

1 **Understanding Arctic Ocean circulation:**
2 **a review of ocean dynamics in a changing climate**

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7 **Key Points:**

- 8 • Major features of Arctic Ocean circulation are reviewed and interpreted theoret-
9 ically
- 10 • Fundamental ocean dynamics are set in the context of a changing Arctic climate
- 11 • We describe how Arctic dynamics might change in the future

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Abstract

The Arctic Ocean is a focal point of climate change, with ocean warming, freshening, sea-ice decline and circulation that link to the changing atmospheric and terrestrial environment. Major features of the Arctic and the interconnected nature of its wind- and buoyancy-driven circulation are reviewed here by presenting a synthesis of observational data interpreted from the perspective of geophysical fluid dynamics (GFD). The general circulation is seen to be the superposition of Atlantic Water flowing into and around the Arctic basin, and the two main wind-driven circulation features of the interior stratified Arctic Ocean: the Transpolar Drift Stream and the Beaufort Gyre. The specific drivers of these systems and their associated GFD are explored. The essential understanding guides an assessment of how Arctic Ocean structure and dynamics might fundamentally change as the Arctic warms, sea-ice cover declines and the ice that remains becomes more mobile.

1 Introduction

The Arctic Ocean, centered over the north pole and surrounded by land, is covered entirely by a thin (order 1 m) layer of sea ice in winter, which can shrink by up to 2/3 every summer. Arctic summer sea-ice appears to be in rapid decline in recent decades (D. Perovich et al., 2019). Moreover the north polar regions are warming faster than the global-mean (Overland et al., 2019) — a phenomenon known as Arctic amplification — further accelerating Arctic change. For these reasons the Arctic is particularly vulnerable to climate change. In the coming decades we may expect to enter a new regime, in which the interior Arctic Ocean is entirely ice free in summer and sea ice is thinner and more mobile in winter (e.g., T. W. Haine & Martin, 2017). Some climate model scenarios suggest the Arctic Ocean may be seasonally ice free by ~ 2050 (Collins et al., 2013). A seasonally ice-free Arctic will have vast implications for Arctic oceanography, the marine ecosystems it supports and the larger-scale climate. It will also have wide-ranging consequences for Arctic communities, geopolitics and policy as Arctic coastal environments and sea routes change and Arctic resources become more accessible. Urgent challenges will be to implement effective observing strategies, and synthesize observations in theoretical and modeling analyses to better understand the ocean’s role and interrelationships in the Arctic system.

43 In this review we summarize some major aspects of Arctic Ocean physical oceanog-
44 raphy by presenting key observations in a common format, discuss the cause of its gen-
45 eral circulation and how it might change as the Arctic enters a new sea-ice regime. The
46 physical oceanography is complex and, due to the presence of sea ice, difficult to observe.
47 The first ocean measurements from the central Arctic Ocean were made during Fridtjof
48 Nansen’s 1893-1896 drift of the Fram (Nansen, 1897). Observations revealed it to be a
49 vast deep basin, and confirmed the existence of the Transpolar Drift Stream, the flow
50 of ice and water from the coast of Siberia across the Arctic to the North Atlantic via the
51 east coast of Greenland. It was during Nansen’s expedition that the observation was made
52 that sea ice drifts somewhat to the right of the prevailing wind direction — an obser-
53 vation that was the foundation of Ekman’s theory describing the friction-Coriolis force
54 balance in geophysical fluid boundary layers (Ekman et al., 1905). Rudels et al. (2012)
55 provides a concise review of the exploration history leading to the general picture in the
56 mid-1900s of the Arctic being a deep ocean characterized by complex bathymetry and
57 relatively warm water of Atlantic Ocean origins underlying relatively cool and fresh sur-
58 face waters capped with ice (Figure 1).

59 The Arctic Ocean receives inflows from the Atlantic and Pacific oceans and North
60 American and Siberian rivers. Its stratification is set by salinity (there is a halocline rather
61 than a thermocline) with melting and freezing of sea ice being a central player in the fresh-
62 water cycle and in the mediation of the wind stress acting at the surface. Familiar, text-
63 book paradigms of ocean circulation, such as *Sverdrup balance*, that underpin theories
64 of the mid-latitude oceans, are not applicable in the Arctic where the north-south gra-
65 dient of the Coriolis parameter is vanishingly small. The rapid changes that are presently
66 underway have raised new questions about the Arctic Ocean’s future dynamics, the rel-
67 ative importance of influences exterior and interior to the Arctic, and the complex ocean-
68 ice-atmosphere interactions and feedbacks which involve and evolve as sea-ice declines.
69 Our review is led by observations, and we apply the underlying theory of geophysical fluid
70 dynamics to shed light on contemporary circulation characteristics presenting what we
71 consider to be the key ideas. We then speculate how the fundamental dynamics may be
72 transformed under continued Arctic change.

73 Our review is outlined as follows. In Section 2 we describe the geographical and
74 bathymetric setting of the Arctic, how it connects to the rest of the world ocean, Arc-
75 tic Ocean surface properties, and the wind patterns driving the circulation. Two key cen-

76 ters of meteorological action are the Beaufort High and the Icelandic Low, introducing
77 anticyclonic and cyclonic vorticity tendencies, respectively. In Section 3 we describe the
78 Arctic Ocean temperature and salinity structure and buoyancy forcing (dominated by
79 surface freshwater fluxes). Mixing and stirring in the Arctic Ocean are described in Sec-
80 tion 4. The observed circulation of warm, salty Atlantic Water entering and circulating
81 around the Arctic basin is described in Section 5. Its transformation within the semi-
82 enclosed Arctic basin is associated with mixing of cold, fresh water from above (Section
83 5.1). The wind provides a source of energy for mixing, but also its cyclonic curl exter-
84 nal to the basin (associated with the Icelandic Low) plays an important role in draw-
85 ing Atlantic Water, strongly steered by topography, in to the Arctic basin (Section 5.2).
86 Interior to the Arctic basin, the two main wind-driven circulation features are the Trans-
87 polar Drift Stream and the anticyclonic Beaufort Gyre, under the influence of the Beau-
88 fort High, as discussed in Sections 6 and 7, respectively. In Section 8 we describe how
89 the Arctic system is changing as the Earth warms and how those changes may manifest
90 themselves in the circulation dynamics. In Section 9, we attempt to synthesize the over-
91 all ocean structure and dynamics in a conceptual framework within which we can con-
92 template and reconcile ongoing and future Arctic change.

93 **2 Geographical setting and Arctic Ocean surface properties**

94 The Arctic Ocean, along with the Greenland, Iceland and Norwegian seas (the Nordic
95 Seas) are together referred to as the *Arctic Mediterranean* because, as shown in Figure
96 1a, it is a large deep basin of water surrounded by land and shallower channels (see e.g.,
97 Sverdrup, Johnson, Fleming, et al., 1942). The main entry to the Arctic Mediterranean
98 is marked by the Greenland-Scotland Ridge. Relatively warm and salty Atlantic Ocean
99 water flows across the Greenland-Scotland Ridge into the Nordic Seas (Hansen et al.,
100 2008). Atlantic water enters the Arctic via Fram Strait and the Barents Sea Opening
101 (see e.g., Beszczynska-Möller, Fahrbach, Schauer, & Hansen, 2012; Ingvaldsen, Loeng,
102 & Asplin, 2002; Schauer, Fahrbach, Osterhus, & Rohardt, 2004). The only oceanic gate-
103 way between the Pacific and Arctic oceans is Bering Strait where Pacific Water inflows
104 provide an important source of fresh water and heat to the Arctic Ocean (T. W. N. Haine
105 et al., 2015; Woodgate, Weingartner, & Lindsay, 2010). Waters leave the Arctic Ocean
106 via straits in the Canadian Arctic Archipelago (e.g., LeBlond, 1980; Münchow, Melling,

107 & Falkner, 2006), and in the East Greenland current that flows south on the west side
108 of Fram Strait (e.g., Woodgate, Fahrbach, & Rohardt, 1999).

109 The bathymetric and topographic complexity within the Arctic is extreme and ex-
110 erts strong controls on circulation pathways, ventilation and exchange processes between
111 Arctic basins. Bathymetry also influences the spatial variability of diapycnal mixing and
112 baroclinic instability, as described in Section 4. The roughly 4000 m deep Arctic basin
113 is divided by the Lomonosov Ridge, with a mean depth of around 1500 m (Cochran, Ed-
114 wards, & Coakley, 2006), separating the Eurasian and Canadian basins. These two basins
115 are subdivided into the Amundsen and Nansen basins (separated by the Gakkel Ridge)
116 and the Makarov and Canada basins (separated by the \sim 2200-m-deep Alpha and Mendeleyev
117 Ridges), Figure 1.

118 The Arctic is under the influence of two major wind-patterns: the Beaufort High
119 centered over the Canadian Basin, introducing anticyclonic tendencies, and the Icelandic
120 Low centered just outside of the Arctic basin inducing cyclonic tendencies and orches-
121 trating the Arctic gateway to the Atlantic (Figure 2c). Wind-stress curl patterns are such
122 that there is broad Ekman downwelling over much of the Arctic Ocean, and relatively
123 strong upwelling over the Nordic Seas, indicated by the blue and red colors in Figure 2c,
124 respectively. Sea-ice motion (Figure 2a, white arrows), and surface ocean geostrophic flow
125 (Figure 2d), generally follow the wind with the anticyclonic flow of the Beaufort Gyre
126 (the dominant upper-ocean circulation feature of the Canadian Basin) and Transpolar
127 Drift Stream being clearly evident.

128 Arctic sea-ice cover extends throughout the Arctic Ocean in winter (approximately
129 where white arrows are present in Figure 2a), and is characterized by an average thick-
130 ness of around 2 m. Sea-ice has a large seasonal cycle, with summer sea-ice extent in re-
131 cent years generally around one-third of the winter extent. The winter maximum extent
132 occurs in March, while the sea-ice minimum is in September. The August 2018 sea-ice
133 distribution is shown in Figure 2b (colored white) together with the August mean ex-
134 tent for 1981-2010 (black contour). Since 1979 (the start of the satellite record) a lin-
135 ear trend indicates summer (September) sea ice has been declining at a rate of about 1
136 million square kilometers per decade, with sea ice covering about 4.5 million square kilo-
137 meters in September in recent years (e.g., D. Perovich et al., 2019; D. K. Perovich & Richter-
138 Menge, 2009; Richter-Menge, Jeffries, & Osborne, 2018). Declining sea-ice volume (i.e.,

139 a shift to a thinner, more mobile sea-ice pack) accompanies these sea-ice area losses. In
140 the 1980s, average winter (fall) sea-ice thickness was around 3.6 m (2.7 m), while in 2018,
141 average winter (fall) ice thickness was ~ 2 m (1.5 m) (Kwok, 2018). The loss of Arctic
142 sea ice is not only a conspicuous indicator of climate change, it also sustains a funda-
143 mental global climate feedback through its influence on Earth’s planetary albedo (Pi-
144 stone, Eisenman, & Ramanathan, 2014). Arctic Ocean warming (e.g., I. V. Polyakov et
145 al., 2010; Timmermans, 2015; Timmermans, Toole, & Krishfield, 2018; Woodgate, 2018),
146 freshening (e.g., A. Proshutinsky et al., 2009; Rabe et al., 2014), and changing strati-
147 fication, circulation dynamics, and momentum transfer to the ocean (e.g., Meneghello,
148 Marshall, Timmermans, & Scott, 2018; Peralta-Ferriz & Woodgate, 2015; I. V. Polyakov
149 et al., 2017) all link to the sea ice.

150 The amount and mobility of sea ice is of great relevance to the balance of forces
151 that drive the large-scale ocean circulation, because it acts as a critical mediator of wind-
152 stress in the Arctic, as explored in Section 7. Further, sea-ice cover, sea-surface salin-
153 ity and temperature are also strongly coupled. Surface salinities are much fresher in the
154 Arctic Ocean compared to the north Pacific and Atlantic oceans (Figure 2a), the broad
155 result of northward transport of atmospheric fresh water from equatorial regions, with
156 contributions from seasonal sea-ice melt and relatively fresh ocean flows from the Pa-
157 cific Ocean. Arctic Ocean sea-surface temperatures are at the freezing point (around -
158 2°C for seawater) in winter and in regions where sea-ice persists year round. Outside of
159 the winter months, an opening in the sea-ice pack can leave the ocean exposed to direct
160 solar forcing, increasing sea-surface temperatures. These warmed surface waters can melt
161 the surrounding sea ice, exposing more open water and a positive feedback (the *ice-albedo*
162 *feedback*) ensues. Summer sea-surface temperatures at the ice-free margins of the Arctic
163 basin can be up to a few degrees above 0°C , with higher sea-surface temperatures (again
164 several degrees above 0°C) in the vicinity of Pacific and Atlantic Water inflows (Figure
165 2b and see Timmermans and Ladd (2019)). Owing to the halocline stratification, which
166 we describe next, the warm waters originating in the Pacific and Atlantic oceans do not
167 need to be confined to the surface Arctic Ocean, and can reside at depth.

168 **3 Arctic Ocean stratification and buoyancy forcing**

169 A trans-Arctic section crossing from the Pacific to the Atlantic oceans illustrates
170 the essential Arctic Ocean water-mass distribution and stratification: relatively cold, fresh

171 water overlies relatively warm, salty water (Figure 1b). Marked gradients in tempera-
 172 ture, salinity and density are confined to the top few hundred meters of the water col-
 173 umn which features various components of the Arctic halocline (Figure 3). We consider
 174 the potential density surface $\sigma = 27.4 \text{ kg m}^{-3}$ to approximately represent the base of
 175 the halocline, and plot its depth across the Arctic Ocean (Figure 3a). In the Canada Basin,
 176 this isopycnal surface is as deep as $\sim 200 \text{ m}$, marking the imprint of the anticyclonic Beau-
 177 fort Gyre which is in thermal wind balance with lateral density gradients. Also evident
 178 is the signature of the Transpolar Drift Stream at the confluence of the Canadian and
 179 Eurasian Basins.

180 Representative vertical profiles of temperature, salinity and density in the Cana-
 181 dian and Eurasian Basins illustrate the details of the upper water column (Figure 3b).
 182 Underlying the surface mixed layer ($\lesssim 50 \text{ m}$ deep), is a relatively warm near-surface layer
 183 in the Canadian Basin, absent in the Eurasian Basin. It derives from the $\sim 1 \text{ Sv}$ ($1 \text{ Sv} =$
 184 $10^6 \text{ m}^3 \text{ s}^{-1}$) northward flow through the $\sim 50 \text{ m}$ deep and $\sim 80 \text{ km}$ wide Bering Strait
 185 (e.g., Woodgate et al., 2010). This layer, which has temperatures in the range -1 to 1°C ,
 186 and sits at around 50 to 100-m depth in the Canadian Basin (Figure 3b,c), is called Pa-
 187 cific Summer Water since it ventilates the region in summer (e.g., Steele et al., 2004; Tim-
 188 mermans et al., 2014). Below the Pacific Summer Water layer in the Canadian Basin sits
 189 relatively cooler and saltier Pacific Winter Water (e.g., Pickart, Weingartner, Pratt, Zim-
 190 mermann, & Torres, 2005), which ventilates the region in winter (Figure 3b,c). The base
 191 of the Pacific Winter Water layer is approximately bounded by the $\sigma = 27.4 \text{ kg m}^{-3}$
 192 surface. In both the Canadian and Eurasian basins, a layer of warm Atlantic-origin wa-
 193 ter, characterized by temperatures around $0 - 3^\circ\text{C}$ (colored red in Figure 3c), resides be-
 194 tween roughly 150 and 500-m depth, at or below the $\sigma = 27.4 \text{ kg m}^{-3}$ surface. We dis-
 195 cuss these Atlantic-origin waters in detail in Section 5.

196 A defining feature of the Arctic Ocean with a profound influence on the behavior
 197 of the Arctic system and climate is that it is predominantly salinity-stratified. This ba-
 198 sic stratification of fresher waters overlying saltier waters, separated by a strong halo-
 199 cline, is known as a β -ocean, where β refers to the saline contraction coefficient. By con-
 200 trast, the subtropical α -oceans (where α refers to the thermal expansion coefficient) have
 201 their stratification set mainly by temperature, with warmer waters overlying cooler wa-
 202 ters. This broad stratification distinction, evident at around 45°N in both the Pacific
 203 and Atlantic sectors (Figure 1b), is a vital aspect of ocean and climate relevance; for ex-

ample, sea ice can only grow at the surface of β -oceans where the salinity stratification inhibits deep convection — an α -ocean would convect (see E. C. Carmack, 2007). In the mid-latitude α -oceans, there is a net warming and evaporation. The atmospheric moisture is transported polewards where it precipitates over the high-latitude β -oceans. The non-linear equation of state of seawater also factors in this distinction with α increasing with temperature, such that it is about an order of magnitude larger at 20°C compared to its value at much colder (near freezing) Arctic Ocean temperatures (see Timmermans & Jayne, 2016). In Section 8 we return to discuss this α – β transition in the context of a changing Arctic Ocean under increasingly Atlantic influence.

