

1 **Polar phasing and cross-equatorial heat transfer**  
2 **following a simulated abrupt NH warming of a glacial climate**

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8 **Abstract**

9 A lag of about 200 years between abrupt Greenland warming and maximum Antarctic warming  
10 characterizes past glacial Dansgaard–Oeschger events. In a modeling study, we investigate how the  
11 cross-equatorial oceanic heat transport (COHT) drives this phasing during an abrupt Northern  
12 Hemisphere (NH) warming. We use the MITgcm in an idealized continental configuration with two  
13 ocean basins, one wider, one narrower, under glacial-like conditions with sea ice reaching mid-  
14 latitudes. An eccentricity-related solar radiation anomaly imposed over 100 years to trigger an abrupt  
15 NH warming and sea-ice melting. The Hadley circulation shifts northward in response, weakening the  
16 NH trade winds, subtropical cells (STCs), and COHT in both ocean basins. This induces heat  
17 convergence in the Southern Hemisphere (SH) ocean subsurface, from where upward heat release melts  
18 sea ice and warms SH high-latitudes. Although the small-basin meridional overturning circulation also  
19 weakens, driven by NH ice melting, it contributes at most one-third to the total COHT anomaly, hence  
20 playing minor roles in the SH and NH initial warming. Switching off the forcing cools the NH; by  
21 contrast, heat release continues from the SH ocean subsurface via isopycnal advection-diffusion and  
22 vertical mixing, driving further sea ice melting and high-latitude warming for about 50–70 more years.  
23 A phasing in polar temperatures resembling reconstructions thus emerges in the model, linked to  
24 changes in the STCs' COHT, and SH ocean heat storage and surface fluxes. Our results highlight the  
25 potential role of atmosphere circulation and wind-driven global ocean circulation in the NH–SH  
26 phasing seen in DO events.

## 27 **1. Introduction**

28 The Dansgaard–Oeschger (DO) events during the last glaciation were characterized by abrupt  
29 transitions between stadial (colder) and interstadial (warmer) conditions in Greenland temperatures.  
30 The latest estimates suggest that Antarctic temperatures peaked about 200 years later than the onset of  
31 the abrupt Greenland warming (Fig. 1) [WAIS Divide Project Members, 2015]. The most common  
32 theory to explain the phasing between polar temperatures involves the seesaw mechanism, related to  
33 changes in the Atlantic meridional overturning circulation (AMOC) and its associated cross-equatorial  
34 heat transport [e.g., Broecker, 1998; Stocker and Johnsen, 2003; Knutti et al., 2004; Vettoretti and  
35 Peltier, 2015; Pedro et al., 2018]. During a stadial–interstadial transition, for example, an abrupt  
36 Greenland warming is imagined to be driven by a strengthening of the AMOC's northward cross-  
37 equatorial oceanic heat transport (COHT) at the expense of cooling Antarctica, and vice versa. The  
38 linkage between Northern and Southern polar temperatures has been studied in a variety of modelling  
39 studies (see below); however, they show a polar phasing that conflicts not only amongst themselves,  
40 but with the latest reconstructions of phasing between Greenland and Antarctic change. The study of  
41 the polar phasing is further complicated by the fact that the exact mechanism underlying DO variability  
42 remains elusive and a variety of theories are still debated in the literature. Here we describe a sequence  
43 of coupled processes that link Northern and Southern temperature changes during and after an abrupt  
44 Northern Hemisphere (NH) warming, accounting for their phasing and which do not invoke AMOC as  
45 a primary link.

46 To trigger an abrupt Greenland warming in models, typically an anomalous freshwater flux (hosing)  
47 is imposed in the subpolar North Atlantic to weaken the AMOC, which then rapidly increases when the  
48 hosing is removed [e.g., Stocker and Johnsen, 2003; Schmittner et al., 2003, Knutti et al., 2004;  
49 Menviel et al., 2014; Pedro et al., 2018]. This has a clear warming effect in the North, from where the  
50 signal propagates south. Schmittner et al. [2003] proposed two mechanisms to explain such  
51 propagation using a climate model of intermediate complexity: a first, fast process associated with the  
52 propagation of Kelvin waves throughout the Atlantic Ocean in a few decades, and a second, slower  
53 process associated with the modulation of the strength of the Antarctic Circumpolar Current. Based on  
54 the time scales of these processes and an analysis of the reconstructed Greenland and Antarctic  
55 temperatures, Schmittner et al. [2003] suggested a phasing of about 400–500 years between NH and  
56 Southern Hemisphere (SH) polar temperatures. Knutti et al. [2004] found that a maximum Greenland  
57 warming was followed by a maximum Antarctic cooling and warming after about 200 and 2000 years  
58 respectively. This should be contrasted with the reconstructed Antarctic peak warming 200 years after

59 the Greenland peak warming found in WAIS Divide Project Members [2015]. In Pedro et al. [2018],  
60 simulated Antarctic temperatures reach maximum values decades before the onset of the Greenland  
61 warming and AMOC strengthening. Other studies using hosing simulations have shown quasi-  
62 instantaneous links between Greenland and Antarctic temperatures [e.g., He et al., 2013; Menviel et al.,  
63 2014]. Hosing simulations have thus been unable to reproduce the reconstructed polar phasing so far.

64 Some studies have simulated an abrupt Greenland warming driven by a fast AMOC strengthening  
65 through a steady increase in either the height of the Laurentide ice-sheet [Zhang et al., 2014] or  
66 atmospheric CO<sub>2</sub> concentration [Banderas et al., 2015; Zhang et al., 2017]. DO-like warming events  
67 can also spontaneously arise as a result of non-linear, salt-driven AMOC oscillations in simulations of  
68 the last glacial maximum using relatively low values of the ocean vertical mixing [Peltier and  
69 Vettoretti, 2014; Vettoretti and Peltier, 2015]. Similarly, abrupt transitions in the intensity and structure  
70 of the global overturning circulation emerge in a dynamical box model of the ocean, as a result of  
71 nonlinearities in the surface buoyancy distribution in the Southern Ocean and oceanic vertical  
72 diffusivity profile [Hines et al., 2019]. In contrast to AMOC-driven warming events, the collapse and  
73 re-growth of North Atlantic and Nordic Seas sea ice and ice shelves might drive the DO's initial abrupt  
74 warming and later gradual cooling respectively [e.g., Li et al., 2005, 2010; Dokken et al., 2013;  
75 Petersen et al., 2013]. Whenever it is discussed, however, simulated Greenland and Antarctic  
76 temperature changes are found in phase in these studies [Peltier and Vettoretti, 2014; Zhang et al.,  
77 2014; Vettoretti and Peltier, 2015; Banderas et al., 2015; Zhang et al., 2017] and are therefore not in  
78 accord with the latest paleo-evidence.

79 Many previous studies have explored linkages between Greenland and Antarctic temperatures by  
80 triggering AMOC abrupt changes using freshwater perturbations. In contrast, here we investigate polar  
81 phasing and the role of the interhemispheric heat transfer during an abrupt NH warming using a  
82 different approach, without making any prior assumptions about the state or role of AMOC. We trigger  
83 an abrupt NH warming through a seasonal redistribution of incoming solar radiation over a year but no  
84 net change in its annual mean. We do not seek to reproduce a full DO event, nor support or reject any  
85 of the theories about its triggering mechanisms. Rather, our experimental setup is designed to explore  
86 the dynamics of global climate adjustment associated with an abrupt NH warming and how the  
87 warming signal propagates to the SH. We also compare our model results with those reconstructed  
88 during DO events to assess model–proxy consistency.

## 89 **2. Model description and experimental setup**

90 We use the Massachusetts Institute of Technology general circulation model (MITgcm) in a coupled

91 atmosphere–ocean–sea ice setup [Marshall et al., 1997a; 1997b]. The model exploits an isomorphism  
92 between the ocean and atmosphere dynamics to generate an atmospheric GCM and an oceanic GCM  
93 from the same dynamical core [Adcroft et al., 2004; Marshall et al., 2004]. Both the atmospheric and  
94 oceanic models use the same cubed-sphere grid at the relatively low spatial resolution C24 (i.e., 24 x 24  
95 grid points per face, with a resolution of 3.75° at the Equator). The grid avoids problems associated  
96 with converging meridians at the poles and thus ensures that polar dynamics are treated with as much  
97 fidelity as elsewhere. The atmospheric physics is of intermediate complexity, based on the simplified  
98 parametrizations in the SPEEDY scheme [Molteni, 2003] which is embedded in a 5-level dynamical  
99 model. The atmospheric model employs a four-band radiation scheme, a parametrization of moist  
100 convection, diagnostic clouds, and a boundary layer scheme. The ocean model has 15 vertical levels,  
101 with depth levels increasing from 30 m at the surface to 400 m at depth, with a flat bottom at 3 km  
102 depth. The sea ice model uses the two-and-a-half-layer thermodynamic model described in Winton  
103 [2000]. Prognostic variables are ice fraction, snow and ice thickness, and a two-level enthalpy  
104 representation including brine pockets and sea ice salinity, using an energy-conserving formulation.  
105 There are no sea ice dynamics. The land model is a simple two-layer scheme with prognostic  
106 temperature, liquid groundwater, and snow height. There is no continental ice. The seasonal cycle is  
107 represented, but there is no diurnal cycle. Fluxes of momentum, freshwater, heat, salt, and CO<sub>2</sub> are  
108 exchanged every hour (the oceanic time step). The present coupled model achieves perfect (i.e.,  
109 machine accuracy) conservation of freshwater, heat, and salt during extended climate simulations  
110 [Campin et al., 2008].

