{\gamma}{\rho f} \frac{\tau_i}{R},\tag{2}$$

where γ is a dimensionless constant that depends on the spatial distribution of the Ekman

¹⁴⁵ pumping and the geometry of the isopycnal slope.

In order to obtain an analytical solution which enables us to identify controlling parameters, we make the following approximations. First, the Ekman velocity u_e may be reasonably neglected in estimating τ_i as, at first order, it can be considered itself a linear function of $u_i - u_g$ and thus absorbed by the constant γ . Second, we suppose the bottom current to be negligible so that the magnitude of the surface geostrophic velocity may be estimated by the thermal wind relationship as follows

$$u_g \sim \frac{g'}{f} \frac{h}{R},\tag{3}$$

where $g' = g\Delta\rho/\rho$ is the reduced gravity and $\Delta\rho$ is the vertical density difference between the top and bottom of the model domain. The close agreement between theory and simulation, reported below, attests to the validity of these approximations.

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With equation (3) and (1), equation (2) may be expressed as

$$\frac{dh}{dt} = \frac{H_{\tau}}{T_{\tau}} \left(1 - \frac{h}{H_{\tau}} \right)^2,\tag{4}$$

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$$H_{\tau} = \frac{f}{g'} R u_i \quad \text{and} \quad T_{\tau} = \frac{(fR)^2}{\gamma g' C_{Di} u_i}$$
(5)

are ice-stress-equilibrated length and time scales respectively and we have taken $h < H_{\tau}$ (equivalent to $u_g < u_i$) to remove the absolute value from equation (1). The scale H_{τ} is the stress-equilibrated steady-state isopycnal depth (i.e., dh/dt = 0 when $h = H_{\tau}$). It can be seen from equation (3) and (5) that $h = H_{\tau}$ is equivalent to $u_g = u_i$ (i.e., $u_{rel} = 0$). In this limit the gyre has been equilibrated by the ice-ocean stress governor. Equation (4), with h(t = 0) = 0, has solution

$$h = H_{\tau} \left(\frac{t}{t + T_{\tau}} \right). \tag{6}$$

Values of H_{τ} are obtained by fitting equation (6) to each simulation, and show a linear de-

pendence on u_i as expected from equation (5) (Figure 3, blue circles).

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2.2 Wind-driven gyre (α =0): eddy-equilibrated

The reference wind driven experiment (Figure 2a, right, and Figure 2b, thick red line) has an imposed anticyclonic wind-speed maximum of 4.8 m s^{-1} , corresponding to a surface stress of $\tau_a = 0.04 \text{ N m}^{-2}$, equivalent to the initial stress in the ice-driven scenario. Initially, the depth of the gyre (diagnosed by *h*) and its freshwater content increase linearly at

a constant rate proportional to the vertical Ekman pumping of order $w_{Ek} \approx \tau_a/(\rho f R) \approx$ 170 $30 \,\mathrm{m}\,\mathrm{yr}^{-1}$ (Figure 2b, thick red line). The linear increase in h proceeds until baroclinic insta-171 bility develops. By year 2 of the simulation, $h \approx 100$ m and the freshwater content anomaly 172 reaches $30\,000\,\mathrm{km}^2$, or twice the asymptotic values of the ice-driven scenario despite the ini-173 tial spin-up rate being the same. Baroclinic instability ultimately arrests the deepening with 174 eddy fluxes transporting freshwater laterally out of the gyre (Figure 2a, right). The gyre then 175 reaches a quasi-steady equilibrium characterized by an active eddy field, and a balance be-176 tween Ekman downwelling and eddy fluxes [8, 17, 18]. 177

The statistically steady equilibrium state of the gyre can be described as a balance between the mean (Eulerian) overturning streamfunction (proportional to surface wind stress), and the eddy overturning streamfunction [15, 22, 23]. This *vanishing residual-mean* circulation framework [8] yields the following scaling for the equilibrated value of *h*:

$$H_K \sim \frac{R}{\rho f K} \tau_a = \frac{R}{\rho f K} \rho_a C_{Da} u_a^2 = u_a R \sqrt{\frac{\rho_a C_{Da}}{\rho f \kappa}},\tag{7}$$

where *K* is eddy diffusivity and the subscript *K* implies eddy equilibrated. The final equality assumes a linear relationship between eddy diffusivity and isopycnal slope, $K = \kappa H_K/R$ (for some constant κ). The linear relationship between u_a and equilibrated isopycnal depth H_K implied by equation (7) is clear over the range of imposed u_a in the five wind-driven simulations (Figure 3, red circles).