River discharge, predominantly from the six main Arctic rivers (the Ob, Yenisey, Lena, Kolyma, Yukon, and Mackenzie rivers), is a major source of fresh water to the Arctic Ocean (Holmes et al., 2012; McClelland, Holmes, Dunton, & Macdonald, 2012). While the Arctic Ocean constitutes only 1% of the World’s ocean by volume, it catches around 10% of its river discharge (Aagaard & Carmack, 1989). The Arctic Ocean also receives fresh water through net precipitation (e.g., Serreze et al., 2006) and relatively fresh water from the Pacific Ocean via Bering Strait (Woodgate & Aagaard, 2005). In the annual mean, the partitioning of this freshwater input is around 1/2 river discharge, 1/4 Pacific water inflow and 1/4 net precipitation (E. C. Carmack, 2000; E. C. Carmack et al., 2016; T. W. N. Haine et al., 2015; Serreze et al., 2006); much smaller contributions (less than a few percent) derive from meltwater fluxes from Greenland and northward sea-ice fluxes through Bering Strait (T. W. N. Haine et al., 2015). Surface fresh water from all of these sources is drawn toward the center of the Canadian basin by the anticyclonic winds of the Beaufort High, ensuring the maintenance of the Arctic’s strong halocline stratification (Figure 3).

As Arctic sea ice grows and moves, and brine is rejected, there is a distillation of fresh water. While some fraction of this fresh water returns to liquid form during sea-ice melt each summer, export of sea ice from the Arctic Ocean is a sink of fresh water (in solid form) (see Aagaard & Carmack, 1989). Fresh water leaves the Arctic via ocean and sea-ice flows through channels in the Canadian Arctic Archipelago and through Fram Strait. Around 1/3 of the total freshwater export is in liquid form via each of Fram Strait and Davis Strait, with 1/4 of the total exported in solid sea-ice fluxes through Fram Strait (T. W. N. Haine et al., 2015).

236 The Arctic Ocean warms in summer via surface-water heating in ice-free regions
237 that is dominated by solar radiation (e.g., D. K. Perovich, Richter-Menge, Jones, & Light,
238 2008). The net surface heat flux is the sum of incoming shortwave radiation, longwave
239 emission, and sensible plus latent heat fluxes. Throughout the year, vertical sensible and
240 latent heat fluxes are small contributions (having magnitudes $\lesssim 10 \text{ W m}^{-2}$) (e.g., Ser-
241 reze et al., 2007). The net longwave flux is larger (around 50 W m^{-2} upward) and re-
242 mains approximately constant throughout the year. The net shortwave component has
243 a strong seasonal cycle, dominating in summer when average values over the Arctic Ocean
244 are around 150 W m^{-2} downward. Incoming solar radiation is effectively zero between
245 October and March (e.g., Serreze et al., 2007).

246 The Arctic Ocean also receives heat via warm inflows from the Atlantic and Pa-
247 cific oceans (e.g., Beszczynska-Möller et al., 2012; Woodgate, Weingartner, & Lindsay,
248 2012). At the low temperatures of Arctic waters, α is sufficiently small that ocean tem-
249 perature does not strongly influence ocean dynamics. This may change as the ocean con-
250 tinues to warm, and we discuss potential implications of this in Section 8. While ocean
251 temperature may have only a weak influence on ocean dynamics, it is crucially impor-
252 tant to the fate of Arctic sea-ice cover should heat be mixed to the surface. We there-
253 fore now outline the primary mixing processes at work in the Arctic.

254 4 Mixing and stirring in the Arctic Ocean

255 The Arctic Ocean exhibits a variety of ocean mixing processes that differ from the
256 mid-latitudes because of the presence of sea ice, the high latitude, and the distinct halo-
257 cline stratification structure with warm water underlying cooler water. These processes
258 include convection by surface buoyancy fluxes resulting from brine rejection during ice
259 formation, turbulence driven by stress at the ice-ocean interface, mixing by internal waves
260 (where the internal wave field is affected by the high latitude Coriolis effect and sea-ice
261 cover), and double-diffusive mixing (see the review of these processes by Padman, 1995).
262 The Arctic Ocean is also baroclinically unstable and the mean flow emerges only after
263 averaging over an energetic mesoscale and submesoscale.

264 4.1 Small-scale diapycnal processes

265 Arctic Ocean mixing levels are critical to the fate of sea ice because the ocean heat
266 stored at depth is enough to melt the entirety of the Arctic sea ice (G. A. Maykut & Un-
267 tersteiner, 1971). However, this would require some mechanism (e.g., dissipation of in-
268 ternal wave energy or double diffusion or vertical eddy heat flux) to mix that heat to the
269 surface layer in contact with sea ice. At present, the Arctic Ocean exhibits generally low
270 mixing rates compared to the mid-latitude ice-free oceans (e.g., D’Asaro & Morison, 1992;
271 Rainville & Winsor, 2008).

272 There is relatively weak tidal forcing in the Arctic and most of the region is above
273 the critical latitude north of which the semi-diurnal tide can propagate freely. Topographic
274 waves generated over bathymetric slopes and rough topography, forced by the tides (Kowa-
275 lik & Proshutinsky, 1993), are the main source of energy for higher tidal dissipation ob-
276 served over topography (Holloway & Proshutinsky, 2007; Kowalik & Proshutinsky, 1995;
277 Luneva, Aksenov, Harle, & Holt, 2015; Padman, Plueddemann, Muench, & Pinkel, 1992;
278 Rippeth et al., 2017). Sea-ice cover is present for most of the year and acts as a buffer
279 to wind-driven momentum input to the upper ocean; further, internal wave energy can
280 be dissipated under sea ice (Morison, Long, & Levine, 1985; Pinkel, 2005). In the fully-
281 ice covered winter months, inertial wave energy and shear are generally weaker than in
282 the seasonal absence of sea ice (Dosser, Rainville, & Toole, 2014; Halle & Pinkel, 2003;
283 Rainville & Woodgate, 2009). In the summer months, even though winds are weaker than
284 in winter, median inertial wave amplitudes are perhaps 10 to 20% larger than in win-
285 ter. The additional energy is a consequence of increased atmosphere to ocean momen-
286 tum transfer in open water regions and the absence of sea-ice damping of internal waves
287 (e.g. Dosser & Rainville, 2016). In Section 8, we discuss the implications of Arctic sea-
288 ice loss on ocean mixing levels.

289 Microstructure measurements indicate turbulent kinetic energy dissipation ϵ in the
290 halocline of the deep basins to be around 5×10^{-10} to 2×10^{-9} W kg $^{-1}$ (Fer, 2009; Lenn
291 et al., 2009; Lincoln et al., 2016; Rippeth et al., 2015). These values may be compared
292 to typical midlatitude ocean thermocline values of around 10^{-9} W kg $^{-1}$ (J. M. Toole,
293 Schmitt, & Polzin, 1994). In the Arctic’s continental shelf regions, ϵ is estimated to be
294 two orders of magnitude larger than over the abyssal plain; in the region just north of
295 Svalbard, for example, $\epsilon \sim 3 - 20 \times 10^{-8}$ W kg $^{-1}$ (Rippeth et al., 2015). This can be

296 compared to values estimated by Ledwell et al. (2000) of around 10^{-8} W kg⁻¹ over the
297 rough topography of the Mid-Atlantic Ridge. Elevated rates of dissipation of kinetic en-
298 ergy are also found over the Canada Basin shelf regions where $\epsilon \approx 2 \times 10^{-8}$ W kg⁻¹
299 (Lincoln et al., 2016; Rippeth et al., 2015).

300 Diapycnal diffusivity K_ρ takes values around 10^{-4} m²s⁻¹ at the base of the mixed
301 layer to $\sim 1-7 \times 10^{-6}$ m² s⁻¹ in the strongly-stratified halocline away from topographic
302 features (D’Asaro & Morison, 1992; Fer, 2009; Padman & Dillon, 1989; Rainville & Win-
303 sor, 2008). In model studies, the Atlantic Water circulation direction and strength is found
304 to be highly sensitive to the level of vertical mixing. Zhang and Steele (2007) find val-
305 ues of $K_\rho \approx 10^{-6}$ m² s⁻¹ yield Atlantic Water circulation patterns and water proper-
306 ties that best agree with climatology (values typically appropriate for midlatitudes, around
307 10^{-5} m² s⁻¹, returned an anticyclonic Atlantic Water circulation, inconsistent with ob-
308 servations).

309 Low mixing levels in the interior basin allow for the persistence of a double-diffusive
310 staircase at the top boundary of the Atlantic Water layer (see Figure 3b, inset panel),
311 and double-diffusive fluxes are the main mechanism for vertical heat transport from the
312 Atlantic Water. Vertical heat fluxes across the double-diffusive staircases in the central
313 basins are only in the range 0.02–0.3 W m⁻², however (Guthrie, Fer, & Morison, 2015;
314 Padman & Dillon, 1987, 1989; Shibley, Timmermans, Carpenter, & Toole, 2017; Sire-
315 vaag & Fer, 2012; Timmermans, Toole, Krishfield, & Winsor, 2008). For context, these
316 heat fluxes are about one tenth of the mean surface ocean heat flux to the sea ice. An-
317 nual average ocean-to-ice heat fluxes are around 3 – 5 W m⁻², with monthly-average
318 values up to 30 W m⁻² in July and August, with maximum values up to 60 W m⁻² (R. A. Kr-
319 ishfield & Perovich, 2005; G. Maykut & McPhee, 1995; Wettlaufer, 1991). In these re-
320 gions, summer solar heating of the surface ocean layer (in ice-free regions or through thin
321 ice) provides the main heat source for ocean-to-ice heat fluxes (Fer, 2009; G. Maykut &
322 McPhee, 1995; G. A. Maykut & Untersteiner, 1971; Timmermans, 2015; J. M. Toole et
323 al., 2010).

324 A well-defined double-diffusive staircase is absent around most Arctic Ocean con-
325 tinental shelf-slope regions (i.e., coinciding with pathways of the Atlantic Water) (Shi-
326 bley et al., 2017), likely because of higher mixing levels in those regions (e.g., Rippeth
327 et al., 2015). Staircases do appear at the eastern boundary of the Eurasian Basin and

328 in the vicinity of the east Siberian continental slope, where double-diffusive heat fluxes
329 are estimated to be higher (order 1 W m^{-2}) compared to interior basin values (Lenn et
330 al., 2009; I. V. Polyakov et al., 2012). Note that ocean-to-ice heat fluxes can be order
331 100 W m^{-2} where the Atlantic Water enters the Arctic Ocean and where stratification
332 and turbulence levels are not amenable to the formation of a double-diffusive staircase
333 (Peterson, Fer, McPhee, & Randelhoff, 2017).

334 Related to the double-diffusive staircase at the top boundary of the Atlantic Wa-
335 ter layer, are prominent thermohaline intrusions underlying the staircase and emanat-
336 ing from the core of the Atlantic Water (e.g., Bebieva & Timmermans, 2017; E. Carmack
337 et al., 1998; Rudels, Kuzmina, Schauer, Stipa, & Zhurbas, 2009). These intrusions have
338 a lateral component of motion, driven partly by double-diffusive vertical buoyancy flux
339 divergences, and carry warm Atlantic Water from the boundaries to the interior basins
340 (Bebieva & Timmermans, 2019; F. McLaughlin et al., 2004; Walsh & Carmack, 2003;
341 Woodgate, Aagaard, Swift, Smethie Jr, & Falkner, 2007). Walsh and Carmack (2003)
342 estimated lateral diffusivities associated with these thermohaline intrusions to be around
343 $50 \text{ m}^2 \text{ s}^{-1}$. In this way, diapycnal mixing can redistribute Atlantic Water heat laterally,
344 with Atlantic Water intrusions taking around a decade to propagate across the Canada
345 Basin (see for example Bebieva & Timmermans, 2019).

346 While diapycnal mixing of deeper ocean heat can delay the onset of freezing at the
347 start of the ice-growth season, and yield reductions in total sea-ice thickness (e.g., G. A. Maykut
348 & Untersteiner, 1971; D. K. Perovich et al., 2011; Steele, Ermold, & Zhang, 2008; Tim-
349 mermans, 2015), its role in the large-scale ocean circulation is less clear. Diapycnal mix-
350 ing has been presumed to play a role in driving the Atlantic Water inflow to the Arc-
351 tic Ocean, as we will discuss in Section 5.1. Lateral eddy fluxes, on the other hand, have
352 been shown to be a key player in the fundamental dynamics of the Beaufort Gyre, as we
353 discuss in Section 7.

354 **4.2 Eddies, baroclinic instability and isopycnal eddy diffusivity**

355 Baroclinic eddies are a ubiquitous feature of the Arctic Ocean, which is observed
356 to have a vigorous mesoscale and submesoscale eddy field (e.g., Carpenter & Timmer-
357 mans, 2012; Kozlov, Artamonova, Manucharyan, & Kubryakov, 2019; Manley & Hunk-
358 ins, 1985; G. E. Manucharyan, Thompson, & Spall, 2017; Mensa, Timmermans, Kozlov,

Williams, & Özgökmen, 2018; Pnyushkov, Polyakov, Padman, & Nguyen, 2018; Spall, Pickart, Fratantoni, & Plueddemann, 2008; Timmermans, Toole, Proshutinsky, Krishfield, & Plueddemann, 2008; M. Zhao et al., 2014). Water column kinetic energy in the Arctic’s halocline is dominated by eddies (B. Zhao & Timmermans, 2018), and we expect eddy buoyancy fluxes and along-isopycnal stirring by eddies to play an important role in the general circulation, as will be shown in Section 7.

The horizontal length scale that tends to characterize eddies and baroclinic instabilities of the ocean mean state is the first baroclinic Rossby radius of deformation, $R_d = ND/f$ where D is the vertical scale over which horizontal currents vary, f is the Coriolis parameter, and $N^2(z) = -(g/\rho_0)(\partial\rho/\partial z)$ is the stratification. Chelton, Deszoeke, Schlax, El Naggar, and Siwertz (1998) estimated R_d from hydrographic climatology by solving the quasi-geostrophic equations for a given stratification profile, $N^2(z)$. In Figure 4a we follow the methodology of Chelton et al. (1998) to compute R_d from Arctic Ocean climatology (see also Nurser and Bacon (2014); M. Zhao et al. (2014)). Shallow shelf regions are generally characterized by a much smaller deformation radius (of order a few kilometers) than the deep basins (where it is around 7 - 15 km), while variations in R_d between deep basins arise due to stratification differences (see M. Zhao et al., 2014). The Beaufort Gyre is more strongly stratified than the Eurasian Basin water column; typical values of R_d in the Beaufort Gyre region are around 15 km, twice as large as values in the deep Eurasian Basin. Observed eddies have horizontal scales which are roughly consistent with values of R_d . Eddies in the Canadian Basin have larger diameters than those in the Eurasian Basin (M. Zhao et al., 2014). We note that the horizontal scales of the energy-containing eddies may differ from the deformation radius because there is an inverse energy cascade. The upscale energy transfer on a β -plane may be arrested at the Rhines scale, which can characterize a transition to a Rossby wave regime (see Rhines (1975) and the discussion by Tulloch, Marshall, Hill, and Smith (2011)). In the Arctic Ocean, the Coriolis parameter f is approximately constant (i.e., an f -plane), and the Rhines scale is set by topographic beta. Nevertheless, the scales apparent in Figure 4a highlight the challenges for numerical modeling of ocean processes in the region where model grid scales must be smaller than a few kilometers to resolve mesoscale eddies.

Related to the Rossby deformation radius, we may analyze hydrography to examine the linear stability characteristics of the mean state of the Arctic Ocean. If the mean

current has speed U , then we expect an inverse timescale (growth rate) $\omega \sim U/R_d$. This may be expressed in terms of the Richardson Number, $Ri = N^2 D^2 / U^2$, where D is the vertical scale over which U varies, as $\omega \sim f / \sqrt{Ri}$ (the Eady growth rate). More detailed calculations calibrated against linear stability yield (see Smith, 2007; Tulloch et al., 2011):

$$\omega = f \sqrt{\frac{1}{H} \int_H^0 \frac{dz}{Ri(z)}}, \quad (1)$$

390 where the Richardson number $Ri(z)$ may be estimated as a function of the stratification
 391 and the thermal wind shear, $Ri = N^2 / [(\partial u / \partial z)^2 + (\partial v / \partial z)^2]$. Smith (2007) examines
 392 hydrographic climatology for the global oceans south of 60°N and shows (1) to be a good
 393 approximation of the linear growth rates of the fastest growing modes in the thermocline.

394 If the generation of eddies is associated with baroclinic instability, we expect the
 395 Eady timescale ω^{-1} to be short where there is anomalously high eddy kinetic energy and/or
 396 weak stratification. Around the Arctic basin margins, timescales are of the order of a
 397 few days or shorter, while in the central Canada Basin/Beaufort Gyre and Nordic Seas
 398 regions, Eady timescales computed from (1) are 8-10 days (Figure 4b). This is consis-
 399 tent with satellite-derived eddy kinetic energy estimates, which show the shelf and boundary-
 400 current regions to have higher eddy kinetic energy compared to the interior Canada Basin
 401 and Nordic Seas (Armitage et al., 2017). Notably, the central Eurasian Basin exhibits
 402 shorter timescales (faster growth rates) than the Canada Basin, and this may be attributed
 403 to the significantly weaker stratification there (Figure 3b); satellite-derived estimates of
 404 eddy kinetic energy are not available for the Eurasian Basin.

405 For the Beaufort Gyre, satellite-based estimates of eddy kinetic energy, and the ap-
 406 plication of mixing-length theory, have been used to infer eddy diffusivities (Armitage
 407 et al., 2017). A similar approach has been used to estimate eddy diffusivities in the Beau-
 408 fort Gyre from eddy kinetic energy based on in-situ mooring velocity measurements (Meneghello,
 409 Marshall, Cole, & Timmermans, 2017). These studies yield eddy diffusivity values in the
 410 range 100-600 m² s⁻¹, decaying from higher to lower values with depth (Meneghello et
 411 al., 2017). As described in Section 7, eddy diffusivities of such magnitude suggest that
 412 eddy-induced circulation can be as large as the Eulerian circulation, with important im-
 413 plications for the general circulation and tracer transport in the Arctic.