111 The model is run in a highly idealized continental configuration that comprises two 45°-wide land  
112 masses between the North Pole and 35°S that define a narrow, Atlantic-like basin and a wide, Pacific-  
113 like basin (hereafter, small and large basins respectively), connected by an unblocked Southern Ocean.  
114 Despite the idealized configuration, the model represents complex interactions regulating the Earth’s  
115 climate system. This configuration supports two stable equilibrium climate states for the same external  
116 forcing parameters, one cold and one warm [Ferreira et al., 2018]. The cold state presents features  
117 resembling the last glacial maximum, with expanded sea ice and land snow cover down to mid-  
118 latitudes (~45°) in both hemispheres (Fig. 2a), a weakened overturning circulation in the small basin,  
119 and a lower CO<sub>2</sub> concentration of about 157 ppm (compared to 268 ppm in the warm state). A detailed  
120 description of the differences between these two climate states is presented in Ferreira et al. [2018]. We  
121 use the cold, glacial-like state as our background state from which we trigger abrupt NH warming  
122 events.

123 An abrupt NH warming is triggered by an anomaly in the daily-mean top-of-the-atmosphere total  
124 solar irradiance (TSI) resulting from setting the eccentricity to 0.1. Such a value is unrealistically large  
125 because it roughly doubles the maximum value of the Earth's eccentricity over the past 5 Myr  
126 [Huybers, 2006]; however, this allows us to trigger a strong climatic response and, in so doing,  
127 increases the signal-to-noise ratio. The obliquity is set at the present-day value. The imposed TSI  
128 anomaly exhibits strong seasonal variations in both hemispheres up to about  $100 \text{ W/m}^2$  at mid-latitudes  
129 but no change in its annual, global average compared to the cold state values (Fig. 2b).

130 In a first experiment, a TSI anomaly is imposed as a step function over 100 model years (Fig. 3a), a  
131 long enough period to ensure it excites a dynamical response of the ocean. In a second experiment, the  
132 TSI anomaly is imposed over 200 model years to test whether our conclusions depend on the length of  
133 the forcing. In both cases, the model is run at least 550 years in total. In a third experiment, the same  
134 TSI anomaly is imposed over 100 years, but only in the NH (i.e., anomaly values are set to zero in the  
135 SH). To avoid a sharp anomaly drop at the Equator, we apply a spatial smoothing factor (a *tanh* with  
136 half width of a degree at the Equator,  $0.5 \times [1 + \tanh(\varphi/4)]$ , where  $\varphi$  is the latitude). This experiment is  
137 run for only 350 years, as climate conditions return to the cold state faster than in the other simulations  
138 (see below). This experimental setup helps clarify the mechanisms behind the interhemispheric heat  
139 transfer during an abrupt NH warming. Since the dynamical responses are similar in the global and  
140 NH-only experiments, we focus on the simulation with global forcing.

### 141 3. Results

142 The imposed TSI anomaly (Fig. 3a) forces a large, rapid sea surface temperature (SST) warming and  
143 sea ice melting and northward retreat in both ocean basins during the first 100 years (Figs. 3c,d, and 4,  
144 and 5a,b). The SST warming further extends to the lower atmosphere and poleward above the sea ice  
145 cap (Fig. 4). This strengthens the meridional and vertical temperature gradient in mid-latitudes, which  
146 intensifies and shifts northward the low-level westerlies (Figs. 4 and 5a,b). Such a change in zonal  
147 winds, with anomalous westerlies/easterlies to the north/south of the sea ice edge, drives an anomalous  
148 surface ocean circulation of convergence and downwelling at mid-latitudes (Fig. 4a,b). This change  
149 drives further SST and subsurface warming (Fig. 6a) as it strengthens the ocean heat uptake in the NH  
150 over the first 100 years (Fig. 7 and Supp. 1).

151 About half of the anomaly in the NH surface ocean heat uptake is nonetheless compensated by a  
152 southward COHT anomaly in the first 100 years (Fig. 7, top), to which both ocean basins contribute in  
153 almost equal parts (Fig. 3e). This anomaly is mainly driven by a weakening of the NH subtropical cells  
154 (STCs) in both ocean basins and, to a lesser extent, by a weakening of the meridional overturning

155 circulation in the small basin (SMOC; Figs. 3f). The STC weakening is forced by a decrease in the NH  
156 zonal trade winds (Figs. 4 and 5a,b) in response to a northward shift of the Hadley circulation (Supp.  
157 Fig. 2) and Intertropical Convergence Zone (ITCZ; McGee et al., 2018). This shift also drives a  
158 southward anomaly in the cross-equatorial atmospheric heat transport (CAHT; Fig. 2) which partially  
159 compensates for the interhemispheric atmospheric heating imbalance [e.g., Donohoe et al., 2013;  
160 Marshall et al, 2013; Schneider et al., 2014]. Although in our model the CAHT anomaly develops on  
161 shorter time scales than that in the COHT (Fig. 3), its magnitude is only half of that in the ocean (about  
162  $-0.1$  PW and  $-0.2$  PW respectively; Fig. 3e). The northward Hadley cell shift is nonetheless key to the  
163 weakening of the NH STCs which drives most of the southward COHT anomaly (Fig. 3).

164 The SMOC also weakens during the first 100 years with the forcing active. The NH upper-ocean  
165 warming (Figs. 4, 5, and 6) and freshening (Supp. Fig. 3) due to the rapid ice melting strongly decrease  
166 ocean deep convection in the small basin (not shown). Thus, the SMOC does not drive the rapid NH  
167 warming in the model. We approximate the SMOC's COHT by assuming that the STC's COHT is  
168 proportional to the ocean basin width [Forget and Ferreira, 2019] and, therefore, that the small basin  
169 STC contribution is half that of the large basin (Fig. 3e). Interestingly, the SMOC's COHT inferred this  
170 way follows the strength of the SMOC volume transport,  $\psi_{SMOC}$  (Fig. 3e,f), consistent with a  
171 simple scaling for the SMOC COHT equal to  $\rho_0 C_p \psi_{SMOC} \Delta T_{\square}$  (where  $\Delta T_{\square}$  is the temperature  
172 difference between the upper and lower limbs of the SMOC and is 10 K approximately). This suggests  
173 that the SMOC's COHT contributes between one quarter to one third of the total southward COHT  
174 anomaly in the first 100 years (Fig. 3e), with the remaining anomaly driven by the STCs. Additionally,  
175 while the STC responds quasi-instantaneously to the NH trade wind changes, the SMOC adjustment  
176 develops on longer (multi-decadal) time scales. The weakening of both NH STC is therefore key to  
177 explaining the COHT changes in the first 100 years and, by extension, the warming of the SH ocean  
178 (see below).

179 In the first 100 years, both hemispheres shows a similar warming rate in the ocean (Fig. 7), even  
180 though the SH SST warming is weaker than in the NH (Figs. 3c). Unlike in the NH case, changes in the  
181 air-sea heat flux have a negligible contribution to the total SH ocean warming. The latter is almost  
182 completely driven by the southward COHT anomaly (Fig. 7) and the associated heat convergence in the  
183 ocean subsurface. This is evidenced by the fact that the SH warming between 40°S and the Equator  
184 begins in the subsurface (with a peak around  $\sim 150$  m) and steadily propagates to the surface (Fig. 6).  
185 The SH SST warming, however, is initially partly offset by the effect of the imposed forcing: due to its  
186 seasonality, TSI anomalies are negative during the austral summer with respect to cold state values.

187 This induces a SST cooling and sea ice expansion in the first few years (Figs. 2, 4, and 6), when the  
188 warming propagating from the subsurface is still developing. Eventually, both SH mid-latitude SST and  
189 mid- and high latitude SAT over the ice cap begin to warm progressively, at a slower and weaker rate  
190 than in the NH. The mechanisms driving the changes in polar temperature and in the CAHT and COHT  
191 are summarized in Fig. 8.