Finally we carry out two experiments to examine the effect of a sudden transition between an ice-covered and an ice-free gyre. The eddying, deeper halocline transitions rapidly to a non-eddying solution when the governor is turned on by adding the ice cover (Figure 2b, thin red line). The shallower, non-eddying solution transitions to an eddying solution when the governor is turned off by removing the ice cover (Figure 2b, thin blue line). Approximately 15 000 km³ of freshwater is released or accumulated during the process.

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3 Implications of the ice-governor

We have analyzed and compared two different mechanisms governing the equilibration of the Beaufort Gyre. In the wind driven scenario in the absence of ice, the depth and freshwater content of the gyre is governed by the balance between Ekman pumping accumulating surface freshwater and a vigorous eddy field releasing it by baroclinic instability. This has been previously hypothesized to play a central role in gyre equilibration [8, 17]. Here we have introduced another fundamental mechanism, namely the ice-ocean stress governor, a negative feedback between the ice-mediated Ekman pumping and the surface geostrophic
currents of the gyre. We have demonstrated how, in an ice-driven gyre, the spin up of the
gyre, its isopycnal depth anomaly and its freshwater content, can be regulated by the interaction between the sea-ice and the surface geostrophic current flowing at comparable speeds.
In this new scenario, the regulating mechanism drives freshwater accumulation rate to zero
rather than releasing the accumulated freshwater by eddy transport.

We have considered either completely ice covered ($\alpha = 1$) or ice free ($\alpha = 0$) scenarios. It has to be remarked that the case of low ice concentrations, when ice is free-drifting, is nearly equivalent to the wind driven case. By contrast, the case of completely immobile ice would shield the underlying ocean from the winds above and result in the anticyclonic gyre spinning down, upwelling and divergence of freshwater out of the gyre through surface Ekman processes.

Evidence of the role of the ice-ocean governor can be seen by comparing the Ekman 215 pumping climatology and Dynamic Ocean Topography (DOT) or, equivalently, freshwater 216 content anomaly (FWC) [24] during the 2003-2014 observational period (Figure 4). Ice-217 driven downwelling is approximately balanced by the geostrophic-flow-driven upwelling over 218 the entire record (empty green and blue bars respectively). The largest increase in DOT and 219 FWC takes place in late 2007 [24, 25]; we argue that two different Ekman pumping regimes 220 characterize the gyre before and after 2007-2008. In 2007, strong anticyclonic winds (red 221 bars) acting on a largely ice free gyre (thick grey curve) increased its freshwater content by 222 approximately 5000 km³, or about a third of the 15 000 km³ accumulated by our idealized 223 model when the ice cover was removed (thin blue line in Figure 2b). The associated tran-224 sition from a slow to a fast gyre [26] is reflected in the change from a downwelling- to an 225 upwelling-favorable Ekman pumping regime for the ice covered regions (orange bars), de-226 spite an always downwelling favorable Ekman pumping in the ice free regions (red bars). 227 This is the case until 2012, when, in addition to the usual wintertime current driven up-228 welling, cyclonic winds in summer [11, 27] result in a temporary reduction in the gyre DOT 229 and freshwater content; the system returns towards the 2009-2011 equilibrium in the follow-230 ing years. We remark that the 2012 ice component (green empty bar) is still downwelling 231 favorable despite cyclonic winds in the annual mean; indeed the total Ekman pumping would 232 also be downward if it were not for the geostrophic current driving a strong upwelling in the 233 ice covered region of the gyre. Clearly the ice-ocean governor plays an important role in sta-234 bilizing the gyre. 235

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Figure 4. a) Yearly Ekman pumping climatology over the BGR, bars as in Figure 1b; mean ice concentra-

tion is shown by the gray thick line. b) Mean Dynamic Ocean Topography (DOT) [24] and freshwater content

anomaly (FWC) with respect to the 2003-2005 mean (right axis) over the BGR.

