Impact of current-wind interaction on vertical processes in the Southern Ocean

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Key Points:

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9	• High resolution Southern Ocean simulations suggest that current-wind-stress in-
10	teraction on the mesoscale reduces eddy kinetic energy by 25% .
11	• Current-wind interaction induces a net upward linear but downward nonlinear mod-
12	ulation of Ekman pumping rates.
13	• Current-wind interaction enhances stratification near the bottom of the mixed layer
14	by up to 10% .

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15 Abstract

Momentum input from westerly winds blowing over the Southern Ocean can be mod-16 ulated by mesoscale surface currents and result in changes in large-scale ocean circula-17 tion. Here, using an eddy-resolving 1/20 degree ocean model configured near Drake Pas-18 sage, we evaluate the impact of current-wind interaction on vertical processes. We find 19 a reduction in momentum input from the wind, reduced eddy kinetic energy, and a mod-20 ification of Ekman pumping rates. Wind stress curl resulting from current-wind inter-21 action leads to net upward motion, while the nonlinear Ekman pumping term associated 22 with horizontal gradients of relative vorticity induces net downward motion. The spatially-23 averaged mixed-layer depth estimated using a density criteria is shoaled slightly by current-24 wind interaction. Current-wind interaction, on the other hand, enhances the stratifica-25 tion in the thermocline below the mixed layer. Such changes have the potential to al-26 ter biogeochemical processes including nutrient supply, biological productivity and air-27 sea carbon dioxide exchange. 28

²⁹ Plain Language Summary

Momentum transfer between winds blowing over the Southern Ocean depends on 30 the relative speed of the winds and surface currents. Mesoscale eddies with a scale of 100 31 km or less are very vigorous and thus can modulate momentum transfer. Here, we use 32 an ocean model with sufficiently high horizontal resolution that it can resolve the mesoscale 33 and hence capture the modulation. We find a reduction in the momentum transfer from 34 the wind to the ocean and a reduction in eddy kinetic energy, together with a modifi-35 cation of wind-driven vertical motion. Structural changes in the wind stress field mod-36 ify patterns of upwelling and downwelling in a manner that can be understood from non-37 linear Ekman theory. Moreover, current-wind interaction results in an increase in the 38 stratification below the mixed layer and hence a reduced communication between the sur-39 face and the interior ocean. There is thus a potential impact on biogeochemical processes 40 and the climate of the Southern Ocean. 41

42 **1** Introduction

Both satellite observations and atmospheric reanalyses show the world's strongest winds blow over the Southern Ocean (Tsujino et al., 2018). Underneath, and driven by the westerly winds, flows an ocean current directed generally eastward with speeds of

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several tens of cm s⁻¹ (Maximenko et al., 2009; Dohan & Maximenko, 2010; Laurindo et al., 2017). This Antarctic Circumpolar Current (ACC) circumnavigates the globe passing through Drake Passage near 60°S (Talley et al., 2011). While both winds and currents generally flow eastward, the wind blows faster than the currents and so there is a continuous transfer of momentum from the wind to the ocean. The stress $\boldsymbol{\tau} = (\tau_x, \tau_y)$ at the surface is given by:

$$\boldsymbol{\tau} = \rho_a C_D \left(\mathbf{u}_a - \mathbf{u}_o \right) |\mathbf{u}_a - \mathbf{u}_o|, \tag{1}$$

where $(\mathbf{u}_a = (u_{a,x}, u_{a,y}))$ is the 10 m wind, $(\mathbf{u}_o = (u_{o,x}, u_{o,y}))$ is the surface current, 52 and ρ_a , C_D are the air density and drag coefficient, respectively (Large & Yeager, 2004). 53 The 10-m wind is the main source of wind stress variability because winds have shorter 54 timescales than ocean currents, especially in the storms blowing over the ACC. Often 55 the effect of ocean currents on the surface stress is neglected because $|\mathbf{u}_a| \gg |\mathbf{u}_o|$. How-56 ever, in turbulent oceanic regimes such as the Southern Ocean, where the spatial scale 57 of the surface currents is much shorter than that of the wind, this assumption must be 58 reevaluated: the wind stress and its curl can be significantly affected by the presence of 59 the ocean's mesoscale. 60