192 Once the forcing is switched off, the NH ocean begins to release the heat stored in the upper 1000 m  
193 (Fig. 7). However, the amount of heat in the NH ocean does not decrease until about year 300, as the  
194 surface heat release is compensated by an anomalous northward COHT in both basins (Figs. 3e and 7).  
195 Since the STC anomalies rapidly vanish in a couple of decades (Fig. 3f), following the changes in the  
196 Hadley circulation (Supp. Fig. 2) and trade winds (Fig. 5c,d), the STC contribution to the anomalous  
197 northward OHT is therefore likely due to the heat stored in the SH ocean (i.e., advection of warmer  
198 water than in the background case). In addition, the SMOC steadily strengthens after a minimum value  
199 around year 100, and becomes anomalously stronger than its cold-state climatology after year 200  
200 approximately, thus contributing to the northward COHT anomaly (Fig. 3e,f). The SMOC  
201 strengthening is caused by an increase in upper-ocean salinity (Supp. Fig. 3) and a northward shift and  
202 intensification of deep convection in the small basin over the first 100 years (not shown). Such a  
203 northward COHT anomaly helps sustain the warm conditions and the reduced sea ice extent at mid-  
204 and high latitudes in the small basin longer than in the large basin (Fig. 4). Thus, although the SMOC is  
205 not the main driver of the initial, rapid NH warming, its overshoot after the forcing is shut off plays a  
206 role in the slower return to normal conditions in the small basin.

207 In the SH, the SST and SAT warming and the sea ice melting continue after the forcing is switched  
208 off, reaching maximum anomalies between roughly years 125 and 175 (Figs. 3 and 4). These changes  
209 are initially triggered by the release of heat stored in the ocean subsurface (as suggested by Fig. 6) most  
210 likely through a combination of isopycnal advection-diffusion and vertical mixing (not shown). As  
211 mid-latitude SSTs warm, they contribute to further sea ice melting, whose edge retreats southward (Fig.  
212 4). Sea ice melting, in turn, amplifies the SST warming by increasing the local ocean surface heat  
213 uptake (Supp. Fig. 1), as happens in the NH in the first 100 years with the forcing on. This mechanism  
214 contributes to extending the SH mid-latitude SST and high-latitude SAT warming with respect to the  
215 NH and thus sets the phasing between the NH and SH high-latitude temperatures (Fig. 4). The time  
216 scale of the phasing is determined by the release of heat from the subsurface in mid-latitudes (Fig. 6).  
217 The surface warming mechanism acts at the ice edge locally and impacts SAT south of 35°S.  
218 Nonetheless, with the forcing off, the SH ocean as a whole shifts from gaining heat to losing it, mainly

219 through the Equator into the NH (Fig. 7).

220 The simulation with a 200-year forcing shows similar results to those described above, with a SH  
221 warming continuing 50 more years or so compared to the NH warming after the forcing is switched off  
222 (Supp. Fig. 4). As expected from the larger amount of heat added, this simulation exhibits a longer  
223 return period than the 100-year long forcing experiment; we do not investigate this point further as it is  
224 beyond the scope of this study.

225 The experiment with forcing only in the NH shows similar results to those with a global forcing,  
226 including the phasing between the NH and SH warming, but with anomalies of smaller magnitude  
227 (Supp. Figs 5, 6, and 7). The SH ocean exhibits net heat release in the first 100 years in this  
228 experiment, in contrast with the near-zero anomalies in the experiment with global forcing. This  
229 reinforces our interpretation that heat stored in the SH is partially released at the SH surface and later  
230 on returned to the NH (after the forcing has been switched off).

#### 231 **4. Discussion**

232 Our model results show that the phasing between the maximum warming at NH and SH high-  
233 latitudes is about 50 years, regardless of the length of the forcing and whether it is imposed only in the  
234 NH. The latest reconstructions suggest a north-to-south signal propagation of about  $218 \pm 92$  years on  
235 average during a DO abrupt warming (Fig. 1) [WAIS Divide Project Members, 2015]. The similarity  
236 between the lag in our model and that seen in observations suggests that the adjustment mechanisms in  
237 our simulations might also have operated during past glacial DO events.

238 This is reinforced by the fact that, despite the highly idealized experimental setup, the model  
239 reproduces some key climate changes reconstructed during DO events. For example, the simulated  
240 northward ITCZ shift is consistent with precipitation proxies suggesting an increase in precipitation in  
241 NH regions, such as the Cariaco Basin [Deplazes et al., 2013], the Arabian Sea [Deplazes et al., 2013],  
242 and Eastern China [Wang et al., 2001], and a decrease in precipitation in SH locations, such as Brazil  
243 [Wang et al., 2007], Peru [Kanner et al., 2013], and Ecuador [Mosbloch et al., 2012]. Besides changes  
244 in precipitation, the simulated quasi-instantaneous response in the wind field to the imposed forcing in  
245 our model is consistent with reconstructions suggesting synchronous changes between Greenland  
246 temperatures and the Southern Ocean wind pattern during DO events [Markle et al. 2016]. Also,  
247 changes in oceanographic conditions at mid- and high latitudes in the small basin between the cold  
248 state and year 100 with the forcing active (Supp. Fig. 8) are in accord with reconstructed changes in the  
249 Nordic Seas [Dokken et al., 2013]: during cold stadial, they present mostly sea-ice covered conditions



250 and a strong halocline at the surface and warm waters underneath, whereas during warm interstadials  
251 they present nearly ice-free surface conditions and warm waters reaching the ocean surface. The model  
252 further simulates a very small increase in the atmospheric CO<sub>2</sub> concentration (about 2 ppm by year 100;  
253 not shown) due to the NH warming which is consistent with the reconstructed small increase of about 5  
254 ppm during DO events of the last glacial period [Ahn and Brook, 2014]. However, it is unclear how the  
255 simulated SMOC weakening and later strengthening can be reconciled with the reconstructed increase  
256 in the flow speed of the North Atlantic Deep Water in the subpolar North Atlantic [Kissel et al., 2008]  
257 or with changes in Pa/Th ratio [Henry et al., 2016] which suggest a reinforced AMOC during  
258 interstadial periods; these proxies, nevertheless, are subject to relatively low dating precision and  
259 temporal resolution which might hamper their interpretation.

260 The forcing we use to drive abrupt NH warming is fundamentally different from previous  
261 investigations, which have mostly employed a freshwater flux anomaly into the North Atlantic to  
262 modulate the AMOC strength [e.g., Stocker and Johnson, 2003; Knutti et al., 2004; Pedro et al., 2018;  
263 among others]. Although some works have considered external forcing such as growing ice sheets  
264 [Zhang et al., 2014] or a varying CO<sub>2</sub> concentration [Zhang et al., 2017], they also aimed to trigger an  
265 abrupt AMOC strengthening to warm the NH. In our simulations, however, the climate is forced to  
266 respond to an abrupt NH warming, without assuming it needs to be triggered by the AMOC. This new  
267 approach shows that surface temperature changes mainly respond to sea ice changes and a polar  
268 phasing emerges in the model set by the evolution of COHT, SH ocean heat storage and surface fluxes.  
269 As discussed in Section 2, the forcing used here is in any case unrealistically large, and we cannot rule  
270 out that the model response might be exacerbated with respect to the reconstructed climate changes.

## 271 **5. Conclusions**

272 We have investigated the mechanism underlying the phasing between NH and SH high-latitude  
273 temperatures during an abrupt NH warming. We use a coupled ocean–atmosphere–sea ice based on the  
274 MITgcm in an idealized configuration with two land masses which extend from the North Pole down to  
275 35°S and separate a narrow, Atlantic-like ocean basin and a wide, Pacific-like one. An abrupt NH  
276 warming is forced by an increase in the Earth’s eccentricity, which modifies the TSI distribution  
277 throughout the year but not its annual, global mean. This experimental setup does not attempt to  
278 simulate an entire DO event but rather to investigate the processes driving the reconstructed polar  
279 phasing of about two centuries [WAIS Divide Project Members, 2015]. Three simulations are  
280 performed, with the forcing applied over 100 years, 200 years, and over 100 years but only in the NH.  
281 As summarized in Fig. 8, our model results indicate that:

282 1. With the forcing on:

283 1.1 NH mid- and high-latitudes experience abrupt SAT and SST warming and sea ice melting. The  
284 warming drives a quasi-instantaneous ITCZ and Hadley circulation northward shift. This, in turn,  
285 weakens the NH trade winds and, as a result, the STCs in both ocean basins. On longer (decadal) time  
286 scales, the sea ice melting and upper-ocean freshening also drive a SMOC weakening.

287 1.2. Half of the NH ocean warming is compensated by a southward COHT anomaly. The STC  
288 weakening contributes the most, explaining between two-thirds and three-quarters of the CHOT  
289 anomaly, while SMOC weakening contributes the rest. The northward Hadley circulation shift induces  
290 a southward CAHT anomaly which is only half the magnitude of the COHT anomaly.