414 Water-mass distribution, stratification structure and strength, mixing and lateral
 415 eddy processes, are intimately connected with ocean circulation pathways, which we de-

416 scribe next, beginning with an analysis of the circulation of Atlantic Water into and around
417 the Arctic basin.

418 **5 The Circulation of Atlantic Water in the Arctic**

419 On route to the Arctic Ocean, Atlantic waters cross the Scotland-Greenland Ridge
420 and propagate into the Nordic Seas in branches stemming from the North Atlantic Cur-
421 rent extension of the Gulf Stream. In the Norwegian Sea, the northward flow follows two
422 topographically steered western and eastern branches of the Norwegian Atlantic Cur-
423 rent (e.g., Orvik & Niiler, 2002). These waters enter the Arctic Ocean at the ~ 2600 m
424 deep, ~ 450 km wide, Fram Strait, which is the deepest connection between the Nordic
425 Seas and the Arctic Ocean (Figure 5). At Fram Strait there is an exchange flow between
426 inflowing Atlantic Water and outflowing relatively cooler and fresher upper Arctic Ocean
427 waters (Figure 5c). The West Spitsbergen Current (WSC) carries relatively warm and
428 salty Atlantic Water north (around 7 Sv) into the Arctic Ocean on the eastern side of
429 Fram Strait, with a recirculation within Fram Strait (see e.g., Beszczynska-Möller et al.,
430 2012; Schauer et al., 2004). The East Greenland Current (EGC) flows south (around 9 Sv)
431 out of the Arctic Ocean along the western side of Fram Strait (de Steur, Hansen, Mau-
432 ritzen, Beszczynska-Möller, & Fahrbach, 2014). Net transport through Fram Strait has
433 been estimated to be several Sv to the south, with month-to-month variability that can
434 be as large (Schauer & Beszczynska-Möller, 2009). Atlantic Water also enters the Arc-
435 tic Ocean from the Nordic Seas via the Barents Sea Opening (~ 2 Sv) (Ingvaldsen et
436 al., 2002; Schauer, Loeng, Rudels, Ozhigin, & Dieck, 2002).

437 Where Atlantic Water enters the Arctic Ocean through Fram Strait and the Bar-
438 ents Sea Opening, the overlying sea ice melts and the upper-most waters undergo a cool-
439 ing and freshening transformation such that the Atlantic Water temperature maximum
440 resides at depth within the Arctic Ocean (e.g., Rudels, Anderson, & Jones, 1996; Un-
441 tersteiner, 1988). The spatial distribution of maximum Atlantic Water temperature has
442 been used to infer its cyclonic pathway around the boundary of the Eurasian Basin (e.g.,
443 L. Coachman & Barnes, 1963) and is shown in Figure 5a,b,d. There is believed to be a
444 recirculation within the Eurasian Basin, as schematized by Rudels, Jones, Anderson, and
445 Kattner (1994), see their Figure 9. Atlantic Water penetrates the Makarov and Canada
446 basins (where the Atlantic Water core referenced by the depth of the temperature max-
447 imum is located around 400 m depth, Figure 5d) and circulates cyclonically around the

448 basin margins, clearly guided by bottom topography. Mooring measurements indicate
449 Atlantic Water boundary current speeds to be around 2 to 4 cm s⁻¹ (Woodgate et al.,
450 2001). This is consistent with transient tracer data which suggest Atlantic Water prop-
451 agation from the Eurasian Basin to the southern Canada Basin (a distance of around
452 6000 km) takes around 7.5 years (Mauldin et al., 2010).

453 Below the Atlantic Water layer, the Arctic Ocean’s deep and bottom waters are
454 generally inferred (from sparse measurements) to follow a cyclonic pathway in both the
455 Eurasian and Canadian basins, in the same sense as the intermediate Atlantic Water (e.g.
456 Aagaard, 1981; Rudels, 2015). Deepest waters also exhibit variable bottom-trapped cur-
457 rents and waves (Aagaard, 1981; Timmermans, Rainville, Thomas, & Proshutinsky, 2010;
458 B. Zhao & Timmermans, 2018). Note that, distinct from the Atlantic Water boundary
459 current, there also exist narrow, energetic, seasonally-varying boundary currents, with
460 typical speeds around 15 cm s⁻¹, trapped at the shelf breaks in the Eurasian and Cana-
461 dian basins (e.g., Aksenov et al., 2011; Dmitrenko et al., 2016; Nikolopoulos et al., 2009;
462 Pickart, 2004); the properties of these shelf-break currents depend strongly on local and
463 remote winds and buoyancy forcing.

464 Ascertaining what drives the Atlantic Water inflow and its circulation within the
465 Arctic Ocean has been the subject of study since Nansen (1902) first identified warm sub-
466 surface water within the Arctic Ocean as having originated in the North Atlantic. We
467 now briefly review two bodies of work that explore the mechanisms from rather differ-
468 ent perspectives: the first, using an estuary framework, invokes wind-driven mixing in-
469 terior to the Arctic to draw water in; the second invokes winds exterior to the Arctic to
470 drive water in to the Arctic following bathymetric contours.

471 **5.1 An estuary framework**

472 The earliest models of Arctic Ocean circulation were *estuarine-like* (see e.g., Aa-
473 gaard, Swift, & Carmack, 1985), motivated by the idea that the Arctic is a semi-enclosed
474 basin in which the inflow from the Nordic Seas is balanced by an outflow of relatively
475 fresh water, and this exchange flux depends upon the level of mixing within the Arctic
476 basin (Figure 6). The circulation is driven by buoyancy; winds only play a role in mix-
477 ing upper and intermediate waters in the estuary basin.

478 Stigebrandt (1981) modeled the upper Arctic Ocean water column as a function
 479 of buoyancy input, wind-driven mixing and topographic control at the connecting straits
 480 (here, primarily Fram Strait and Lancaster Sound) that are sufficiently wide that the
 481 effects of Earth’s rotation are important. His model couples conservation of volume and
 482 salt, and a weir formula for the hydraulically-controlled (and rotationally-influenced) vol-
 483 ume flow through the straits, plus a horizontally uniform vertical entrainment velocity
 484 that is a function of both wind-driven mixing and convection. This estuarine descrip-
 485 tion of the circulation shows how the buoyancy input and mixing in the interior Arctic
 486 Ocean can uphold a steady exchange flow between the Arctic Ocean and Nordic Seas.

Consider an idealized system in which there is a volume flux Q_1 of Polar Water (up-
 per layer of salinity S_1) leaving the Arctic Ocean (e.g., via Fram Strait) and a volume
 flux Q_2 of Atlantic Water (lower layer, of salinity S_2) entering the Arctic Ocean from
 the Nordic Seas (Figure 6). For a flux through the Bering Strait of Q_B (of salinity S_B)
 and net freshwater flux Q_f (approximately the sum of river influxes and net precipita-
 tion, minus a sea-ice export flux from the Arctic Ocean) into the upper layer in the Arc-
 tic Ocean, conservation of volume may be written

$$Q_1 = Q_2 + Q_B + Q_f. \quad (2)$$

For a hydraulically controlled flow of the upper layer (of thickness H_1) through Fram
 Strait, the flow rate is given by (Whitehead, 1998)

$$Q_1 = \frac{g'H_1^2}{2f}, \quad (3)$$

where $g' = g(\rho_2 - \rho_1)/\rho_0$ is the reduced gravity between the Polar Water ρ_1 and At-
 lantic Water ρ_2 layers (ρ_0 is a reference density). A good approximation is given by $g' =$
 $g\beta(S_2 - S_1)$, which neglects temperature influences on density. Equation (3) applies be-
 cause Fram Strait (around 500 km wide) is much wider than the internal Rossby defor-
 mation radius, with typical parameter values yielding $(2g'H_1)^{1/2}/f \approx 10$ km, in accord
 with Figure 4a. Conservation of salt in the upper layer is given by

$$Q_1 S_1 = Q_2 S_2 + Q_B S_B. \quad (4)$$

The remaining model component is an entrainment flux of lower layer water across the
 halocline (Figure 6) which may be written in terms of the area A of the halocline and
 an entrainment velocity w_e as:

$$Q_2 = w_e A. \quad (5)$$

Specification of w_e requires some quantification of the mixing processes. Mixing between the Atlantic Water and the Polar Water may be driven by processes ranging from double-diffusive convection to shear-driven mixing by winds and sea-ice motion, to surface buoyancy fluxes driving convection, such as sea-ice growth generating dense brine. Stigebrandt (1981) formulates the following expression for entrainment velocity

$$w_e = \frac{2.5u_*^3}{g\beta(S_2 - S_1)H_1} + \gamma \frac{Q_f S_1}{A(S_2 - S_1)}. \quad (6)$$

487 The first term on the right relates the injection of kinetic energy to the interface to a change
 488 of potential energy of the system (mixing), where u_* is a friction velocity characteriz-
 489 ing the mixing levels. The second term quantifies the contribution (scaled by a param-
 490 eter γ) to w_e by surface freshwater buoyancy fluxes.

491 Choosing typical values of external parameters ($A = 10^{13} \text{ m}^2$, $Q_B = 1.5 \times 10^6 \text{ m}^3 \text{ s}^{-1}$,
 492 $S_B = 32.4$, $\gamma = 0.05$ and $S_2 = 35$; see Stigebrandt (1981)), the system (2) to (6) may
 493 be solved to determine the Atlantic Water influx Q_2 , and the properties of the upper layer
 494 H_1 and S_1 exiting the Arctic Ocean through Fram Strait as functions of net freshwater
 495 input Q_f and mixing levels (quantified by specifying u_*), Figure 6b. For larger net fresh-
 496 water fluxes Q_f into the Arctic Ocean (i.e., river influxes and net precipitation domi-
 497 nate over a sea-ice export flux), the outflowing upper layer is thinner and fresher, and
 498 there is a smaller Atlantic Water volume influx Q_2 to the Arctic Ocean. Further, for fixed
 499 Q_f , an increase in mixing gives rise to a thicker, saltier upper layer exiting the Arctic
 500 Ocean, and a larger volume influx of Atlantic Water. For a range of appropriate param-
 501 eters, the solutions generally yield plausible results for the exchange flow at Fram Strait.
 502 Rudels (1989) employs the formalism of Stigebrandt (1981) and incorporates spatially-
 503 variable mixing (water-mass transformations in the shelf regions) to deduce a magnitude
 504 for the Atlantic Water inflow to the Arctic Ocean and strength of the stratification that
 505 depends on the buoyancy input.

506 These general ideas have been extended further by considering the Arctic Mediter-
 507 ranean to be a double-estuary (Eldevik & Nilsen, 2013; Lambert, Eldevik, & Haugan,
 508 2016). This conceptualizes cooling and dense water formation in the Nordic Seas as a
 509 negative estuary, and positive buoyancy forcing (freshwater input) in the Arctic Ocean
 510 (i.e., a positive estuary). Heat loss in the Nordic Seas drives an overturning circulation
 511 there (Mauritzen, 1996) while the freshwater input to the north drives an estuarine cir-
 512 culation with the Atlantic Water layer. Lambert et al. (2016) find that because of the

513 Arctic estuary circulation, an Atlantic Water inflow to the Arctic can persist even in the
514 absence of deep convection in the Nordic Seas. This is an important point in the con-
515 text of discussions related to Atlantic Water heat entering the Arctic being influenced
516 by the strength of the Atlantic Meridional Overturning Circulation (AMOC). Based on
517 climate model simulations, it has been put forward, for example, that a strengthened AMOC
518 has been partly responsible for Arctic Ocean warming and sea-ice loss (e.g., Delworth
519 et al., 2016).

520 The estuary view of Arctic circulation has been invoked in an attempt to explain
521 the presence of the halocline. Indeed, it is in accord with the traditional model of the
522 Arctic halocline (Aagaard et al., 1985): the required mixing within the Arctic basin is
523 associated with the entrainment of ambient water by plumes that flow down continen-
524 tal slopes powered by concentrations of dense brine formed by ice formation over the con-
525 tinental shelves, as represented by the upward circular arrows in Figure 6a. The struc-
526 ture of the interior Arctic halocline, however, requires additional processes, such as ad-
527 vection by wind-driven circulation and lateral eddy fluxes, to bring the ventilating dense
528 water away from continental slopes and into the interior. Spall (2013) presents a con-
529 ceptual model in which the halocline structure and Atlantic Water flow are set by the
530 combined effects of horizontal eddy fluxes taking water from the basin boundaries to the
531 interior and vertical diapycnal mixing in the interior basin. In his idealized simulations,
532 an effectively barotropic Atlantic Water inflow (and cyclonic Atlantic Water boundary
533 current) is balanced by outflowing cooler water including a surface-intensified fresh out-
534 flow. The essential common feature between this and other models of the Arctic Mediter-
535 ranean estuary is that buoyancy forcing and mixing in the interior drives the Arctic-Nordic
536 Seas exchange.

537 Bathymetric influences (aside from those of the straits) and recirculations within
538 the Arctic basin are not represented in estuary models. Nor do they account for recir-
539 culations in the vicinity of the connecting straits. Further, it is unclear whether the re-
540 quired mixing between the surface fresh layers and the inflowing Atlantic Water is re-
541 alistic. In an alternative framework, the wind directly drives the topography-following
542 Atlantic Water circulation. In the next section, we describe studies which have shown
543 how the prevailing wind field over the Arctic Mediterranean is such that the wind-stress
544 curl can set the observed ocean transport.

5.2 Wind-driven flow along f/H contours

Wind-stress curl patterns over the Arctic are such that there is broad Ekman downwelling over much of the interior basin, with relatively strong upwelling over the Nordic Seas (Figures 2c and Figure 7a). Over most of the tropical and subtropical oceans, wind-stress curl is balanced by the depth-integrated meridional transport, i.e., Sverdrup balance (e.g., Gray & Riser, 2014; Wunsch, 2011). However, where topography has a strong influence, and in the higher latitudes where the β -effect (here, β refers to the meridional gradient of the Coriolis parameter) is negligible, Sverdrup balance does not hold. Nøst and Isachsen (2003) analyzed Arctic Mediterranean wind forcing and hydrographic climatology to show that patterns of Ekman downwelling and upwelling differ markedly from the depth-integrated meridional transport predicted based on Sverdrup balance. Instead of being constrained by the β -effect, the potential vorticity-conserving barotropic flow is controlled by sea-floor topography.

In the Nordic Seas and Arctic Ocean potential vorticity contours $q = f/H$ (where H is water depth) effectively coincide with isobaths because f is approximately constant. These f/H contours (Figure 7a) can be seen to close within basins (rather than being blocked by isobaths as typical of midlatitude ocean basins), and potential vorticity gradients (directed across isolines of f/H) are dominated by topographic slopes. One might expect that depth-integrated flow would have a proclivity to conserve q and thus follow bathymetry. This is schematized in Figure 8; idealized closed f/H contours (black) lie either entirely within the Arctic basins, or enclose both the Nordic Seas and the Arctic Ocean. These are the ‘railway tracks’ along which the barotropic flow circulates, as indicated by the arrows in Figure 8. The sense of the flow along f/H contours depends on the sign of the vorticity input, set by the wind-stress curl integrated over the area within the q contour in question.

Isachsen, LaCasce, Mauritzen, and Häkkinen (2003) exploited this idea to describe the time-varying depth-averaged Arctic Ocean and Nordic Seas circulation. They integrated the governing vorticity equation over an area bounded by a closed f/H contour and showed that the flow in the bounded region co-varies with the difference between transport in the wind-driven surface Ekman layer and the bottom Ekman layer. This is the barotropic mode excited by time-varying winds.

Nøst and Isachsen (2003) developed a related model for the local flow using an integrated vorticity balance in an area surrounded by an f/H contour, but for the time-mean bottom velocities of the Arctic Ocean and Nordic Seas. The steady-state balance between vorticity input and output is given by

$$\iint_A \nabla \times \boldsymbol{\tau}_s dA = \oint_C \boldsymbol{\tau}_b \cdot d\mathbf{l}, \quad (7)$$

where $\boldsymbol{\tau}_s$ is the surface stress and $\boldsymbol{\tau}_b$ the bottom stress. This states that the surface vorticity input by the wind within q surfaces is balanced by bottom stress integrated around closed q contours. Relating the bottom stress to bottom velocity \mathbf{v}_b through a linear drag law, $\boldsymbol{\tau}_b = -\rho_0\mu\mathbf{v}_b$ (where μ is a linear friction parameter), (7) can be rearranged as

$$\mathbf{v}_b \approx -\frac{1}{\rho_0\mu L} \iint_A \nabla \times \boldsymbol{\tau}_s dA \frac{|\nabla q|}{\frac{1}{L} \oint_C |\nabla q| dl}. \quad (8)$$

576 This says that the flow at any location along an f/H contour can be estimated as the
 577 product of the surface wind-stress curl $\nabla \times \boldsymbol{\tau}_s$ integrated over the area within the con-
 578 tour, divided by the length L of the $q = f/H$ contour, and the magnitude of the lo-
 579 cal slope relative to the average slope of the f/H contour. That is, the magnitude of the
 580 cross-stream vorticity gradient, $|\nabla q|$, modulates the strength of the bottom current by
 581 a factor $|\nabla q| / (\frac{1}{L} \oint_C |\nabla q| dl)$. Nøst and Isachsen (2003) show that (8) gives reasonable
 582 agreement with current-meter measurements of the bottom flow in the Arctic Ocean. Sur-
 583 face flows may then be computed from the bottom-velocity prediction (equation 8) us-
 584 ing climatological hydrographic data to obtain thermal wind shear from the bottom to
 585 the surface. Note, however, that the presence of sea ice is not accounted for in estimates
 586 of surface-ocean stresses although in Section 7 we return to the role of sea ice as a con-
 587 trol on ocean dynamics.