In conclusion, the equilibrium of the gyre can be disrupted in two ways, as summarized 236 by the arrows in Figure 3: a change in the wind or ice velocity, as suggested by previous au-237 thors [6], or a change in the effectiveness of the ice-ocean governor, as shown here. This 238 suggests the potential for an important shift in BG dynamics under projected continued sum-239 mer sea-ice decline in the coming years and decades. Increased summer Ekman downwelling 240 will result in a deeper gyre, faster geostrophic currents and a more intense eddy field, while 241 the faster geostrophic currents will drive a strong upwelling during the ice-covered winter: 242 depending on gyre response timescales, a stronger seasonal cycle and spatial variability in 243 isopycnal depth is to be expected, with the ice-ocean governor effectiveness changing with 244 the evolving ice cover. This will in turn impact the dynamics of the halocline that insulates 245 the ice-cover from warmer waters at depth, and hence the persistence of the ice-cover itself. 246

- 247 Acknowledgements
- The authors thankfully acknowledge support from NSF Polar Programs, both Arctic
 and Antarctic.
- **Author contributions**
 - All authors contributed equally to this study.

252 Additional information

²⁵³ The authors declare no competing financial interests.

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329 SUPPLEMENTARY INFORMATION

330 A: Numerical model details

A configuration of the MIT General Circulation Model [20, 21] is employed for the numerical experiments described here. The domain is a 1200x1200 km by 800 m box with 4 km horizontal resolution and 40 levels in the vertical, most of them near the surface to resolve the developing halocline. The Rossby deformation radius in the model is ≈ 15 km, consistent with characteristic values of the Beaufort Gyre [28]. Therefore, the model can be considered eddy resolving and is thus able to reproduce both the eddy and the ice-ocean governor processes.



Figure A.1. Forcing profile for the wind and ice driven model experiments (solid line) and equivalent surface stress (dashed line). In the ice driven case, the surface stress is for the ocean at rest.

All simulations begin with the ocean at rest, and salinity linearly increasing from S =340 34 at the surface to S = 35 at 800 m; density is considered to be a function of salinity only, 341 effectively the case in the BG, and a linear equation of state is used. The ocean is spun up 342 by time-invariant axisymmetric, anticyclonic, wind and ice velocity profiles shown in Fig-343 ure A.1, and surface stress is computed from velocity using the equivalent of equation (1) 344 with $\alpha = 0$ or 1 over the entire domain. The stress remains constant in the wind-driven sce-345 nario $\alpha = 0$, but is reduced by the increasing ocean surface velocity in the ice-driven scenario 346 $\alpha = 1$. Surface salinity is relaxed to a target value of S = 27 during the spin up process, 347 and bottom salinity to S = 35, consistent with BG observations. The wind and ice veloci-348 ties are within the range of observed values [11], and are chosen to produce the same surface 349 stress when the ocean is at rest. f-plane dynamics are considered with Coriolis parameter 350 $f = 1.45 \times 10^{-4} \text{ s}^{-1}$, and a vertical diffusion coefficient of $1 \times 10^{-3} \text{ m}^2 \text{ s}^{-1}$ is used. Isopycnal 351 depth anomaly is computed as the difference between the maximum and the minimum depth 352 of the S = 31 isohaline over the entire domain. 353

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B: Freshwater content



Figure B.1. Freshwater content anomaly (FWC) vs isopycnal depth anomaly for all simulations (circles)
 and the relationship implied by Figure 2b and Figure 3 (black line).

The freshwater anomaly for the model shown in Figure 2b and Figure 3 (right axis) is estimated as

$$FWC = \frac{S_2 - S_1}{S_2} A \sum_i h_i \tag{B.1}$$

where h_i is the S = 31 isohaline depth anomaly for each cell of the domain, $S_1 = 27$ is the surface salinity and $S_2 = 35$ is salinity at the bottom of the domain. This is equivalent to considering a model composed of two homogeneous layers of salinity S_1 and S_2 respectively, in accord with our analytical model and [6]. Freshwater content for all model runs is plotted against $h = \max_i h_i$ in Figure B.1.