291 1.3. As a result of the southward COHT anomaly, heat accumulates in the SH ocean subsurface in  
292 mid-latitudes on the same timescales as the NH abrupt warming. From the subsurface, heat propagates  
293 upward, warming the mixed layer and melting sea ice, eventually warming the lower atmosphere over  
294 the SH ice cap at high-latitudes; this mechanism, however, occurs at a slower rate and leads to a lagged  
295 and weaker SAT warming compared to the NH (Fig. 8).

296 2. With the forcing off:

297 2.1 At NH high-latitude, the two ocean basins begin to cool down immediately as sea ice re-grows.  
298 The Hadley cell, the ITCZ, and by extension, the STCs also return to their climatological positions in  
299 less than 50 years. The SMOC, by contrast, returns to its control value after ~100 years. It then  
300 overshoots, inducing a northward COHT anomaly in the small basin which slows down the cooling  
301 rate of the small basin compared to the large one.

302 2.2. In the SH, heat continues to be released from the subsurface, driving further sea ice melting and  
303 SST warming at mid-latitude. This sustains the SAT warming trend above the ice cap, extending it for  
304 about 50 more years than in the NH. A phasing between NH and SH polar temperatures thus emerges in  
305 the model, set by the initial heat accumulation in the SH ocean subsurface and its eventual release and  
306 amplification by melting sea ice.

307 Despite the simplicity of the experimental setup, the model reproduces important features of the  
308 reconstructed climate changes during DO events, such as a NH-SH polar phasing, a northward ITCZ  
309 shift, a quasi-instantaneous coupling between the westerlies and the NH warming, and a very small,  
310 almost negligible, change in the atmospheric CO<sub>2</sub> concentration.

311 A critical aspect here is that MOC fluctuations, although important for the phasing, are not the

312 drivers of the abrupt changes. Our simulations also reveal a consistent set of changes in the atmosphere  
313 and the wind-driven circulation of the ocean which are essential in propagating the perturbations from  
314 the NH to the SH. Our results emphasize the potential critical role of components of the climate system  
315 beyond the AMOC, which should receive more attention in studies of the DO events' dynamics.

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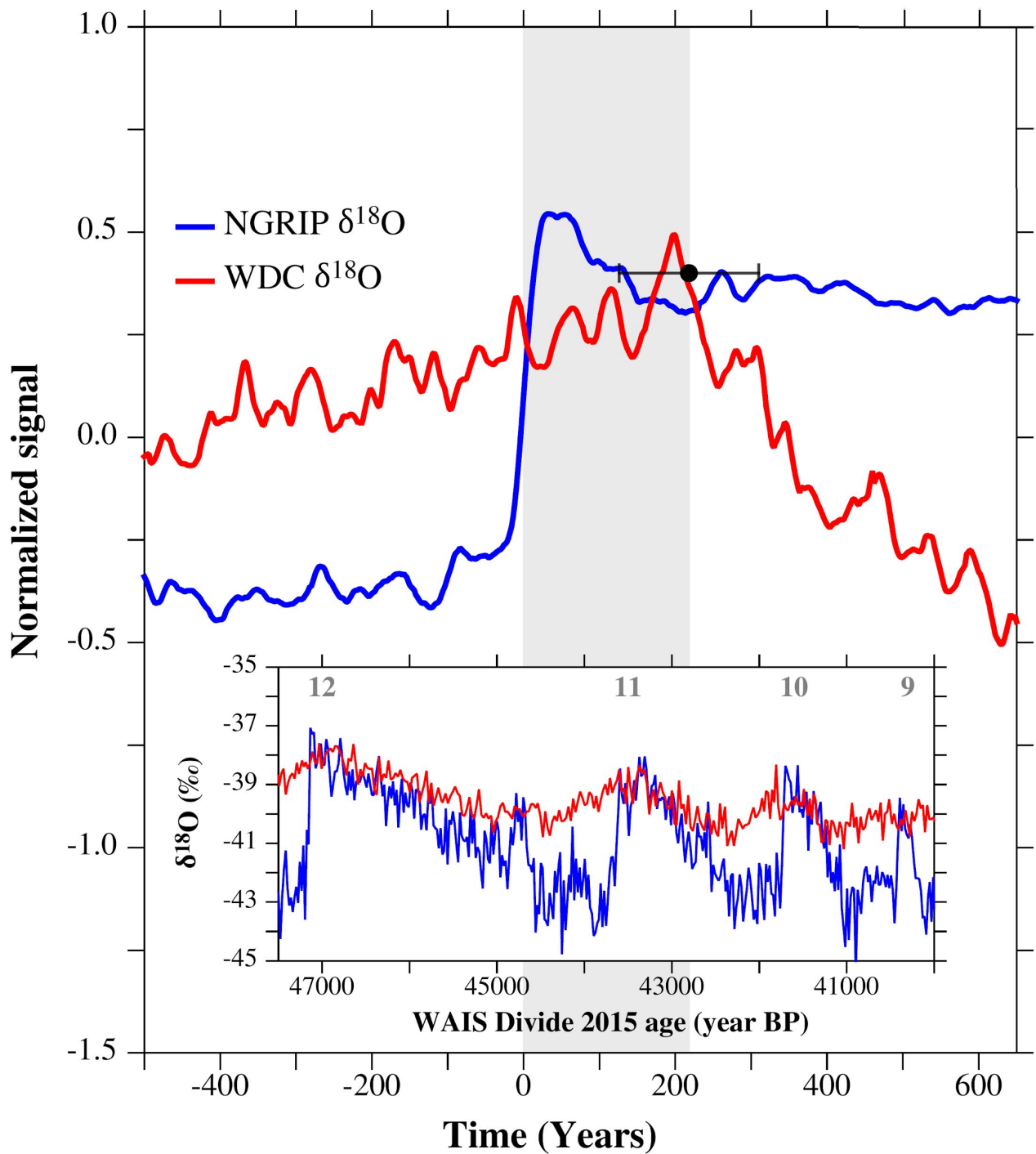
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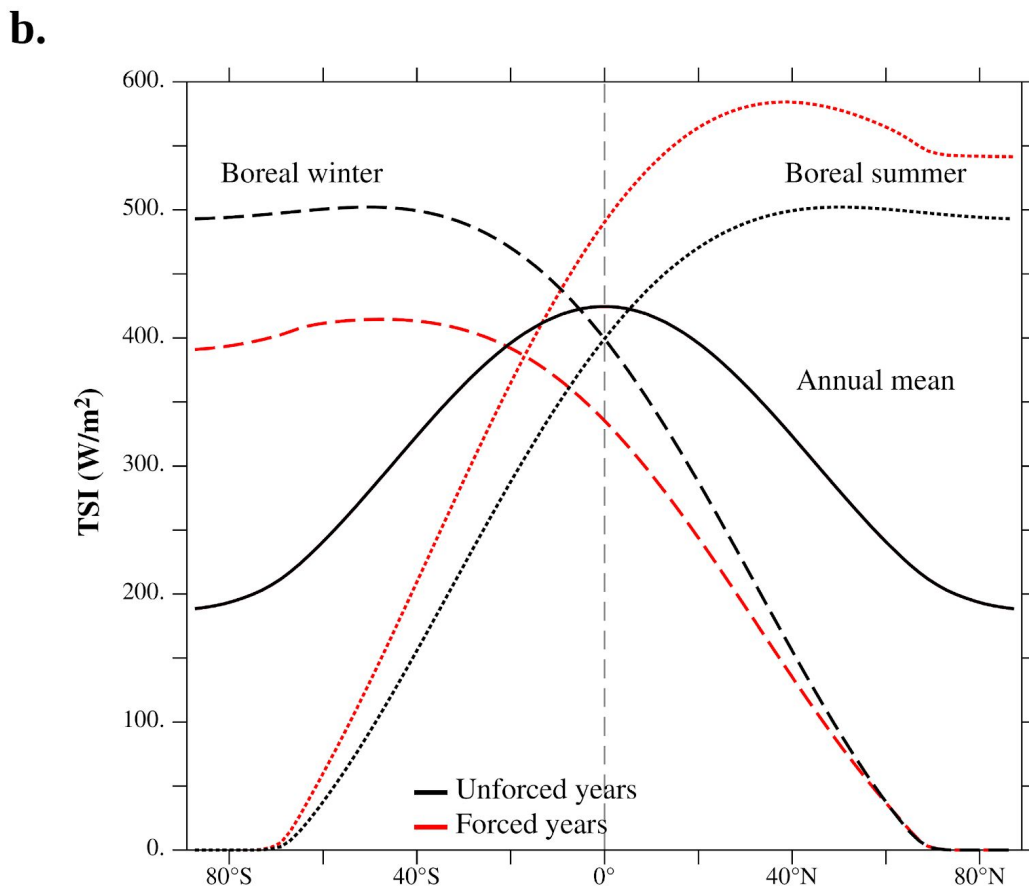
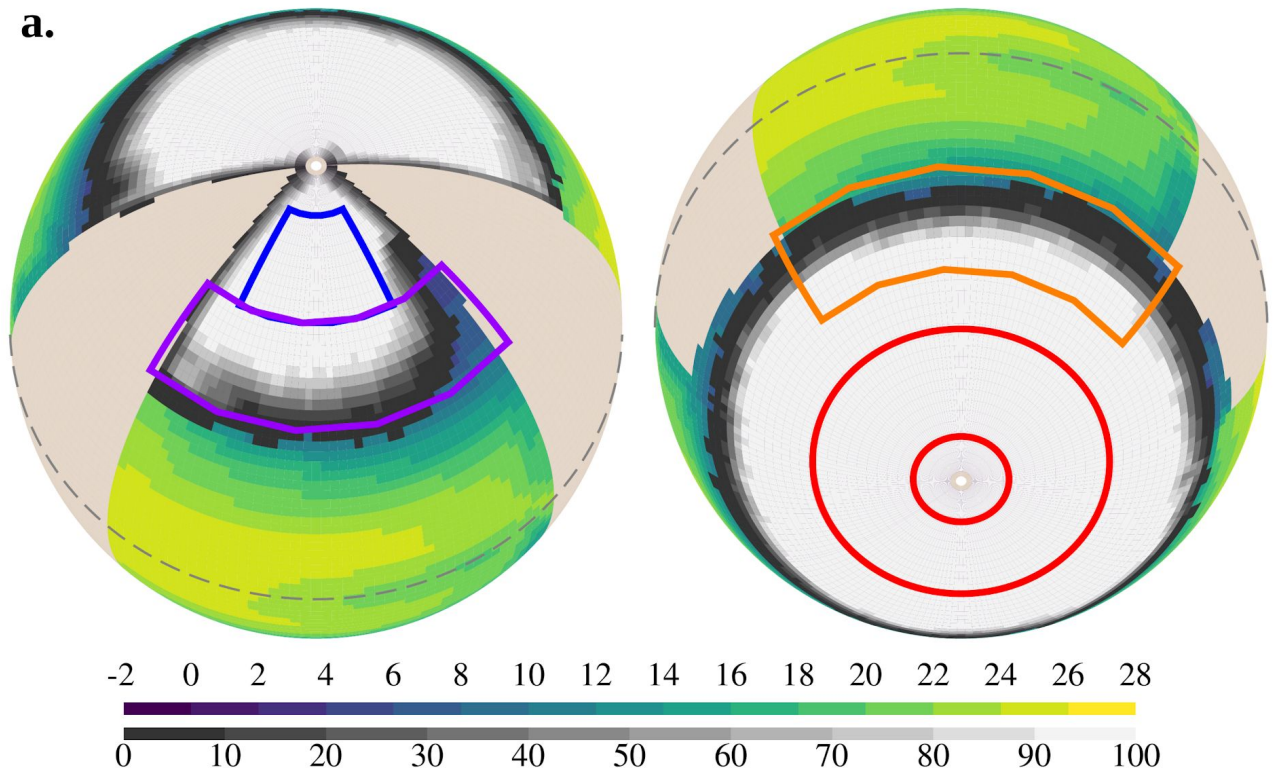
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**Fig. 1.** Composite time series of the  $\delta^{18}\text{O}$  (considered a proxy for surface atmosphere temperatures) averaged for DO events 3 to 18 in the NGRIP (Greenland; blue line) and WDC (West Antarctica; red line), as in WAIS Divide Project Members [2015]. DO events are averaged with their original amplitudes and normalized afterwards. Shaded vertical gray bars show NH lead time, and the error bar represents the 2 $\sigma$  bounds (i.e.,  $218 \pm 92$  years). Inset shows the actual time series of the NGRIP and WDC  $\delta^{18}\text{O}$  (in ‰) between 47500 and 40000 years BP, including DO events 9 to 12.