588 Considering each of the closed f/H contours plotted in Figure 7a, we compute the
 589 total area-integrated wind-stress curl within each contour (divided by the length of the
 590 contour), and plot it as a function of area enclosed by the contour (Figure 7, where the
 591 plotted points are colored by the depth of the f/H contour in question; see also Figure
 592 13 of Nøst and Isachsen (2003)). The area-integrated wind forcing for f/H contours that
 593 enclose both the Nordic Seas and the entire Arctic Basin is cyclonic: comprised of con-
 594 tributions of strong cyclonic forcing in the Nordic Seas, and relatively weak anticyclonic
 595 wind forcing in the Canadian Basin. In this sense, the cyclonic Atlantic Water bound-
 596 ary current in the Canadian Basin is driven by the cyclonic atmospheric forcing in the
 597 Nordic Seas. This is the concept that flow following f/H contours is driven by *remote*

598 wind stresses (outside the Arctic Ocean), while the balancing bottom drag is distributed
599 throughout the Arctic basin. The concept is consistent with a recent climate model study
600 that suggests intensified Atlantic Water inflow to the Nordic Seas and Arctic Ocean is
601 related to a strengthening of the Icelandic Low (Árthun, Eldevik, & Smedsrud, 2019).

602 The interior anticyclonic flow in the Canada Basin (i.e., the Beaufort Gyre), around
603 closed f/H contours entirely within the Canada Basin, is then also explained by the area-
604 integrated anticyclonic wind forcing for closed contours in that region (Figure 7a,b). We
605 note that these ideas are distinct from others that are based on an integral constraint
606 of potential vorticity (e.g., Karcher, Kauker, Gerdes, Hunke, & Zhang, 2007; Yang, 2005),
607 where if the net potential vorticity introduced to the Arctic basin via the strait inflows
608 is positive (negative), the result is an interior cyclonic (anticyclonic) circulation; further,
609 large buoyancy fluxes in the Barents Sea are an important source of potential vorticity.

610 *5.2.1 Eddy influences*

611 So far, we have only discussed a model in which energy dissipation is confined to
612 the bottom boundary layer. Lateral eddy momentum fluxes, eddy-topography interac-
613 tions and diapycnal fluxes have been neglected. It has been shown, for example, that lat-
614 eral eddy momentum fluxes may be at least as important as bottom friction in balanc-
615 ing surface forcing (Dewar, 1998), much as synoptic eddy momentum fluxes maintain
616 the surface wind patterns in the atmosphere. Dewar (1998) presents an analytical lay-
617 ered model of abyssal flow in the Atlantic (invoking area integration around closed f/H
618 contours) in which eddy fluxes arising from baroclinic instability are parameterized as
619 down-gradient potential vorticity diffusion (see Marshall, Jamous, & Nilsson, 2001), a
620 generalization of thickness diffusion.

621 Applied to a 2-layer model forced by anticyclonic winds, wind-driven Ekman pump-
622 ing in the upper layer is balanced by a divergent eddy mass flux in that layer. In the deep
623 layer, eddy-driven flow mixes potential vorticity downgradient such that there are out-
624 ward eddy potential vorticity fluxes over a bowl-shaped basin, and inward eddy poten-
625 tial vorticity fluxes over a seamount. These must be balanced by fluxes in the opposite
626 sense in the bottom boundary; inward mass flux in the bottom boundary gives rise to
627 a mean flow that tends to be cyclonic in the bowl case, and vice versa. In this way, a gyre
628 can be set up in the deep layer, which is cyclonic around closed f/H contours in a deep

629 basin and anticyclonic over a seamount, i.e., the direction of circulation in the deep layer
630 depends on the bathymetry rather than the sign of the wind-curl forcing.

631 The applicability of this description to the Arctic’s Atlantic Water circulation is
632 unclear. The formalism would predict a cyclonic circulation in the deep Beaufort Gyre,
633 whereas observations indicate that the deep flow is in the same direction (i.e., anticy-
634 clonic) as the upper-ocean circulation (e.g., Dosser & Timmermans, 2018). Furthermore,
635 in the two-layer model within a bowl-shaped basin described above, a reversal with depth
636 of the horizontal potential vorticity gradients is absent, yet is a necessary condition for
637 baroclinic instability.

638 Lastly, with respect to eddy influences, it has been shown that accounting for eddy
639 interactions with seafloor topography can give rise to a mean cyclonic circulation in a
640 deep basin, a result referred to as the *Neptune Effect* (Holloway, 1992, 2004). The cir-
641 culation results from the stress generated by eddy pressure anomalies correlated with seafloor
642 slope. This effect is likely to influence propagation speeds and diffusion of the cyclonic
643 Atlantic Water flow. For example, including a parameterization of the Neptune Effect
644 in an ocean model yields an Arctic Ocean flow field that is more consistent with that in-
645 ferred from tracer observations; the overall cyclonic flow is enhanced around individual
646 basins, most intense over topographic boundaries (Nazarenko, Holloway, & Tausnev, 1998;
647 I. Polyakov, 2001).

648 **5.3 Estuary vs. f/H -following perspectives**

649 We have analyzed the processes driving the circulation of Atlantic Water into and
650 around the Arctic Ocean basin. Both the estuary model invoking diabatic processes, and
651 the f/H -following wind-driven model that invokes dynamical forcing by the winds, pro-
652 vide important perspectives. Diabatic processes must play an essential role because At-
653 lantic Water flowing in to the Arctic has its properties changed as it circuits the basin:
654 surface buoyancy forcing, a range of mixing mechanisms and eddy stirring all play a role.
655 Furthermore, winds through cyclonic curl forcing over the Nordic seas set the sense of
656 circulation around f/H contours and orchestrate the gateway into the Arctic. Both wind-
657 and buoyancy-driven processes work together to facilitate Atlantic Water inflow and cir-
658 culation around the Arctic, processes that do not depend on the strength and structure
659 of the AMOC. It remains unclear how this concept relates to modeling studies. Delworth

660 et al. (2016) examine climate model output to deduce a positive relationship between
661 AMOC strength and ocean heat transport into the Barents Sea, where they attribute
662 AMOC fluctuations to changes in the North Atlantic Oscillation. Other climate model
663 studies find this same result for internal climate variability, but suggest the opposite re-
664 sult under climate change (greenhouse gas forcing): ocean heat transport to the Nordic
665 Seas and Arctic increases at the same time as the AMOC weakens (Årthun et al., 2019;
666 Oldenburg, Armour, Thompson, & Bitz, 2018). No doubt feedbacks on the regional at-
667 mospheric circulation (e.g., the Icelandic Low) are also important.

668 Co-existing with the arterial Atlantic Water flow are relatively cold, fresh, wind-
669 driven surface-intensified patterns in the interior Arctic basins: the Transpolar Drift Stream
670 and the Beaufort Gyre. In the model of Nøst and Isachsen (2003), the prevailing anti-
671 cyclonic winds set up the anticyclonic Beaufort Gyre circulation in the Canadian Basin
672 (see magenta contours in Figure 7a), and bottom friction provides the balance to the wind-
673 stress curl. The role of bottom friction and topographic influences on the Beaufort Gyre
674 (which can at times be centered over the Canada Basin’s abyssal plain) and Transpo-
675 lar Drift Stream dynamics are less obvious; the circulation is surface intensified in these
676 strongly-stratified, wind-driven systems. We now outline some of the essential features
677 of the Transpolar Drift Stream, before moving on in Section 7 to review the present state
678 of understanding of Beaufort Gyre dynamics.

679 **6 The Transpolar Drift Stream**

680 The Transpolar Drift Stream of ice and water flows from the Siberian Shelf towards
681 Greenland and the Nordic Seas, as is evident in the wind and sea-ice fields shown in Fig-
682 ures 2a and c. Many studies have addressed the sea-ice drift component of the Trans-
683 polar Drift Stream, readily monitored by remote sensing and drift of floe-tracking buoys
684 (e.g. Kwok, 2009; Rigor, Wallace, & Colony, 2002; Serreze, McLaren, & Barry, 1989).
685 The strength and orientation of the Transpolar Drift Stream is associated with the rel-
686 ative domains and intensity of the Beaufort High and Icelandic Low pressure systems.
687 During conditions of a weakened Beaufort High, and deepened Icelandic Low, ice drifts
688 cyclonically in the Eurasian Basin, transiting from the Laptev Sea towards the Cana-
689 dian Basin before drifting towards Fram Strait (Kwok, Spreen, & Pang, 2013). A stronger
690 Beaufort High, characterized by an expanded anticyclonic circulation, and a weaker Ice-

691 landic Low, are associated with a more direct path from the Laptev Sea to Fram Strait
692 of ice drift in the Transpolar Drift Stream (e.g., Kwok et al., 2013).

693 The geostrophic ocean flow is aligned with the sea ice Transpolar Drift Stream in
694 the vicinity of the front between relatively warm and fresh surface waters, associated with
695 the northern extent of the Beaufort Gyre, and colder, saltier surface waters that com-
696 prise the Transpolar Drift Stream (see Figure 3a, the confluence of contours at the north-
697 ern boundary of the Beaufort Gyre, and aligned with the Transpolar Drift Stream) (Mori-
698 son, Steele, & Andersen, 1998; Morison, Steele, Kikuchi, Falkner, & Smethie, 2006; Steele
699 et al., 2004). This surface front also bounds the northern extent of Pacific Water influ-
700 ence in the upper halocline (F. McLaughlin, Carmack, Macdonald, & Bishop, 1996; Mori-
701 son et al., 1998), and is a region of water mass exchange owing to frontal baroclinic in-
702 stability (Timmermans, Toole, Proshutinsky, et al., 2008). Currents in the upper 20 m
703 of the water column are around 6 - 10 cm s⁻¹ (e.g., Armitage et al., 2017), suggesting
704 the transport of water from the Siberian shelf to Fram Strait takes approximately one
705 year.

706 The position of the Atlantic-Pacific boundary has been observed to be in the vicin-
707 ity of the Lomonosov Ridge to as far south as the Mendeleev Ridge separating the Canada
708 and Makarov basins (Boyd, Steele, Muench, & Gunn, 2002; Morison et al., 1998; Steele
709 & Boyd, 1998). Positional changes have been attributed to changes in large-scale wind
710 forcing patterns which re-direct freshwater inputs from Siberian rivers and shift the axis
711 of the Transpolar Drift Stream (Boyd et al., 2002; Morison et al., 1998; Steele & Boyd,
712 1998; Timmermans et al., 2011); the shift is schematized in Figure 4 of Morison et al.
713 (2012). Further complicating this general picture and the spatial distribution of surface
714 freshwater and circulation patterns may be the fact that a weakened Beaufort Gyre al-
715 lows for fresh water release (Timmermans et al., 2011). This is explored further in Sec-
716 tion 8.

717 Timescales of ocean baroclinic adjustment to atmospheric forcing changes over the
718 central Arctic are uncertain. Morison et al. (2006) considers atmospheric forcing in con-
719 text with annual hydrographic measurements in the central Arctic Ocean to infer the
720 timescale of the response of the upper ocean to large-scale atmospheric circulation changes
721 is around 3 to 7 years. These adjustment timescales are influenced by processes balanc-

ing momentum input by the winds, mediated by sea-ice cover. We describe these processes as they control Beaufort Gyre dynamics in the next section.

7 The Beaufort Gyre

The anticyclonic Beaufort Gyre, with a diameter around 800 km, dominates the Canadian Basin circulation. It is characterized by typical speeds in the upper water column of several cm/s (McPhee, 2013; B. Zhao & Timmermans, 2018); water parcels at the gyre periphery take roughly 2 years to complete a revolution. The Beaufort Gyre has been much more intensively studied than the Transpolar Drift Stream, in part because it is the largest reservoir of fresh water in the Arctic Ocean (e.g., L. K. Coachman, 1969; A. Proshutinsky, Dukhovskoy, Timmermans, Krishfield, & Bamber, 2015; A. Y. Proshutinsky & Johnson, 1997; Worthington, 1953). The presence of upper-ocean fresh water allows for the persistence of sea ice because the associated stratification acts as a barrier to upward heat transport (e.g., Aagaard, Coachman, & Carmack, 1981). Further, the release of Beaufort Gyre fresh water may affect climate dynamics in the North Atlantic by changing the stratification there (e.g., Belkin, Levitus, Antonov, & Malmberg, 1998). Mixed-layer salinities are freshest in the Beaufort Gyre center, the result of surface Ekman convergence of fresh water deriving from river discharge, net precipitation and sea-ice melt, and there is a surface gradient towards higher salinities away from the center (Figure 2a). The Beaufort Gyre center (characterized by a maximum in sea-surface height and maximum depth of halocline density surfaces, Figures 3, 9 and 10) generally coincides with the atmospheric Beaufort High center and its intensity is associated with the strength of the wind-stress curl, Figure 2c (e.g., Armitage et al., 2017; L. K. Coachman, 1969; A. Proshutinsky et al., 2009; A. Y. Proshutinsky & Johnson, 1997).

Related to the accumulation and release of Beaufort Gyre fresh water, A. Y. Proshutinsky and Johnson (1997) put forward that there are two regimes of atmospheric circulation over the Arctic Ocean – one in which the Beaufort High atmospheric pressure dominates (an anticyclonic regime), and the other in which the Icelandic Low pressure system is expanded and dominates (a cyclonic regime). These regimes shift from one to another on a timescale of around 5 - 7 years, although the precise mechanism for this shift is unclear (A. Proshutinsky et al., 2015). Observations and numerical experiments suggest that during an anticyclonic regime, the Beaufort Gyre accumulates fresh water, and during a cyclonic regime, it can be released to exit the Arctic Ocean into the North At-

754 lantic (A. Proshutinsky, Bourke, & McLaughlin, 2002). Only since the early 2000s have
755 we had sufficient year-round observations of the coupled atmosphere-ice-ocean system
756 to build up a deeper understanding of the relationships between atmospheric forcing and
757 Beaufort Gyre fresh water. For example, the accumulation of fresh water requires the
758 availability of fresh water (e.g., sea-ice melt water or river influxes) to coincide with at-
759 mospheric forcing that drives Ekman convergence in the surface ocean layer. A. e. a. Proshutin-
760 sky (2019) show that the dominant contributions to recent fresh water accumulation in
761 the Beaufort Gyre have been Pacific Water inflows through Bering Strait and fresh wa-
762 ter from the Mackenzie River; changes to either could yield changes in Beaufort Gyre
763 fresh water content even while the atmospheric forcing remains the same. We re-visit
764 changes in Beaufort Gyre fresh water in Section 8.

765 **7.1 Potential vorticity and ventilation**

766 The field of potential vorticity is useful for understanding the large-scale circula-
767 tion of the Beaufort Gyre. Just as low Rossby number barotropic flow associated with
768 the Atlantic Water is steered by f/H contours, the flow on density surfaces in the Beau-
769 fort Gyre’s stratified halocline follows f/h contours where h is the vertical distance be-
770 tween two density surfaces whose density difference is $\delta\sigma$. We then define the potential
771 vorticity $q = (\delta\sigma/\rho_0)(f/h)$. The possible geometry of q contours is shown schemati-
772 cally in Figure 8 (blue contours). A closed q contour suggests that water can circulate
773 around the contour without having its potential vorticity reset. If, instead, q contours
774 thread back to density outcrops at the surface, ventilation is possible in which fluid flow-
775 ing along these contours enters/exits the halocline from/to the surface mixed-layer. In
776 this way, inspection of the field of potential vorticity allows one to distinguish between
777 waters that are relatively isolated from the surface and those that are ventilated.

778 We select the layer defined by $\sigma = 25-27.4 \text{ kg m}^{-3}$ to represent the main halo-
779 cline (Figure 3b,c). In the central basins its top surface is consistently below the mixed
780 layer so that it is not subject to seasonally-varying surface buoyancy and wind forcing
781 (Figure 9a). The layer is characterized by a potential vorticity minimum in the central
782 Beaufort Gyre, and a potential vorticity maximum (higher stratification, a consequence
783 of surface Ekman transport towards the Beaufort Gyre) approximately paralleling the
784 Lomonosov Ridge at the front between Canadian and Eurasian Basin water, i.e., the Atlantic-
785 Pacific boundary described in Section 6 (Figure 9b). The outcrop of the layer can be seen

786 at the margins of the Beaufort Gyre, where there is a surface front between saltier Chukchi
787 Sea water and relatively fresh Beaufort Gyre water (see Figure 2a), and in the Eurasian
788 Basin. We see that q contours in the halocline layer thread to the outcrop in the Chukchi
789 Sea indicating ventilation (Figure 9b). This supports the idea that the halocline layer
790 is ventilated by waters whose temperature and salinity properties are set at the surface.
791 Timmermans, Marshall, Proshutinsky, and Scott (2017); Timmermans et al. (2014) ar-
792 gue that the Beaufort Gyre is ventilated by water that is transferred from the surface
793 in the Chukchi Sea region down and laterally into the halocline by wind-driven Ekman
794 pumping and the large-scale geostrophic circulation. The process is analogous to mid-
795 latitude thermocline ventilation (e.g., Iselin, 1939; Luyten, Pedlosky, & Stommel, 1983;
796 H. M. Stommel, 1979). In this way Pacific Water is swept into the Beaufort Gyre such
797 that it penetrates and ventilates the entire interior Canada Basin halocline where Pa-
798 cific Water layers reside beneath the surface mixed layer (see Timmermans et al., 2014).