**Fig. 2. a)** SST (in  $^{\circ}\text{C}$ ; dark blue-yellow shading) and sea ice concentration (in %; gray shading) in the NH (left) and SH (right) of the cold state. Boxes on both panels outline areas where mean temperatures and salinities are calculated in Fig. 3. Equator line is gray and dashed. **b)** Zonally averaged TSI (in  $\text{W}/\text{m}^2$ ) in boreal summer (dot line) and winter (dash line), and on annual mean (solid line) in the forced (red) and unforced (black) years.



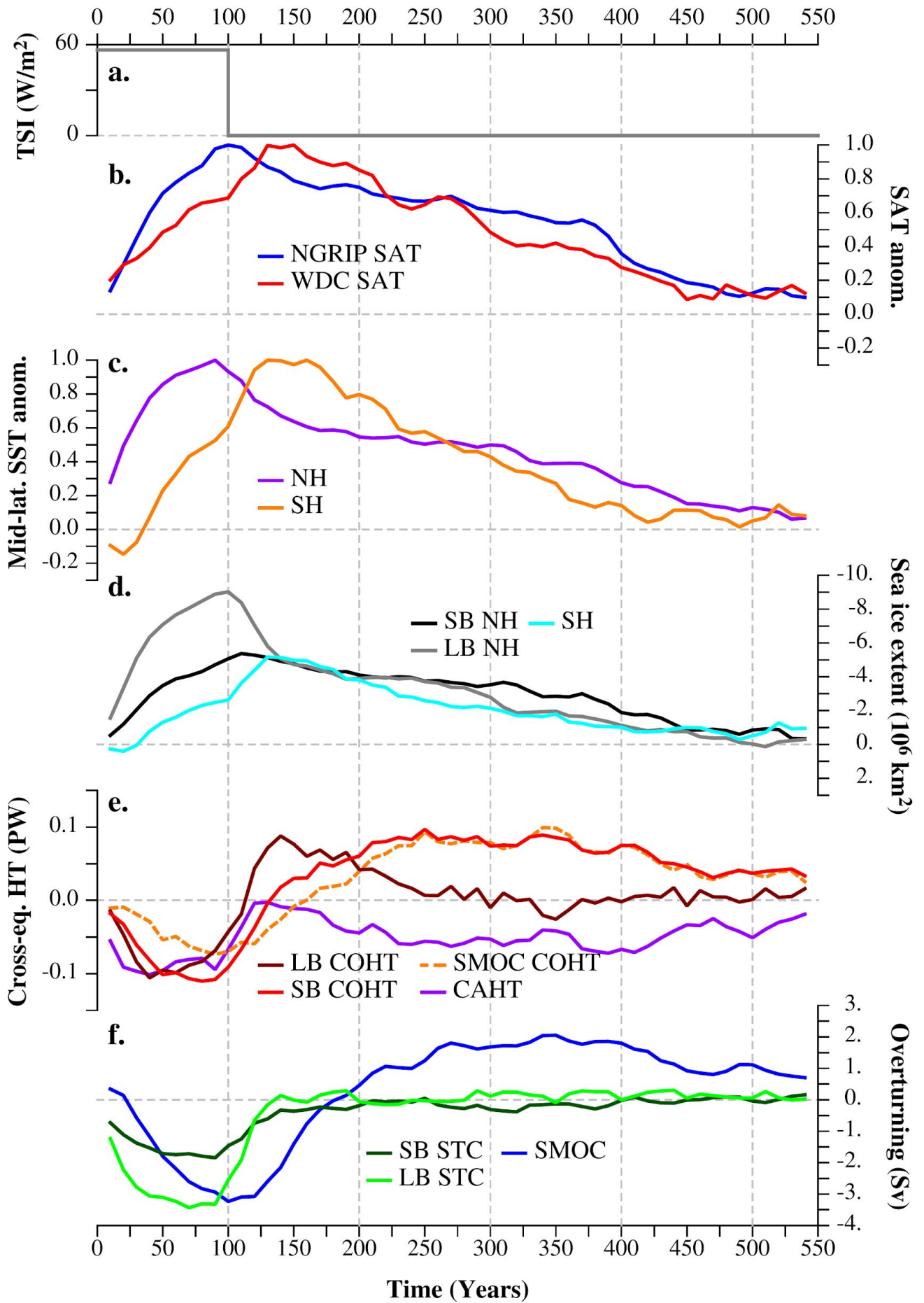


Fig. 3. (Caption next page).

**Fig. 3.**

**a)** TSI anomaly (in  $W/m^2$ ) on average in the NH in summer (June–August).

**b)** SAT anomalies over the NGRIP (blue) and WDC (red) ice core sites in Greenland and West Antarctica respectively (areas shown in blue and red respectively in Fig. 2a). Time series are normalized by their corresponding maximum anomaly value (about 9 K and 3 K respectively).