799 As a consequence of its ventilation, the halocline of the Beaufort Gyre is charac-
800 terized by two stratification maxima (Figures 3b and 10c). The first and shallowest cor-
801 responds to the mixed-layer base and is maintained by sustained surface Ekman conver-
802 gence of fresh water. The second peak in the stratification around 200 m depth is at the
803 base of the Pacific Winter Water Layer (Figure 10b,c), and is thought to originate at the
804 surface in the Chukchi Sea and ventilate the region in winter (Timmermans et al., 2017,
805 2014). Deeper down, waters from the cyclonic Atlantic Water boundary current are car-
806 ried into the interior of the Canada Basin by thermohaline intrusions and eddies (F. A. McLaugh-
807 lin et al., 2009). Below the Atlantic Water Layer, the deep and bottom waters share the
808 same large-scale circulation patterns, although are much weaker in strength than the over-
809 lying anticyclonic circulation (see Dosser & Timmermans, 2018; B. Zhao & Timmermans,
810 2018).

811 There is a vast store of available potential energy in the Beaufort Gyre halocline
812 that is susceptible to baroclinic instability. The basic state isopycnals indicate a change
813 in sign with depth of the horizontal potential vorticity gradient satisfying the necessary
814 criterion for baroclinic instability (Figure 10d). If the planetary potential vorticity gra-
815 dient is negligible, the sign of the interior meridional background potential vorticity gra-
816 dient may be determined by the sign of the meridional isopycnal layer thickness gradi-
817 ent. In the schematic representation of the Beaufort Gyre, the horizontal potential vor-
818 ticity gradient changes sign between the layers shown, indicating how the gyre may be

819 baroclinically unstable (Figure 10d). The observed energetic eddy field (Figure 10e) and
 820 predicted scales and growth rates (Section 4.2 and Figure 4) suggest that the gyre is in-
 821 deed baroclinically unstable, with important implications for its dynamics, as we now
 822 discuss.

823 **7.2 Fundamental dynamics of the Beaufort Gyre**

824 Fundamental dynamics of the Beaufort Gyre differ from mid-latitude wind-driven
 825 gyres which are characterized by a Sverdrup interior and frictional balance at western
 826 boundary currents (Munk, 1950; H. Stommel, 1948). It appears that the dynamics of
 827 the Beaufort Gyre has much in common with the dynamics of the Antarctic Circumpo-
 828 lar Current (ACC). Meridional barriers are also absent in the Southern Ocean and mesoscale
 829 eddy transfer is key to satisfying large-scale budgets of the ACC (see Marshall & Radko,
 830 2003). Residual-mean theory is central to understanding the dynamics of such systems.

831 **7.2.1 Residual-mean theory**

We consider the Beaufort Gyre as a system in which the prevailing winds pump
 fresh water in to the interior of the gyre, thickening halocline layers. This process is bal-
 anced by mesoscale eddy fluxes (i.e., bolus fluxes) that reduce thickness variations. The
 total transport in an isopycnal layer (due to the mean flow $\bar{\mathbf{v}}$ plus transport by eddies)
 is known as the residual-mean (as reviewed by, e.g., Andrews, Leovy, & Holton, 1987)
 defined by

$$\underbrace{\frac{\overline{\mathbf{v}h}}{h}}_{\text{Residual-mean}} = \underbrace{\bar{\mathbf{v}}}_{\text{Eulerian-mean}} + \underbrace{\frac{\overline{\mathbf{v}'h'}}{h}}_{\text{Eddy-induced transport}}, \quad (9)$$

832 where h is the thickness of a density layer, overbars denote an average and primes de-
 833 partures from that average. The residual-mean transport through a layer has a compo-
 834 nent in addition to the Eulerian mean because there can be correlations between the lat-
 835 eral flow and the thickness of the layer, leading to a significant bolus transport, $\overline{\mathbf{v}'h'}$. In
 836 the ACC, for example, bolus fluxes are significant and residual and Eulerian transports
 837 differ greatly from one-another, a fact that has fundamental implications for our under-
 838 standing of its dynamics (see the review by Marshall & Speer, 2012). This is also true
 839 for the Beaufort Gyre (G. E. Manucharyan, Spall, & Thompson, 2016; Meneghello et al.,
 840 2017; Yang, Proshutinsky, & Lin, 2016).

Meneghello et al. (2017) show that observations are consistent with the large-scale wind-driven Ekman transport integrated over the Beaufort Gyre being largely balanced by eddy fluxes (i.e., the left hand side of equation (9) is a residual of the terms on the right hand side which tend to cancel one-another). They consider the zero residual-mean limit (analogous to studies to understand Southern Ocean dynamics, e.g., Marshall & Radko, 2003) and test whether the Eulerian-mean circulation can balance the bolus transport by eddies. Introducing an eddy diffusivity K_D to characterize eddy transport (as in Gent & McWilliams, 1990), a zero residual-mean balance yields

$$K_D = \frac{1}{\rho_0 f_0} \frac{\iint \nabla \times \boldsymbol{\tau}_s dA}{\iint \nabla^2 h dA}, \quad (10)$$

where $h(r)$ refers to the depth of an isopycnal in the stratified Beaufort Gyre, and $\boldsymbol{\tau}_s$ is the stress on the surface ocean, influenced by the presence of sea-ice cover (we discuss the role of sea ice shortly). The integrals are over an area enclosed by a particular geopotential height contour in the (r, z) plane. The numerator of (10) represents the area integrated Ekman pumping and the denominator can be considered as the balancing thickness flux. As described in Section 4.2, mooring measurements of velocity in the Beaufort Gyre allow for observational estimates of K_D invoking a mixing length theory. The magnitude and vertical structure of these estimates are in rough agreement with values inferred from (10) as shown by Meneghello et al. (2017). This suggests that in the Beaufort Gyre, eddy fluxes may be sufficient to balance Ekman pumping leading to a small residual-mean flow. We note that (10) yields the scaling for the depth of the halocline:

$$h \sim \frac{R\tau_s}{\rho_0 f_0 K_D}, \quad (11)$$

841 where R is an estimate for the radius of the gyre. Taking typical values for these param-
 842 eters ($R = 400$ km, $\tau_s = 0.5 \times 10^{-2}$ N m $^{-2}$, $f = 10^{-4}$ s $^{-1}$, and $K_D = 400$ m 2 s $^{-1}$),
 843 gives $h \approx 50$ m, broadly in accord with the depth scale of the upper halocline and Fig-
 844 ures 3c and 10c (see e.g., Meneghello et al., 2017). This is the same as the scaling for
 845 the vertical scale of the ACC discussed by Marshall and Radko (2003) and the same dy-
 846 namics are at work.

847 The axisymmetric model described above, although highly instructive, cannot cap-
 848 ture important asymmetries induced by topographic effects. Notably, the west side of
 849 the southern Canada Basin is bounded by the steep Northwind Ridge; the ridge has a
 850 slope of more than 10 degrees in places from the abyssal plain of the Canada Basin (around
 851 3800 m deep) to the Chukchi Borderland and Northwind Abyssal Plain regions, shallower

852 than 1000 m in parts (Jakobsson et al., 2008, 2012). This prominent topographic fea-
853 ture may affect the symmetry of the gyre, and its susceptibility to baroclinic instabil-
854 ity (e.g., G. Manucharyan & Isachsen, 2019).

855 *7.2.2 Wind forcing mediated by sea ice*

856 In the absence of sea ice there is a direct relationship between the wind-stress act-
857 ing on the ocean and the associated Ekman pumping. In the presence of sea ice, how-
858 ever, wind applies stress to the ice which, less the lateral stresses within the ice, applies
859 stress to the ocean. Moreover, the strength and sign of Ekman pumping in the surface
860 ocean can be influenced by geostrophic ocean currents moving against the sea ice (Dewey
861 et al., 2018; Meneghello, Marshall, Campin, Doddridge, & Timmermans, 2018; Meneghello,
862 Marshall, Timmermans, & Scott, 2018). Consider, for example, a situation in which the
863 Arctic Ocean is almost completely ice covered in winter and internal lateral stresses in
864 the ice pack are sufficiently large that the sea-ice motion in response to the prevailing
865 anticyclonic wind forcing is small. At the same time, there is a persistent ocean geostrophic
866 flow of the anticyclonic Beaufort Gyre acting against the near-motionless sea ice. This
867 gives rise to Ekman divergence in the surface ocean layer and upwelling from the inte-
868 rior. Meneghello, Marshall, Timmermans, and Scott (2018) show that this upwelling each
869 winter greatly reduces the annual cumulative Ekman downwelling from the value it would
870 have had in the ice-free case; observations of ocean geostrophic flow, winds and sea-ice
871 drift indicate that cumulative Ekman downwelling can be up to 80% lower than an in-
872 ferred value that neglects the presence of ice. Meneghello, Marshall, Campin, et al. (2018)
873 describe how this effect acts as a self-regulator, which they call the *ice-ocean stress gov-*
874 *ernor*, and which sets the speed of the Beaufort Gyre. As the gyre increases in speed in
875 response to sustained anticyclonic wind forcing, and/or sea-ice drift slows in winter when
876 internal ice stresses increase, ocean currents ultimately reach ice speeds and the surface
877 stress on the ocean shuts off. In this way, the ice-ocean stress governor can equilibrate
878 the gyre, which implies a limit on freshwater accumulation. This is another example of
879 the internal system dynamics arranging to “turn off” the residual flow and the forcing
880 thereof. The implications for the future Arctic, where ice will likely be absent in sum-
881 mer and more mobile in winter, are discussed in the next section.

8 Arctic Ocean variability, climate change and future perspectives

The rapid changes that are underway in the Arctic compel an assessment of how Arctic Ocean dynamics might fundamentally change in the future. One conspicuous scenario to consider is a seasonally ice-free Arctic Ocean, with no sea ice for part of the summer/fall and a thinner sea-ice pack in winter/spring. How will Arctic oceanography be different in this regime? Here, we contemplate two aspects of such a change; the first relates to ocean heat and the second relates to fresh water and energetics of the large-scale circulation.

8.1 Changing ocean heat

In recent decades, a general warming of the upper Arctic Ocean has been widely documented in observations (e.g., E. Carmack et al., 2015; I. V. Polyakov et al., 2017; Timmermans, Toole, & Krishfield, 2018). Linear trends indicate summer mixed-layer temperatures increasing at about 0.5°C per decade over 1982-2018 in large areas of the Arctic Ocean that are ice-free in summer (Timmermans & Ladd, 2019). Increasing mixed-layer temperatures predominantly result from increased summertime solar absorption into the surface ocean that is associated with sea-ice losses and decreased Arctic Ocean albedo; the ice-albedo feedback mechanism has been a dominant factor of recent sea-ice losses (D. K. Perovich & Richter-Menge, 2009). Further, the heat absorbed by the surface ocean has implications that persist beyond the melt season. Timmermans (2015) showed that in the Canadian Basin, the excess heat absorbed by the surface ocean can lead to sea ice that is 25% thinner at the end of the growth season. Similar estimates apply for the region to the northeast of Svalbard, where observations indicate a delayed onset of freezing that follows excess solar absorption by the oceans (Ivanov et al., 2016).

Ocean heat advected from the Pacific Ocean is also increasing, and has been implicated in triggering the ice-albedo feedback mechanism in the Chukchi Sea (Woodgate et al., 2010), which has experienced the fastest rate of sea-ice decline in the entire Arctic Ocean (Comiso, 2012; Serreze, Crawford, Stroeve, Barrett, & Woodgate, 2016). Heat transport from the Pacific Ocean through Bering Strait increased by 60% over 2001-2014, from around 10 TW in 2001 to 16 TW in 2014; this was attributed to increases in both volume flux and temperature (Woodgate, 2018; Woodgate, Stafford, & Prahl, 2015).

912 Some of the additional ocean heat in the Chukchi Sea, that derives both from ex-
913 cess solar absorption as a consequence of reduced sea-ice cover, and increased advection
914 from the Pacific Ocean, is accumulated and stored within the Beaufort Gyre halocline,
915 away from the influence of surface-ocean buoyancy fluxes and wind-driven mixing. As
916 described in Section 7.1, anomalously warm waters at the surface in the Chukchi Sea are
917 saltier (and therefore more dense) than the fresher, cooler waters at the surface in the
918 interior Beaufort Gyre, and there is a surface front between the two water types (approx-
919 imately at the $\sigma = 25 \text{ kg m}^{-3}$ outcrop in the southwest Beaufort Sea, see Figure 9);
920 the denser (warmer) water type ventilates the Beaufort Gyre halocline. In the interior
921 Beaufort Gyre, Pacific Water Layer maximum temperatures increased by about 0.5°C
922 between 2009 and 2013 (Timmermans et al., 2014), and integrated heat content in the
923 warm Pacific Water Layer approximately doubled over the period 1987-2017 (Timmer-
924 mans, Toole, & Krishfield, 2018). The amount of additional heat is enough to melt al-
925 most 1 m of sea ice should it escape to the surface. Understanding the fate of this heat
926 is the subject of ongoing research.

927 It may be expected that under seasonally ice-free conditions (i.e., open water for
928 longer duration each summer in the Chukchi Sea), intensified solar absorption by the ocean
929 should continue, and therefore stored ocean heat should increase. On the other hand,
930 a different scenario may unfold. Ventilation of the Beaufort Gyre halocline relies on the
931 presence of the surface front (where the density contrast exists because of the salinity
932 differences) between Chukchi Sea waters and Beaufort Gyre waters. At present Arctic
933 Ocean temperatures, the coefficient of thermal expansion α is small and temperature has
934 a negligible effect on density. Therefore, although the summertime surface Chukchi Sea
935 waters are several degrees warmer than the Beaufort Gyre surface waters, the saltier Chukchi
936 Sea surface waters are more dense than those of the Beaufort Gyre, and the summer-
937 time solar-warmed water can continue to ventilate the Beaufort Gyre halocline. How-
938 ever, as warming continues, α will increase, and temperature will have an increasingly
939 important influence on the density as it does in the mid-latitude oceans characterized
940 by a thermocline. A possible future scenario is that the warming of the Chukchi Sea wa-
941 ters will be sufficiently strong as to have a compensating effect on the salinity differences
942 on density, and the front will be eliminated (Timmermans & Jayne, 2016). This would
943 shut off the Beaufort Gyre halocline ventilation, and the mechanism for the accumula-
944 tion of ocean heat, during the warmest periods.

8.2 Atlantification of the Arctic

The concept and implications of water-mass types in the polar region becoming closer to those characterizing mid-latitude oceans has also been explored on the Atlantic Ocean side of the Arctic. Mean Atlantic Water temperatures at Fram Strait and the Barents Sea Opening increased by around 1-1.5°C from 1980-2012 with long-term trends in volume inflow estimates difficult to infer given observation limitations (Mulwijk, Smedsrud, Ilicak, & Drange, 2018). Recent changes in the vicinity of the Atlantic Water inflow to the Arctic Ocean, including reduced sea ice, weaker stratification and enhanced Atlantic Water Layer heat fluxes further northeast into the Eurasian Basin, have been referred to as the *Atlantification* of the Arctic Ocean (Årthun, Eldevik, Smedsrud, Skagseth, & Ingvaldsen, 2012; Lind, Ingvaldsen, & Furevik, 2018; I. V. Polyakov et al., 2017). In the Eurasian Basin, vertical heat fluxes from the Atlantic Water Layer were estimated to be around 2-4 times larger in the 2014-2015 period compared with 2007-2008 (I. V. Polyakov et al., 2017).

The Atlantification concept alludes to the possibility of a northward progression of the warm α -oceans – North Atlantic water masses encroaching on the Arctic Ocean. At latitude around 45°N in both the North Pacific and Atlantic, there is a transition from an upper ocean that exhibits α stratification to a β stratification at the subarctic frontal zone, where warmer, saltier surface waters to the south meet cooler, fresher surface waters to the north (Roden, 1970, 1991), Figure 1b. The exact position of the subarctic front is related to the wind field, with the front in the vicinity of the maximum Ekman transport convergence (Roden, 1991). While the North Atlantic subarctic front covers a much broader range of latitudes, in both the Pacific and Atlantic oceans this $\alpha - \beta$ boundary, where the local surface density is maximal, is characterized by temperatures around 10°C (see e.g., Belkin & Levitus, 1996; E. C. Carmack, 2007), Figure 1b. Cabbeling, a process of sinking where two water masses of the same density but differing temperature and salinity mix and become more dense, is active in this frontal boundary region (see Garrett & Horne, 1978).

As mentioned in Section 3, the $\alpha - \beta$ stratification boundary is of importance to climate in that it establishes the southern extent of winter sea ice cover. Sediment core proxy data suggest significant changes in the position of the subarctic front over the Holocene period (Moros, Jansen, Oppo, Giraudeau, & Kuijpers, 2012; Perner et al., 2018), and much

977 further back in the climate record, where the shifting influence of Atlantic and Polar Wa-
978 ter types is related to changes in sea-ice extent (e.g., Stein, Fahl, Gierz, Niessen, & Lohmann,
979 2017). During the last major interglacial period ($\sim 130,000$ and $80,000$ years ago, char-
980 acterized by conditions warmer than today), Arctic sea ice biomarker proxy records and
981 modeling suggest the Barents Sea was ice free for much of the year under the strong in-
982 fluence of inflowing Atlantic Water (Stein et al., 2017). The Barents Sea has been an in-
983 creasingly dominant region of winter sea-ice loss in recent decades, largely resulting from
984 increased Atlantic Water heat transport into the region (Smedsrud et al., 2013).

985 Climate model ensemble means (under continued increasing emissions) show a sus-
986 tained incursion of Atlantic Water (marked by contours of the 1°C isotherm at 200 m
987 depth, their Figure 12) from its present location in the vicinity of Fram Strait and the
988 northern Barents Sea to almost paralleling the Lomonosov Ridge in the 2070s such that
989 warm Atlantic Water fills the entire Eurasian Basin (Årthun et al., 2019). The main ef-
990 fect of this is a decrease in winter sea-ice thickness, by around 1.2 m between the 2010s
991 and 2070s; average ocean-to-ice heat fluxes increase from around 0.5 W m^{-2} to 5 W m^{-2}
992 in the Eurasian Basin between these two time periods. Increased Atlantic Water influ-
993 ence is likely to be a major player in the march towards a seasonally-ice-free Arctic Ocean.
994 A potentially relevant feedback is increased mixing within the Arctic (discussed next)
995 driving increased Atlantic Water influxes.

996 **8.3 Sea-ice loss and ocean mixing levels**

997 The loss of sea ice is not only linked to a build-up of ocean heat in the Arctic (and
998 the indirect dynamical effects of this) – sea-ice loss has direct dynamical influences on
999 the ocean as well. First, as implied in Section 4, wind-driven momentum input and there-
1000 fore mixing levels are expected to increase under continued sea-ice losses and the absence
1001 of the buffering effects of sea-ice cover. While no studies have shown an increasing trend
1002 in Arctic Ocean mixing levels (it may be that sufficient data are not yet available), fu-
1003 ture conditions may be generally surmised from findings of more energetic inertial mo-
1004 tions in the upper water column when sea-ice concentrations are lower (e.g., Pluedde-
1005 mann, Krishfield, Takizawa, Hatakeyama, & Honjo, 1998) and mooring observations that
1006 indicate upper water-column inertial wave energy levels in the absence of sea ice can be
1007 as large as mid-latitude levels (Rainville & Woodgate, 2009). Increased mixing will drive
1008 larger vertical heat fluxes (D’Asaro & Morison, 1992), causing further sea-ice melt. On

1009 the other hand, it may be that increased wind-driven momentum input does not lead
1010 to higher mixing levels because sea-ice losses are concurrent with increased halocline strat-
1011 ification.

1012 Stratification increases, linked to freshening of the surface ocean (where fresh wa-
1013 ter originates from river influxes, land-ice melt, net precipitation, sea ice growth/melt,
1014 and northwards advection of mid-latitude waters), can inhibit convective and shear-driven
1015 mixed-layer deepening and suppress turbulent diapycnal diffusivities in the halocline. These
1016 processes regulate vertical heat transfer between the ocean interior and the surface. Arc-
1017 tic Ocean mixed-layer depths are typically around 25 to 50 m in winter and around 5-
1018 30 m in summer (e.g., Peralta-Ferriz & Woodgate, 2015; J. M. Toole et al., 2010). Be-
1019 tween 1979 and 2012, central Arctic Ocean observations indicate a mixed layer shoal-
1020 ing of 0.5 to 1 m yr⁻¹ (Peralta-Ferriz & Woodgate, 2015). Complicating the inferred con-
1021 sequences of this, Rainville, Lee, and Woodgate (2011) point out that the presence of
1022 thinner mixed layers can lead to more effective wind-driven momentum transfer to the
1023 ocean layers below; faster mixed-layer currents are generated if the same energy input
1024 is distributed over a thinner layer.

1025 In recent decades, the margins of the Arctic Ocean (e.g., the East Siberian, Laptev,
1026 Chukchi, Kara and Barents seas) have seen freshwater decreases (Armitage et al., 2016).
1027 For example, freshwater content in the top 100 m of the northern Barents Sea decreased
1028 by about 32% between 1970-1999 and 2010-2016 (Lind et al., 2018). Mixed-layer deep-
1029 ening trends have been observed in these marginal regions in the past few decades, at-
1030 tributed to winds driving surface fresh water offshore (Peralta-Ferriz & Woodgate, 2015),
1031 and weakening stratification associated with Atlantification (I. V. Polyakov et al., 2017).
1032 The state of halocline strength and structure, and therefore mixing levels, in the com-
1033 ing decades will depend on the combined evolution of fresh water availability and its dy-
1034 namical redistribution by winds modified to varying degrees by sea ice depending on sea-
1035 son and region.

1036 **8.4 Changes in fresh water storage**

1037 Over 1992 to 2012 Arctic Ocean total freshwater content (integrated fresh water
1038 relative to a salinity of 34.8) has been increasing at a rate of around 600±300 km³ yr⁻¹;
1039 about two-thirds of this trend has been attributed to salinity decreases, while the remain-

1040 ing third is a result of a thickening of the freshwater layer (E. C. Carmack et al., 2016;
1041 T. W. N. Haine et al., 2015; Rabe et al., 2014). The most comprehensive in-situ hydro-
1042 graphic measurements are from the Beaufort Gyre region where observations indicate
1043 total freshwater content has increased by almost 40% since the 1970s (from around $17 \times$
1044 10^3 km^3 to $23.5 \times 10^3 \text{ km}^3$ in 2018) (A. Proshutinsky, Krishfield, & Timmermans, 2019;
1045 A. e. a. Proshutinsky, 2019). Freshwater increases are associated with the strengthen-
1046 ing of the Beaufort Gyre under the strong dominance of anticyclonic wind forcing over
1047 the Canadian Basin, freshwater accumulation from sea ice melt, increasing freshwater
1048 flux through Bering Strait and greater influence of McKenzie River water (R. A. Krish-
1049 field et al., 2014; A. Proshutinsky et al., 2015; A. e. a. Proshutinsky, 2019).

1050 Anticipating the fate of Arctic fresh water as it is influenced by, and influences, sea-
1051 ice losses (via setting the stratification and regulating wind-energy input) is a priority
1052 for future climate projections. In the present-day Beaufort Gyre subject to sustained wind
1053 forcing, it is likely that both eddy fluxes and the ice-ocean stress governor play a role
1054 in equilibrating the gyre and its freshwater content. A future, seasonally ice-free Beau-
1055 fort Gyre, with a corresponding thinner, more mobile winter sea-ice pack, would be char-
1056 acterized by a much less effective ice-ocean stress governor. Recent increases in Beau-
1057 fort Gyre freshwater content may in part already be a manifestation of a less effective
1058 ice-ocean stress governor under recent sea-ice losses. Anticyclonic wind forcing balanced
1059 only by eddy fluxes will likely yield an equilibrium freshwater content that is larger, with
1060 a deeper halocline. That said, the new equilibrium may be uncertain given the chang-
1061 ing fresh water availability (e.g., increased net precipitation, see Vihma et al., 2016) and
1062 topographic influences on gyre stability (that change with positional shifts in the gyre
1063 center).

1064 Predicting future prevailing wind forcing is also a major source of uncertainty in
1065 understanding the fate of fresh water. A weakening of the Beaufort High and dominance
1066 of the Icelandic Low will support freshwater release, which may also be accompanied by
1067 a greater volume of Atlantic Water. For example, coupled modeling comparing the time
1068 periods 1979-88 and 1989-96 indicates a reduced Beaufort Gyre in the later period, a man-
1069 ifestation of a weakened Beaufort High and an expansion of the Icelandic low pressure
1070 system (Zhang, Rothrock, & Steele, 1998). Accompanying these changes is an increased
1071 penetration of Atlantic Water into the Arctic Ocean in the later period, and increased
1072 Polar Water outflow (i.e., an intensified East Greenland Current associated with fresh

1073 water release from the Beaufort Gyre). These changes are also documented in observa-
1074 tions. Morison et al. (1998) analyze 1993 hydrographic observations that show increased
1075 influence of Atlantic Water/Eurasian Basin water types in the Arctic Ocean, with a shift
1076 in the position of the front between Eurasian Basin and Canadian Basin water types,
1077 which are characterized by fresher surface waters, Pacific Water influence and cooler At-
1078 lantic Waters. Consistent with a weakening of the Beaufort High and expanded influ-
1079 ence of the Icelandic Low, the front shifts from its previous position around the location
1080 of the Lomonosov Ridge to a position roughly paralleling the Alpha and Mendelejev Ridges;
1081 at the same time hydrographic measurements indicate a general warming of the Atlantic
1082 Water core temperatures. Morison et al. (1998) point out that the increased Atlantic sec-
1083 tor influence (and reduced fresh water) in the Arctic Ocean persists for at least several
1084 years.

1085 It may be that overall Arctic warming and sea-ice loss will lead to a reduced Beau-
1086 fort High. A reversal of the prevailing anticyclonic circulation was documented in win-
1087 ter 2017, for example (Moore, Schweiger, Zhang, & Steele, 2018). This was attributed
1088 to warm surface air temperatures during the previous autumn, and reduced sea ice ex-
1089 tents which generated an intensified low over the Barents Sea and increased cyclone prop-
1090 agation into the Beaufort Sea region (Moore et al., 2018). Such circulation patterns could
1091 become increasingly prevalent in a warming Arctic, which would have significant impli-
1092 cations and feedbacks with respect to fresh water fluxes out of the Beaufort Gyre region.

1093 **9 A framework for interpreting Arctic Ocean circulation in a chang-** 1094 **ing system, and future challenges**

1095 We have provided a general description of two distinct circulation patterns in the
1096 Arctic Ocean. Relatively warm and salty Atlantic waters enter through Fram Strait and
1097 the Barents Sea Opening, and circulate cyclonically around the Arctic basin boundaries
1098 and within Arctic sub-basins, ostensibly under strong topographic control. Co-existing
1099 with these arterial flows are wind-driven surface-intensified patterns driven interior to
1100 the Arctic – the Beaufort Gyre and the Transpolar Drift Stream. The ocean is capped
1101 by seasonally-varying sea-ice cover, with a distribution that is largely independent of to-
1102 pographic features. Pacific Ocean and river influxes further modify surface-water prop-
1103 erties.

1104 Both the estuary and f/H -following models for Atlantic Water circulation incor-
1105 porate key essential processes, and on their own cannot provide a complete picture. In
1106 the estuary model, there is no role for topography within the Arctic Ocean and no al-
1107 lowance for winds to play a dynamic role. The simplest f/H -following model is barotropic,
1108 while strong stratification exists along the cyclonic pathway of the Atlantic Water. This
1109 is particularly true in the interior Canada Basin where stratification is strongest, eddies
1110 are active and flow is surface-intensified. Further, while bottom friction may be impor-
1111 tant, a complete model should take into account diabatic halocline mixing, lateral eddy
1112 fluxes, eddy pressure anomalies at the sea-floor slope, and under-ice stresses.

1113 There are no-doubt complicated relationships between the arterial Atlantic Wa-
1114 ter and stratified Arctic Ocean interior flow. Coupled ice-ocean modeling, for example,
1115 suggests the Beaufort Gyre and Atlantic Water circulation can influence each other, e.g.,
1116 an intensified Beaufort Gyre (under anomalously strong anticyclonic wind forcing) has
1117 been found to weaken and even reverse the Atlantic Water boundary current although
1118 the precise interactions remain unclear (Karcher et al., 2007). At least, the structure and
1119 water-mass properties of mesoscale eddies sampled within the Beaufort Gyre indicate
1120 efficient eddy fluxes from the Atlantic Water boundary current (and overlying Eurasian
1121 Basin halocline water types) to the Beaufort Gyre (Carpenter & Timmermans, 2012; M. Zhao
1122 & Timmermans, 2015).

1123 We are building up a consistent description of the wind-driven Beaufort Gyre cir-
1124 culation and dissipation processes – both ocean-ice stresses and baroclinic eddy activ-
1125 ity play key roles in balancing wind forcing – yet many open questions remain. One ma-
1126 jor understanding gap is that adjustment timescales for the Beaufort Gyre and upper-
1127 ocean response to wind forcing in the Eurasian Basin are not well known. These will be
1128 essential to constrain if we are to make viable assessments about how Beaufort Gyre will
1129 change with further sea-ice decline, the fate of freshwater, stratification and mixing pro-
1130 cesses, and how the fundamental dynamics will change with continued warming to a sce-
1131 nario where the dynamical influence of temperature will be more important.

1132 Many gaps in our understanding exist because of the obstacles to acquiring suffi-
1133 cient measurements. While satellite remote sensing of ocean properties, including the
1134 meso- and smaller-scale flow field (and eddy kinetic energy) will continue to become more
1135 effective as sea ice declines, sea-ice cover will continue to remain an impediment for much

1136 of the year. Although sea ice can be a barrier to sustained remote and in-situ Arctic Ocean
1137 observing, sensors mounted in sea ice have provided invaluable measurements of the Arc-
1138 tic atmosphere-ice-ocean system (see the review by Timmermans, Krishfield, Lee, & Toole,
1139 2018). However, there remain challenges of observing and quantifying ice-ocean stresses
1140 and eddy fluxes in the upper ocean, which we know to be critical in the dynamical bal-
1141 ances. High spatial and temporal resolution measurements in the ice-ocean boundary
1142 layer are generally only possible through the use of sea ice as a platform from which to
1143 sample (and these are therefore Lagrangian measurements). Further, year-round mea-
1144 surements in the boundary layer are impracticable because seasonal sea-ice growth and
1145 dynamical ridging processes would compromise any sensors in the boundary layer. For
1146 this same reason, moored sensors must be placed deeper than a couple of tens of meters
1147 below the ice-ocean interface to avoid the possibility of being damaged by deep ice keels
1148 drifting past.

1149 Year round measurement of the Arctic basin boundary regions (including its marginal
1150 seas) also remains a critical observational gap. As we have seen, these regions are char-
1151 acterized by the smallest flow scales and highest eddy kinetic energy. In addition, basin
1152 boundaries are the pathways for river influxes, Atlantic and Pacific inflows and bound-
1153 ary currents, and are the ocean regions with the strongest summertime solar warming.
1154 However, characterizing year-round dynamics and variability there is challenging for both
1155 political reasons (i.e., observing in Exclusive Economic Zones) and environmental rea-
1156 sons (i.e., ocean and sea-ice flows are exceptionally dynamic and destructive and exhibit
1157 strong seasonal variability). A range of observing approaches will be required to provide
1158 new observations in under-ice boundary layers and in the important basin margins – ob-
1159 servations which will be vital to guide and constrain theoretical and modeling analyses
1160 to better understand the ocean’s changing dynamical balances.

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1165 2008; J. Toole, Krishfield, Timmermans, & Proshutinsky, 2011) based at the Woods Hole
1166 Oceanographic Institution (<http://www.whoi.edu/itp>). Hydrographic climatology data
1167 are from the World Ocean Atlas 2018 (WOA18; <https://www.nodc.noaa.gov/OC5/woa18/>).
1168 Beaufort Gyre hydrographic data were collected and made available by the Beaufort Gyre
1169 Exploration Program based at the Woods Hole Oceanographic Institution (<http://www.whoi.edu/beaufortgyre>)
1170 in collaboration with researchers from Fisheries and Oceans Canada at the Institute of
1171 Ocean Sciences; Data are available online at <http://www.whoi.edu/website/beaufortgyre/data>.