**c)** SST anomalies in the small basin at mid-latitude in the NH ( $40^{\circ}N$ – $60^{\circ}N$ ; purple) and SH ( $30^{\circ}S$ – $50^{\circ}S$ ; purple) both averaged between  $95^{\circ}W$  and  $5^{\circ}E$  (areas shown in orange and purple respectively in Fig. 2a). These regions correspond with the latitudes of maximum change in sea (see for example Fig. 4). Time series are normalized by their corresponding maximum value (about 4 K and 1 K respectively).

**d)** Sea ice extent anomalies (in  $10^6 m^2$ ) in the NH small and large basin (black and gray respectively) and the whole SH (light blue). Note that the vertical axis is reversed.

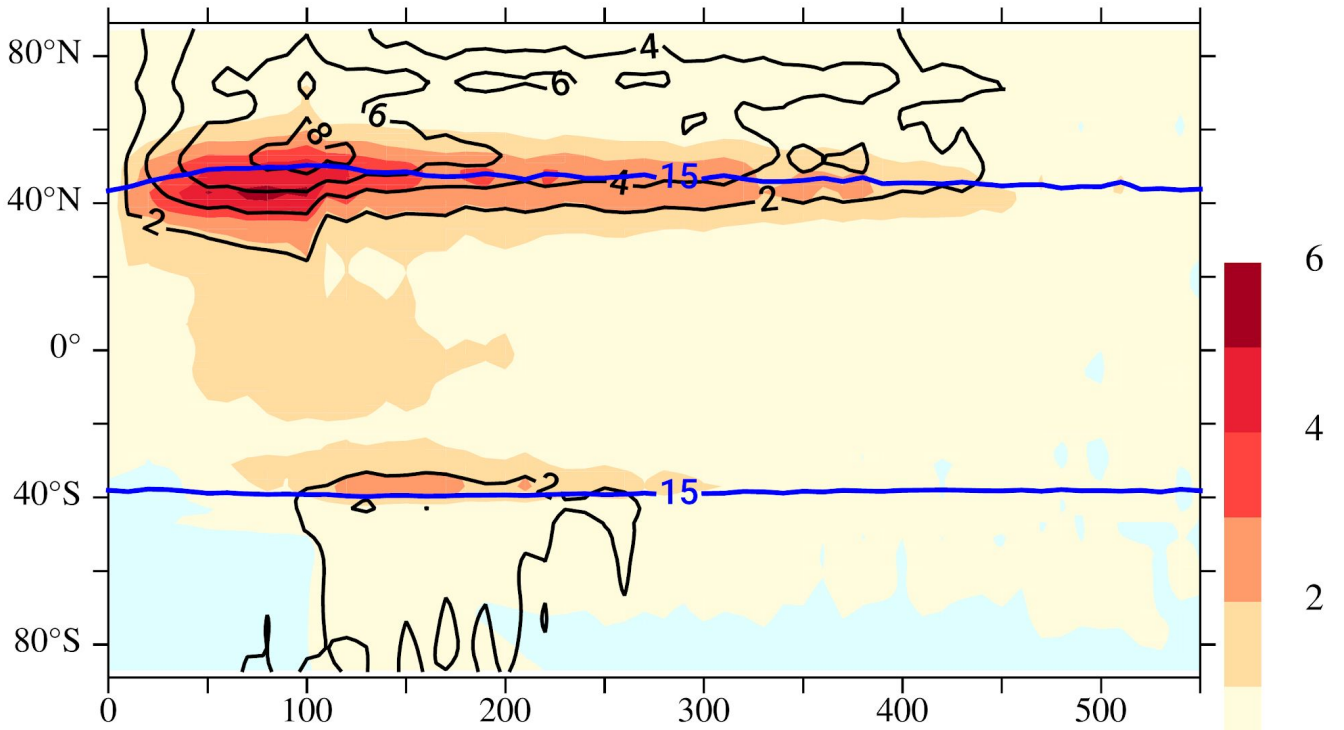
**e)** Anomalies in the cross-equatorial heat transport (in PW) in the large and small ocean basin (LB and SB COHT in dark and light red respectively), and in the atmosphere (CAHT; purple). Also, approximation of the SMOC's CHOT. This is calculated assuming that the STC's COHT is proportional to the width of the ocean basin and, therefore, that SB one is half of the LB one. The SMOC's CHOT is thus equal to SB's total CHOT –  $0.5 \cdot$  LB's total COHT.

**f)** Anomalies (in Sv) in the strength of the SMOC (blue) and STCs in the NH in the small and large basin (dark and light green respectively). The SMOC strength is defined as the average of the overturning streamfunction between  $35^{\circ}N$  and  $45^{\circ}N$  at 1000 m, i.e., where the climatological maximum in the cold state is located. The STC strengths are defined as the average value of the overturning circulation between  $5^{\circ}N$  and  $20^{\circ}N$  between the surface and 250 m depth.

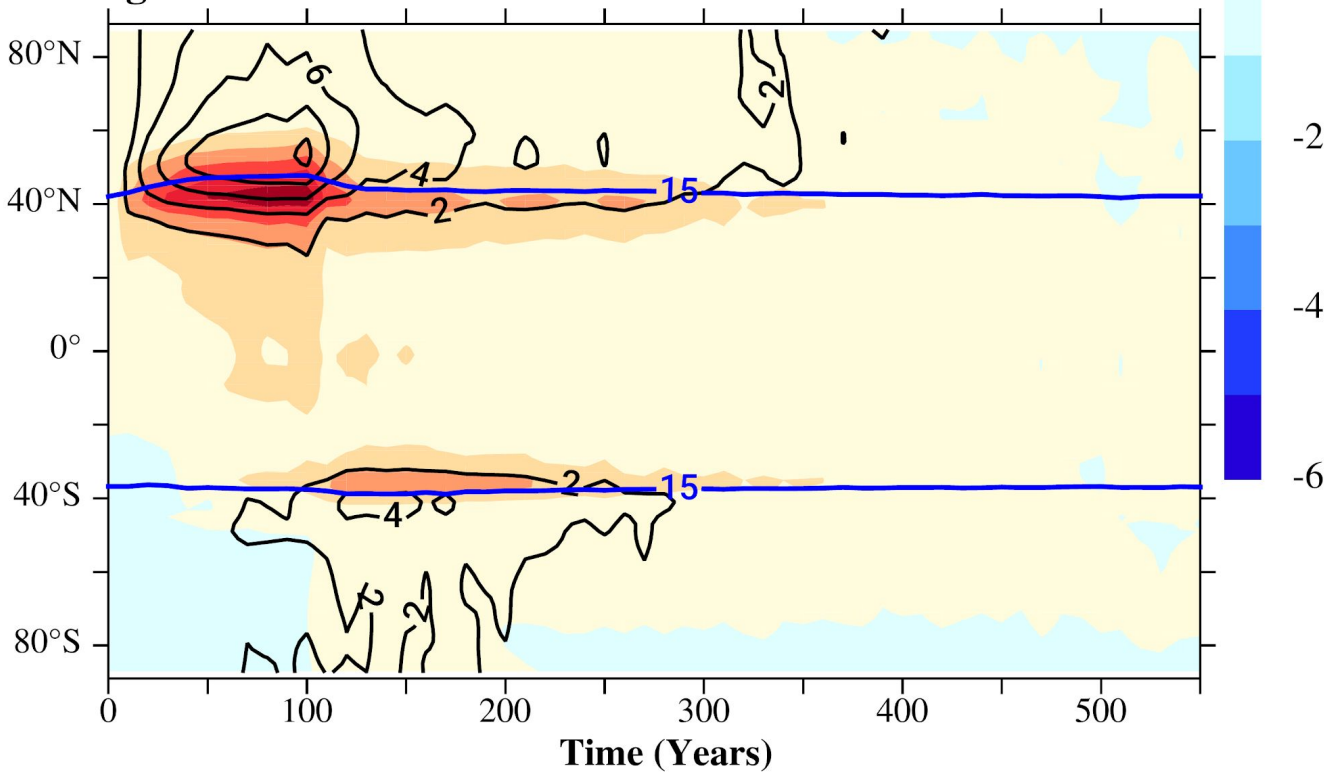
**a–f)** Anomalies are calculated relative to the cold state.

**b–f)** Decadal time series are smoothed with a 3-point running mean.

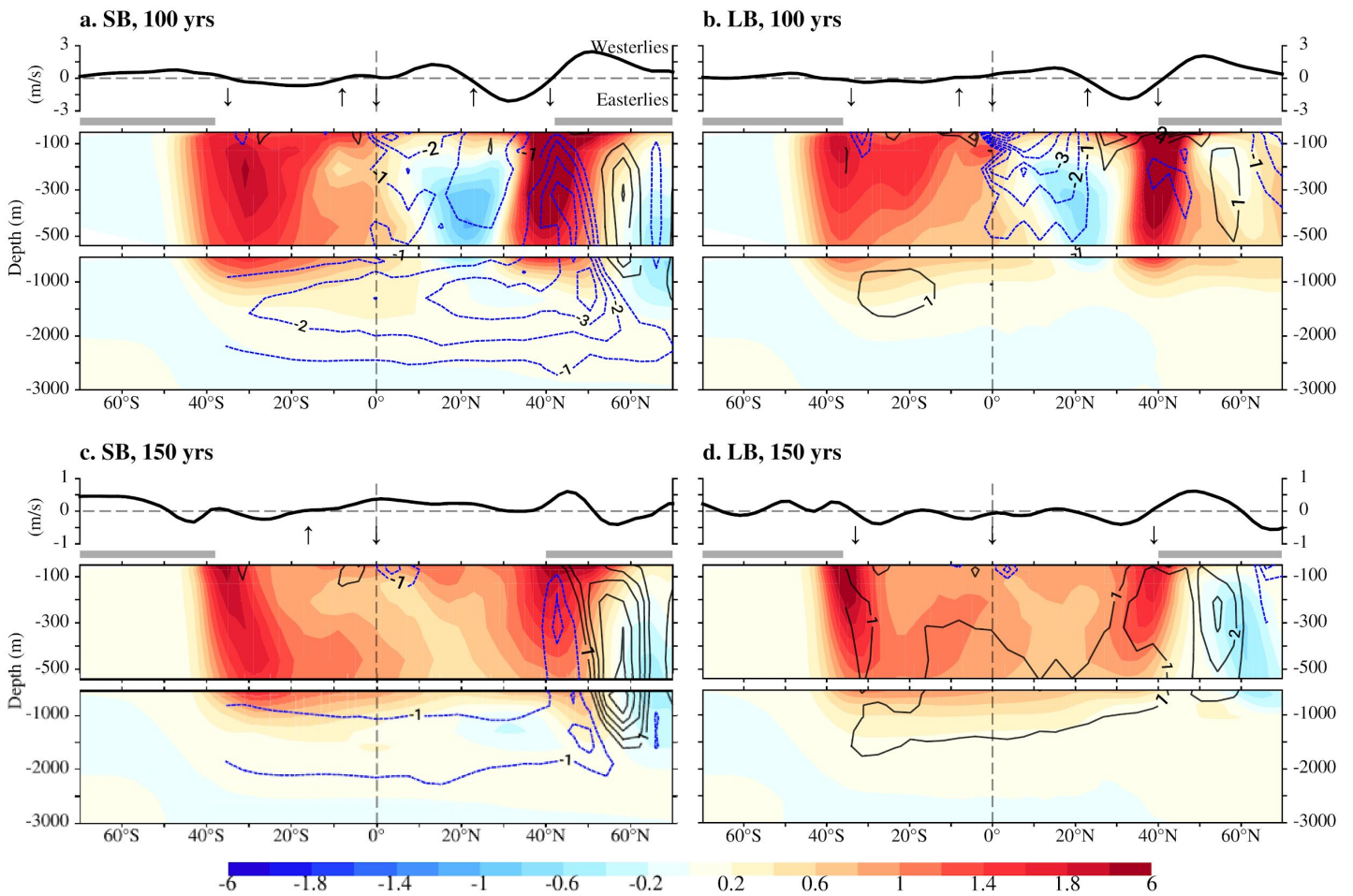
### a. Small Basin



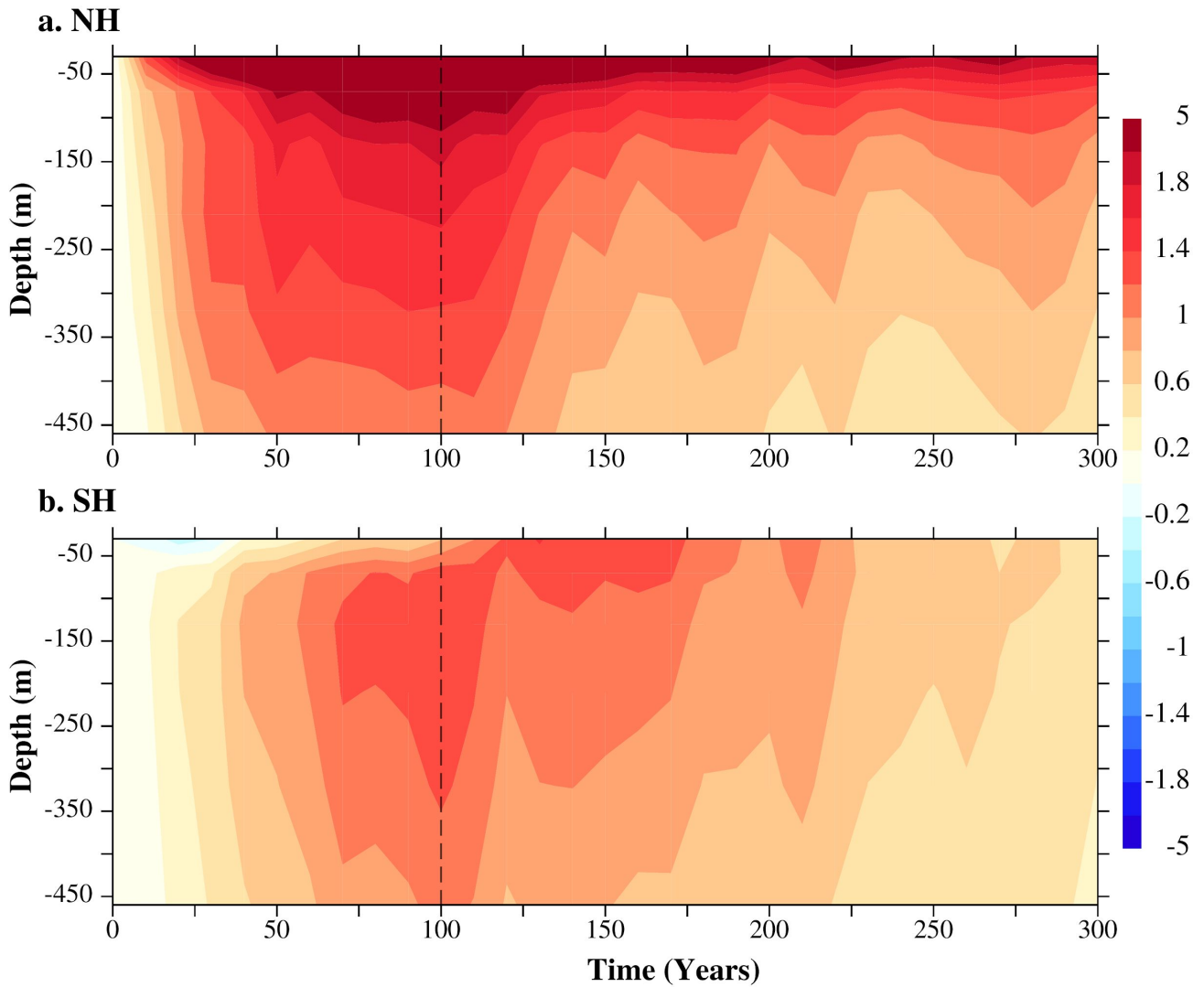
### b. Large Basin



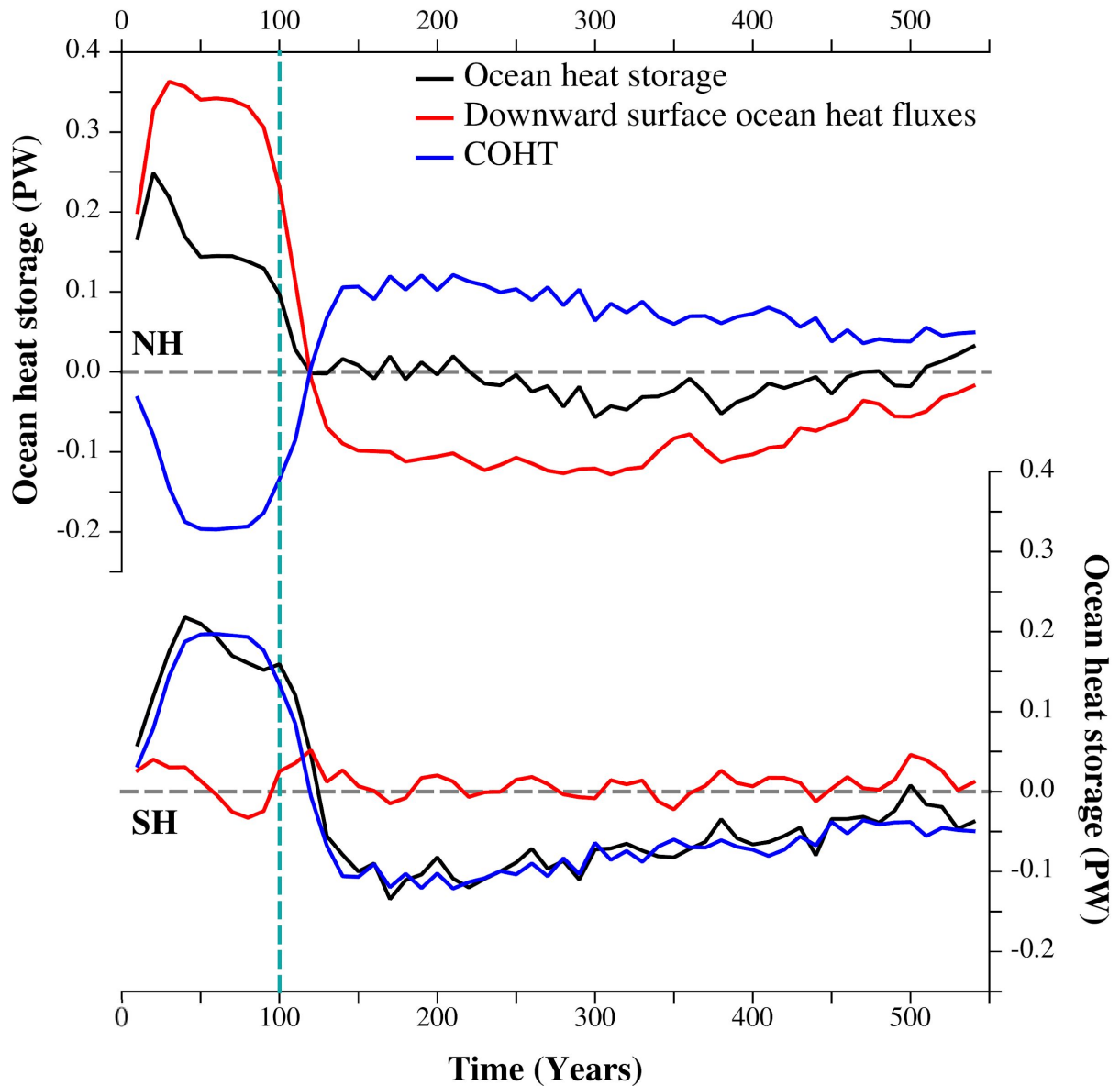
**Fig. 4.** Anomalies (in K) in the zonally averaged SST (shading) and SAT (contours) in the (a) small and (b) large basin. Also shown in each basin is the sea ice extent limit, as the 15% sea ice concentration (blue contours).



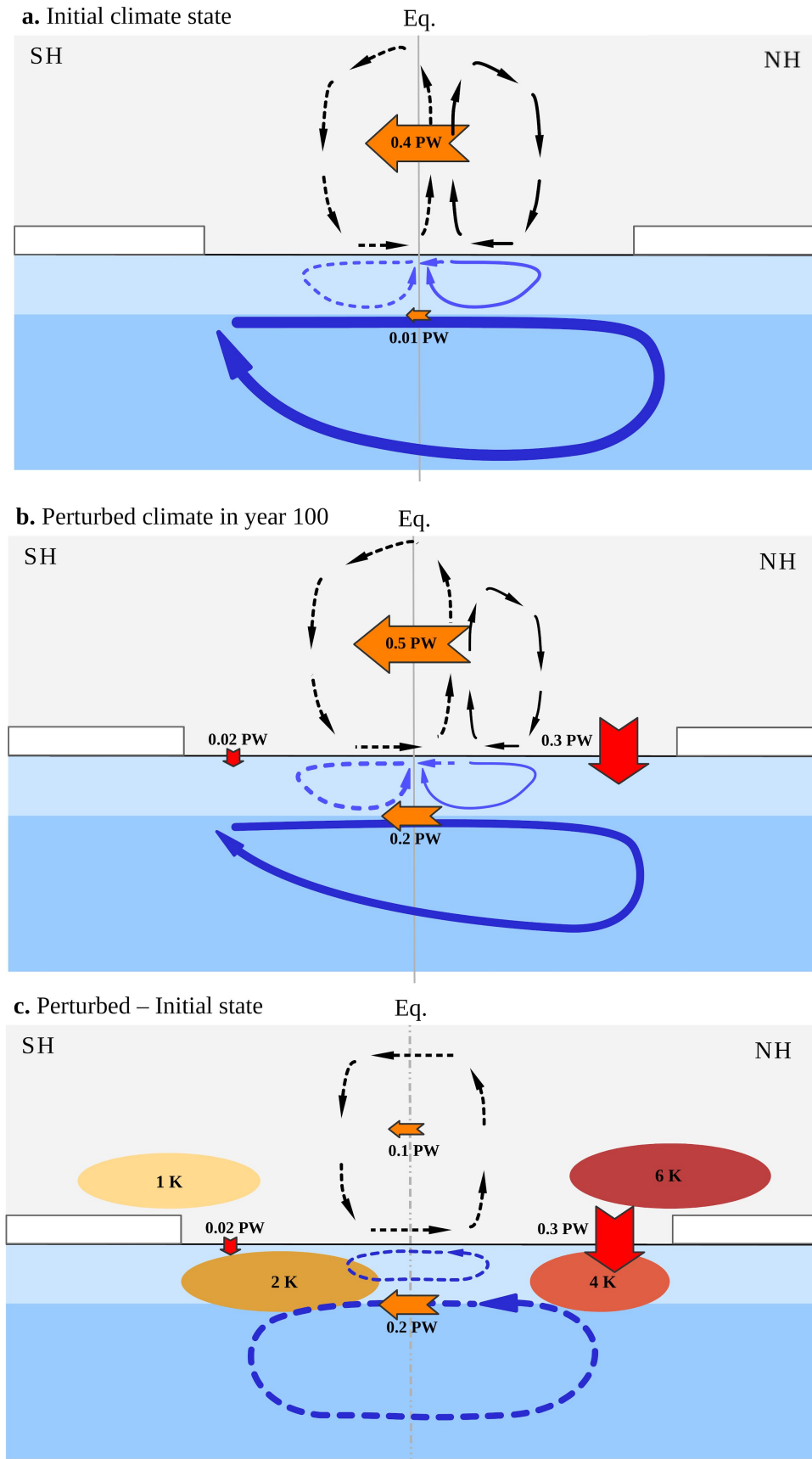
**Fig. 5.** Anomalies (relative to the cold state) in the zonally averaged zonal wind (first panel from the top), and in the ocean temperature (shading; in K) and overturning streamfunction (contours; in  $Sv = 10^6 \text{ m}^3/\text{s}$ ; with clockwise/anticlockwise circulation in solid, black/dashed, blue line, and only north of  $35^\circ\text{S}$ ) above and below 540 m (second and third panels respectively) in the small (**a,c**) and large (**b,d**) basin in year 100 (**a,b**) and 150 (**c,d**). Up/down arrows (first panels in **a–d**) reflect anomalous wind-driven upwelling/downwelling in ice-free regions. Sea ice extent—concentration above 15 %—is also shown in gray between the first and second panels in each basin and year. The shading color scale of the ocean temperature is adapted for a better view of the values in the range  $\pm 2 \text{ K}$ .



**Fig. 6.