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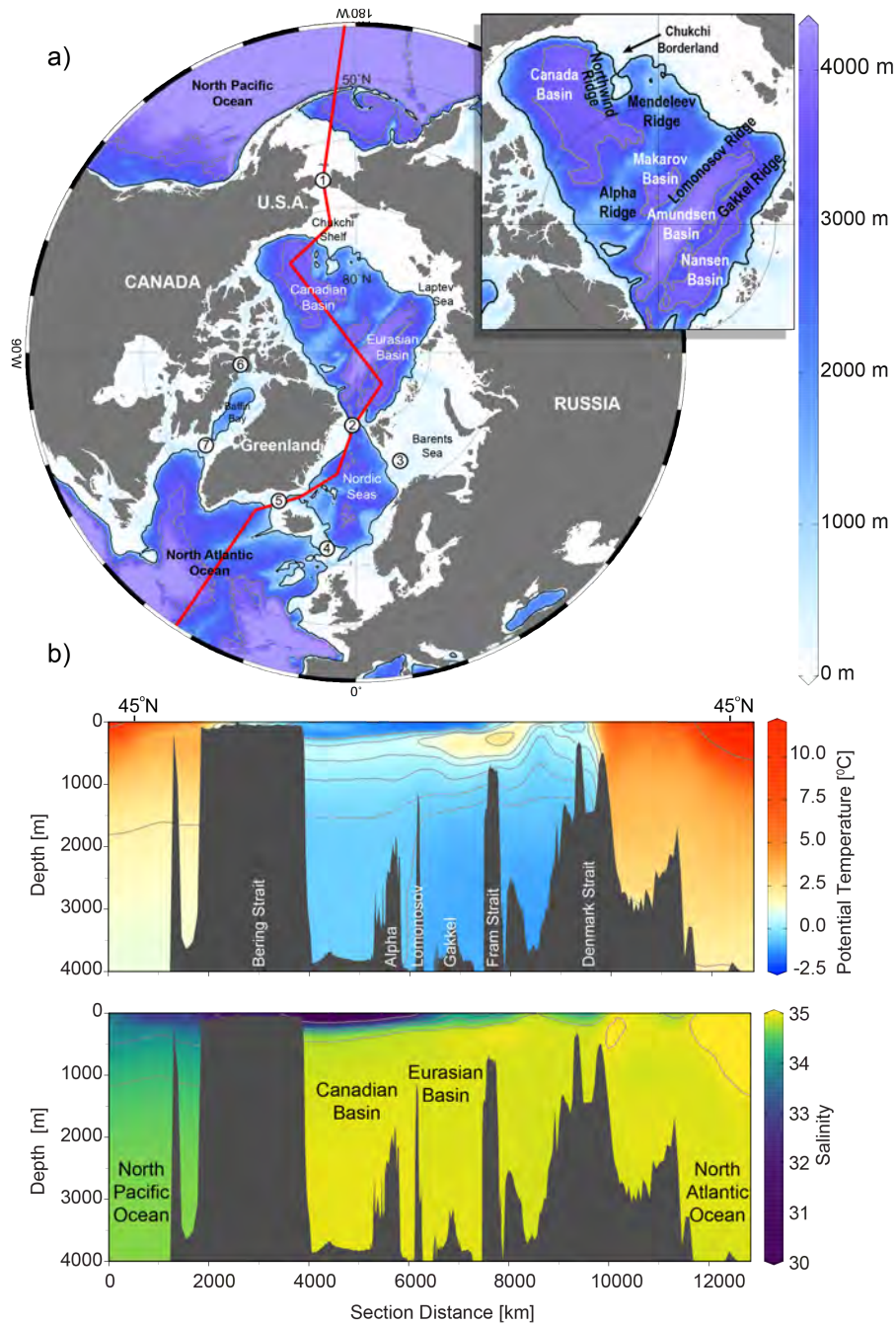


Figure 1. a) Map showing the main geographic features of the Arctic Mediterranean; the inset shows the Arctic Ocean in detail. 1000 m and 3500 m bathymetric contours are shown and numbers refer to 1. Bering Strait, 2. Fram Strait, 3. Barents Sea Opening, 4. Greenland-Scotland Ridge, 5. Denmark Strait, 6. Lancaster Sound, 7. Davis Strait. The red line marks the section shown in b) (top) Potential temperature ($^{\circ}\text{C}$) and (bottom) salinity sections from the Pacific Ocean (left), through the Arctic Ocean to the Atlantic Ocean (right). Data are from the World Ocean Database (WOD18), all data in the period 2005-2017 (Boyer, 2018), compiled as the World Ocean Atlas (WOA18) (Garcia et al., 2019).

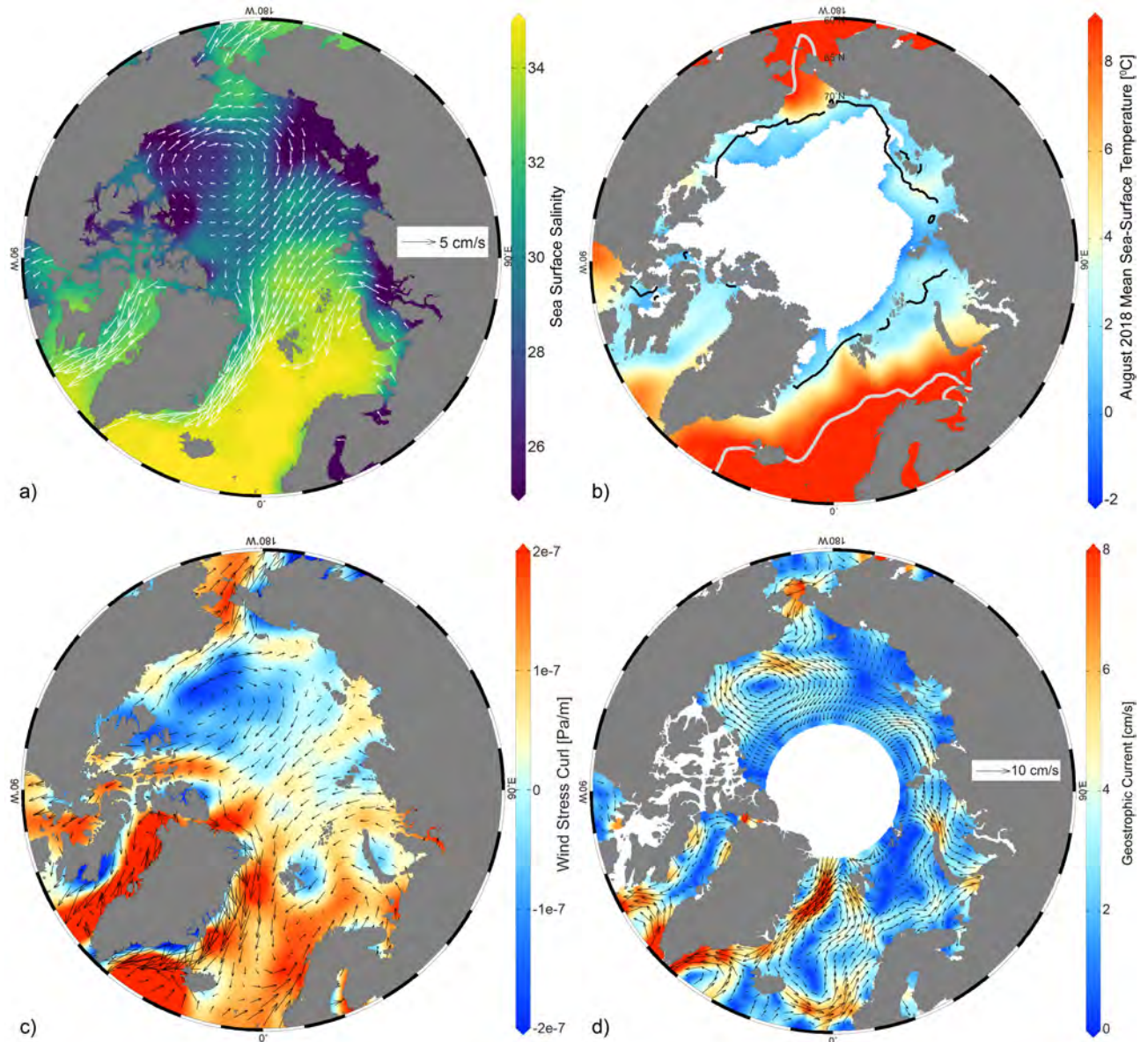


Figure 2. Maps of a) sea-surface salinity (WOD18, 2005-2017) [color] and March average sea-ice motion [white vectors] for the period 2005-17 from the Polar Pathfinder Daily 25 km EASE-Grid Sea Ice Motion Vectors data set available at the NASA National Snow and Ice Data Center Distributed Active Archive Center (Tschudi et al., 2016); b) August mean sea-surface temperature ($^{\circ}\text{C}$) from the NOAA Optimum Interpolation (OI) SST Version 2 product (OISSTv2), which is a blend of in situ and satellite measurements (Reynolds et al., 2007); c) annual average surface wind stress [black vectors] and wind-stress curl (2005-17) [color] from NCEP/NCAR Reanalysis Monthly Means (Kalnay et al., 1996); d) Mean ocean geostrophic flow (cm/s) estimated for 2003-2014 from satellite-derived dynamic topography, where data are provided by the Centre for Polar Observation and Modelling, University College London (Armitage et al., 2017). In panel b), thick gray contours indicate the 10°C isotherm, white shading is the August 2018 mean sea ice extent, and the black line indicates the median ice edge for August 1982-2010. Sea ice extent data are from NSIDC Sea Ice Index, Version 3 (Fetterer et al., 2017).

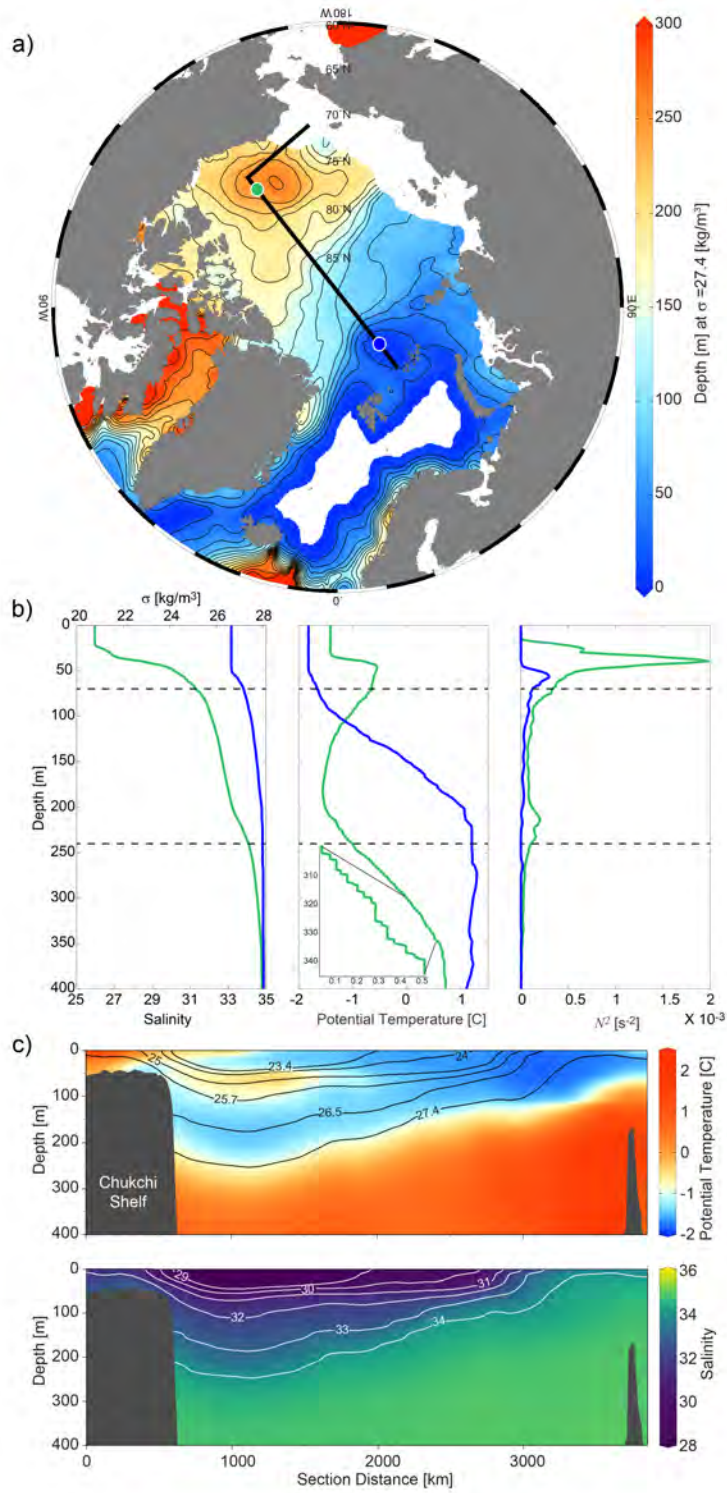


Figure 3. a) Depth of the $\sigma=27.4 \text{ kg m}^{-3}$ isopycnal. b) Example salinity, potential temperature ($^{\circ}\text{C}$) and buoyancy frequency (N^2 , s^{-2}) profiles from March 2010 in the Canada Basin (green profiles corresponding to the green marker in panel a) and Eurasian Basin (blue profiles, blue marker). The top x -axis in the left panel indicates the corresponding density and horizontal dashed lines mark the depths of $\sigma=25 \text{ kg m}^{-3}$ and $\sigma=27.4 \text{ kg m}^{-3}$ in the Canada Basin. The inset on the potential temperature profile shows the double-diffusive staircase structure. c) Sections of (top) potential temperature ($^{\circ}\text{C}$) and (bottom) salinity from the Chukchi Sea (left) to the Eurasian Basin (right) along the black line shown in panel a).

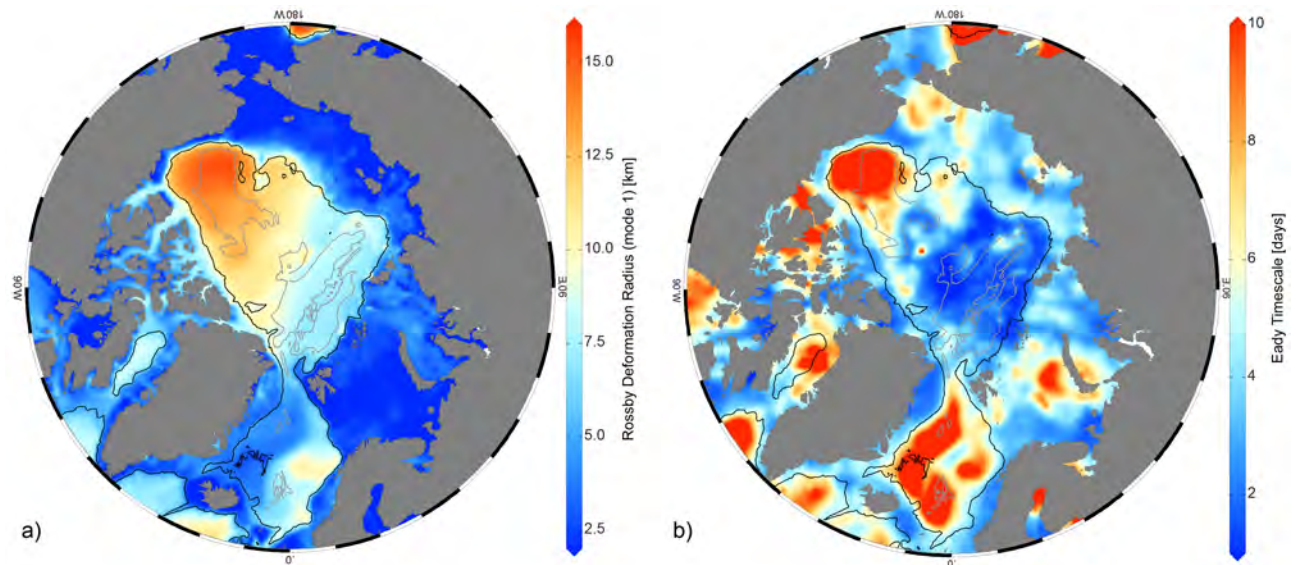


Figure 4. a) First baroclinic Rossby radius of deformation (km, computed from hydrographic climatology: WOD18, 2005-2017) following the method outlined by Chelton et al. (1998). b) An approximate Eady timescale ω^{-1} (days) calculated from (1) (see Smith, 2007) using the thermal wind shear estimated from the WOD18 climatology.

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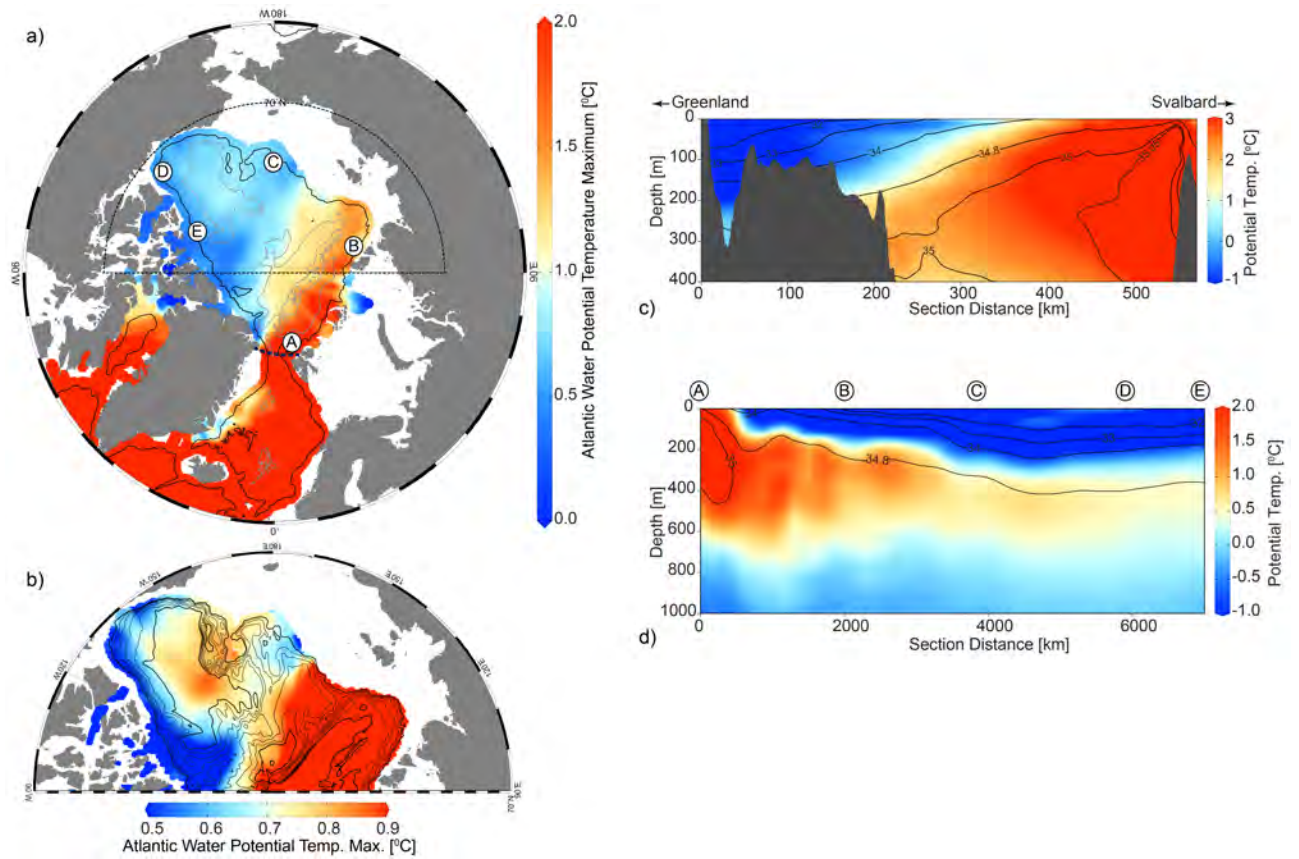


Figure 5. Maps of Atlantic Water potential temperature maximum ($^{\circ}\text{C}$) for a) the Arctic Ocean and b) the sector bounded by the thin dotted black lines in a). Bathymetric contours in b) are in intervals of 500 m; the deepest contour shown is 3500 m. Sections of potential temperature ($^{\circ}\text{C}$, colors) and salinity (contours) c) across Fram Strait from west to east along 80°N (thick dotted line shown in panel a; cooler, fresher water in the west flows south, while the warmer, saltier water to the east flows north, entering the Arctic Ocean from the Nordic Seas) and d) along the 1000 m isobath moving cyclonically around the Arctic Basin with letters A-E corresponding to their locations marked in panel a).

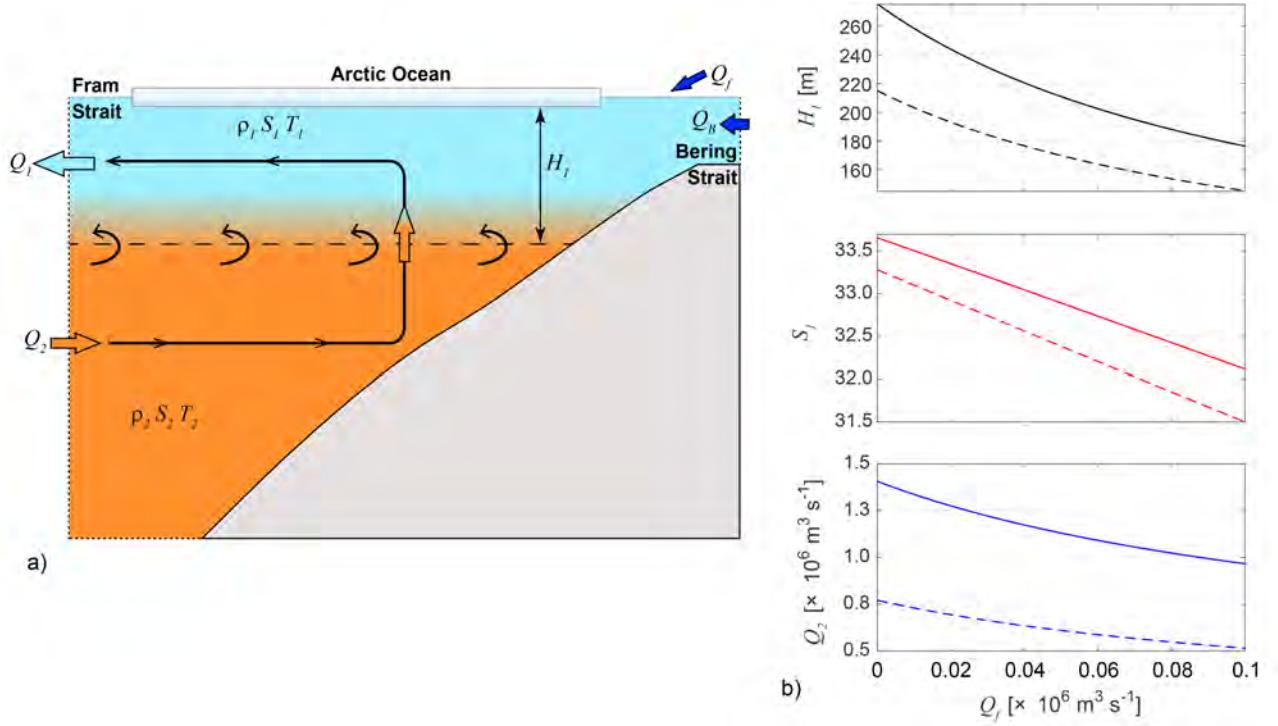


Figure 6. a) Schematic of an idealized 2-layer estuary (see Stigebrandt, 1981, his Figure 2). The upper layer constitutes Polar Water that flows from the Arctic Ocean to the Nordic Seas on the left side of the diagram, while the lower layer is renewed by Atlantic Water inflowing from the Nordic Seas to the Arctic Ocean. Mixing and entrainment of Atlantic Water into the upper layer drives the Atlantic Water inflow. b) Solutions to the system of equations (2)-(6): Upper layer thickness H_1 (top), upper layer salinity S_1 (middle) and Atlantic Water volume influx Q_2 (bottom) as functions of net freshwater input Q_f . Parameter values chosen for the calculations are given in the text, and solutions are shown for two different values of the mixing rate: $u_* = 0.55$ cm s^{-1} (solid lines) and $u_* = 0.45$ cm s^{-1} (dashed lines). For a fixed value of Q_f , larger mixing gives rise to a thicker, saltier upper layer exiting the Arctic Ocean, and a larger Atlantic Water volume influx Q_2 (see Rudels, 1989; Stigebrandt, 1981).

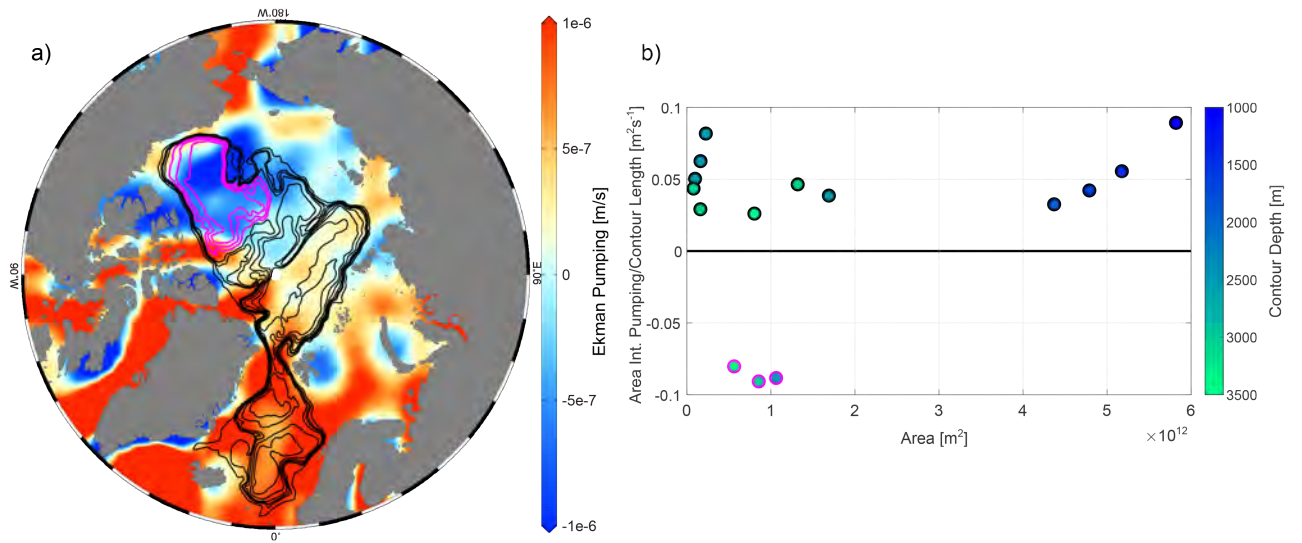


Figure 7. a) Annual average Ekman pumping (m/s, 2005-17) [color] and a selection of closed f/H contours; f/H contours effectively coincide with bathymetric contours at these latitudes. Black (magenta) contours enclose an area for which the area-integral of wind-stress curl is positive (negative). b) Area-integrated Ekman pumping per contour length (m^2s^{-1}) vs. area enclosed by the contour (m^2) for the contours shown in panel a (markers correspondingly outlined by black and magenta). Marker colors indicate the depth of the contours. See Nøst and Isachsen (2003), their figures 13 and 14.

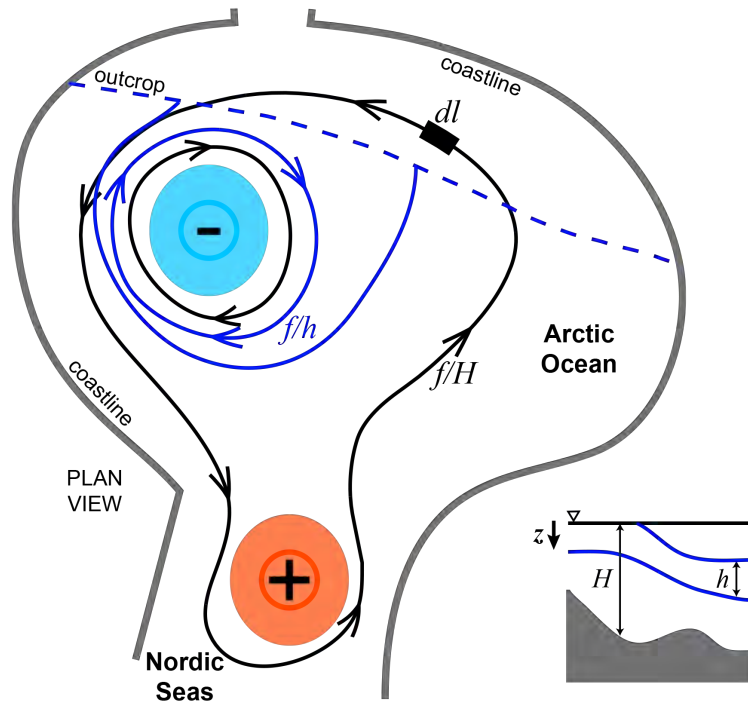


Figure 8. Plan-view schematic showing the main features of a wind-driven model of the circulation. f/H contours are shown in black with the direction of circulation along the contour governed by the sign of the wind-stress curl integrated over the area enclosed by the contour. The blue patch depicts the dominance of anticyclonic wind-stress curl in the Arctic Ocean (specifically the Beaufort Gyre region), and the red patch depicts the cyclonic wind-stress curl that dominates in the Nordic Seas. Blue contours indicate lines of constant potential vorticity for a layer bounded by two isopycnals (the section view shown in the inset shows isopycnals in blue).

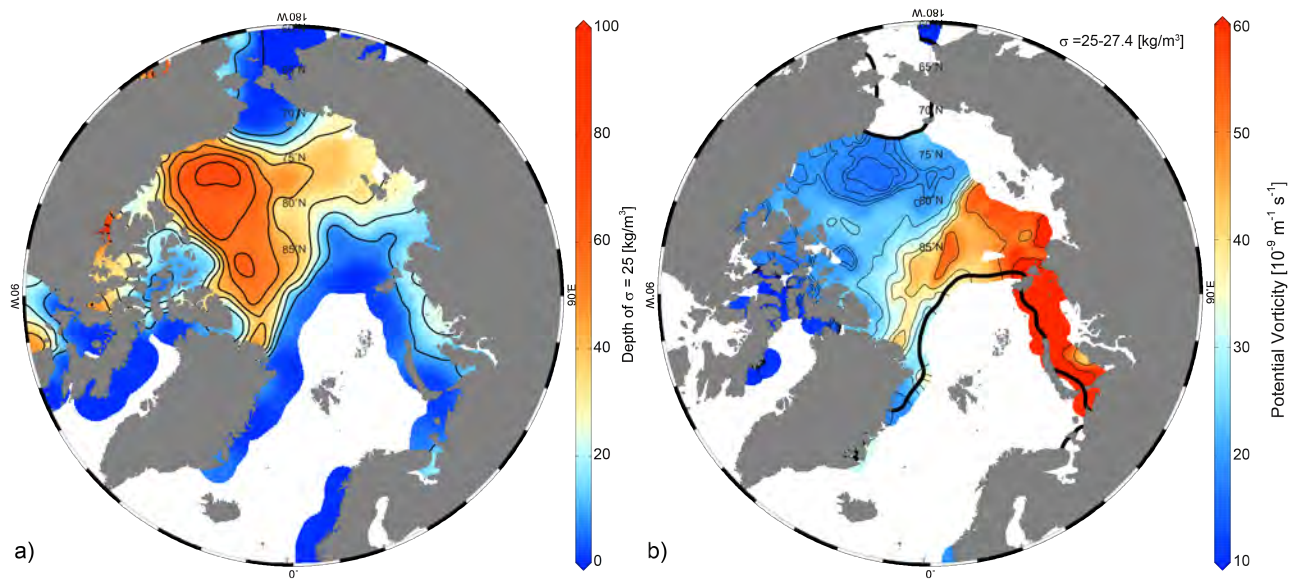


Figure 9. a) Depth of the $\sigma=25 \text{ kg m}^{-3}$ isopycnal. b) Potential vorticity ($\text{m}^{-1}\text{s}^{-1}$) of the $\sigma=25 - 27.4 \text{ kg m}^{-3}$ layer estimated by $f\delta\sigma/(h\rho_0)$, where $\delta\sigma$ is the density difference between the two density surfaces separated by a vertical distance h . The thick black contours indicate the $\sigma=25 \text{ kg m}^{-3}$ outcrop.

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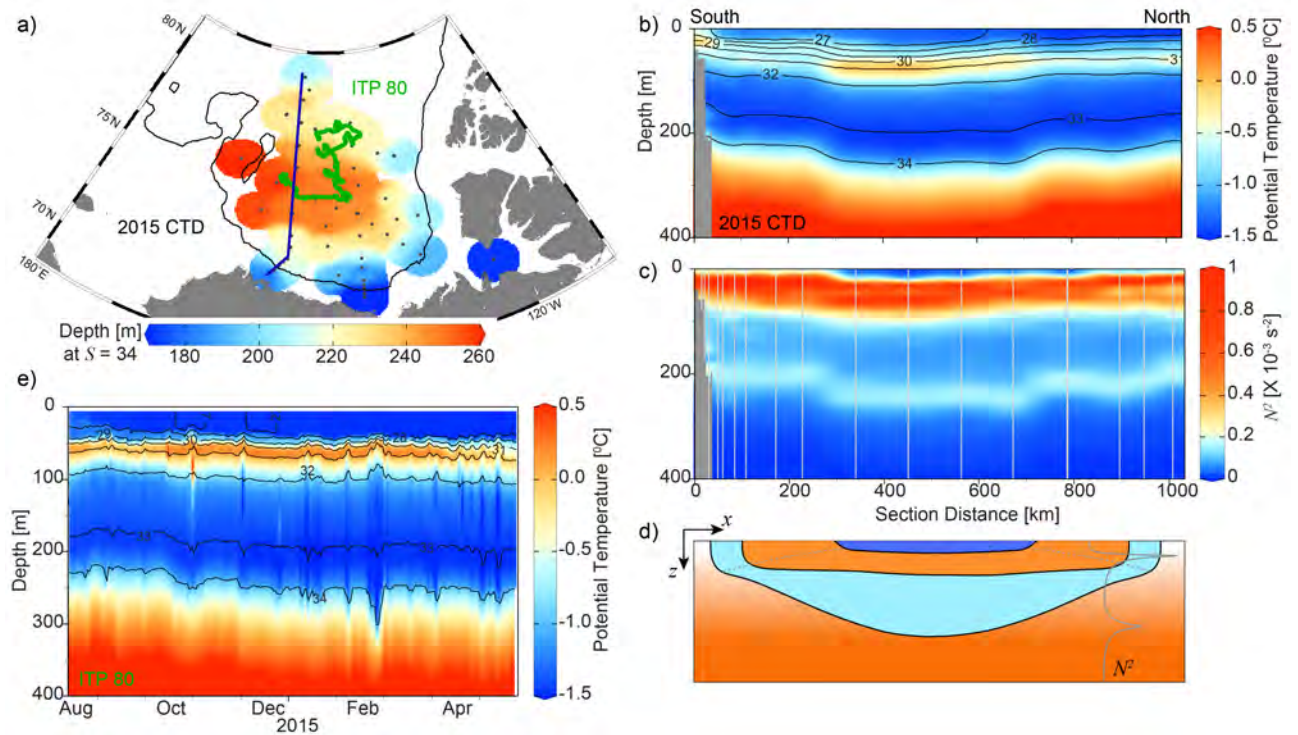


Figure 10. a) Depth of the $S=34$ isohaline from the 2015 Beaufort Gyre hydrographic expedition; CTD station locations are indicated by black dots. Sections from 2015 CTD data of b) potential temperature ($^{\circ}\text{C}$, colors) and salinity (black contours) and c) buoyancy frequency (N^2 , s^{-2}) from south (left) to north (right) along the blue line shown in panel a). d) Schematic cross section of the Beaufort Gyre where black lines represent isopycnals and colors represent temperature (blues, cold and oranges, warm); a layered configuration is shown to approximate the continuous stratification of the Beaufort Gyre, while the grey contour represents a typical stratification profile; grey dashed lines mark the base of the mixed layer. e) Depth-time section of potential temperature ($^{\circ}\text{C}$, colors) and salinity (black contours) from an Ice-Tethered Profiler (ITP) that sampled in the Canada Basin in 2014-2015 along the green drift track shown in a), where the ITP drifted from north (August 2014) to south (May 2015).

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