** Hovmöller plot of the anomalies (in K; with respect to the cold state) in the zonally averaged ocean temperature between the surface and 460 m at **(a)** NH mid-latitude (40°N–60°N) and **(b)** SH (30°S–50°S) both between 95°W and 5°E; areas shown in orange and purple respectively in Fig. 2a). The shading color scale of the ocean temperature is adapted for a better view of the values in the range  $\pm 2$  K. The vertical dashed line marks the year 100, when the forcing is switched off.



**Fig. 7.** Change in ocean heat storage (in PW; black line) in the NH and SH (top and bottom panel respectively), calculated as the difference between the two main contributions: the total horizontally integrated downward surface heat flux (in PW; red line), and the COHT (in PW; blue line; with positive values for a northward/southward COHT in the NH/SH and vice versa). The heat stored in the cryosphere (e.g., as melting snow and sea ice) is found comparatively much smaller and hence not shown. Time series are smoothed with a 3-point running mean. The vertical dashed line marks the year 100, when the forcing is switched off.



**Fig. 8.** Atmospheric, and upper and deep oceanic circulations (black, light blue, and dark blue arrows, respectively), as well as the hemispheric mean air–sea heat flux, and the cross-equatorial heat transports (red and orange arrows, respectively) in (a) the cold state and (b) perturbed climate. (c) Changes in such magnitudes between both climate states, together with a rough estimate of the warming anomalies in high-latitude SATs and mid-latitude SST in both hemispheres. Surface fluxes in the cold state (a) show negligible values compared to the other contributions and are therefore not shown.