The prevailing westerly wind leads to equatorward Ekman transport and upwelling 61 to the south of the ACC. As a result, isopycnals shoal and the ocean gains available po-62 tential energy. This is then converted to eddy kinetic energy via baroclinic instability 63 resulting in the ubiquitous meanders and mesoscale eddies typical of the ACC. These 64 mesoscale features constantly change the direction of the flow, leaving imprints on the 65 wind stress field through Eq.(1), such that the wind stress increases/decreases when the 66 ocean flows in the opposite/same direction of the wind. This occurs on spatial scales char-67 acterized by the first baroclinic Rossby radius of deformation which is generally less than 68 30 km along the ACC (Chelton et al., 1998; Tulloch et al., 2011). In the special case of 69 mesoscale eddies possessing vorticity over which a uniform wind without vorticity blows, 70 one "side" of the ocean eddy has enhanced stress relative to the other. This "top drag" 71 effect (Dewar & Flierl, 1987) dampens mesoscale eddies (Duhaut & Straub, 2006; Dawe 72 & Thompson, 2006; Eden & Dietze, 2009; Zhai et al., 2012). This current-wind inter-73 action, also known as the effect of "relative wind stress", is believed to dampen mesoscale 74 eddies in many parts of the world's oceans. For example, in modeling and observational 75 studies, the eddy kinetic energy (EKE) can be decreased by 10% in the northwest At-76 lantic Ocean (Zhai & Greatbatch, 2007), 25% in the Arabian Sea (Seo, 2017) and the 77

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Agulhas Current (Renault, McWilliams, & Penven, 2017), 30% in the Gulf Stream (Renault,
Molemaker, Gula, et al., 2016), approximately 50% in the California Current System (Seo
et al., 2016; Renault, Molemaker, McWilliams, et al., 2016), and even by as much as 100%
in the Bay of Bengal (Seo et al., 2019).

The Southern Ocean is not exceptional in respect to the reduction of EKE through 82 eddy-wind interaction. Hutchinson et al. (2010) report the reduction of kinetic energy 83 in the standing and transient eddies by 11% and 18%, respectively, in their eddy-resolving 84 quasi-geostrophic model driven by a zonally symmetric westerly wind. The reduction of 85 the surface EKE by current-wind interaction is approximately 15% in the idealized chan-86 nel model experiments reported by Munday and Zhai (2015). They further show that 87 the degree of reduction is sensitive to the total power input by the wind. Current-wind 88 interaction also increases the transport of the ACC and results in steeper isopycnals (Munday 89 & Zhai, 2015). Moreover, satellite observations show that the impact of ocean currents 90 on wind stress is the greatest in the Southern Ocean (Renault, McWilliams, & Masson, 91 2017). 92

Although such studies advance our understanding of the impact of ocean current 93 on momentum flux in the Southern Ocean, there are many unanswered questions. Firstly, 94 what is the effect of current-wind interaction on vertical motion? In particular, the South-95 ern Ocean is rich in meanders and eddies, where both linear and nonlinear Ekman pump-96 ing contributions are likely to be important. Secondly, can current-wind interaction af-97 fect vertical mixing and the stratification of the upper ocean? When wind energy input 98 is reduced by including relative wind stress, one may anticipate a weakening of vertical aq mixing. However, this effect might be reinforced or offset by changes in stratification in 100 the upper ocean resulting from changes in wind-driven Ekman pumping. Can we parse 101 these effects to arrive at an understanding of the net effect? Furthermore, given that up-102 per ocean stratification and the mixed-layer depth (MLD) exhibit large seasonality (de 103 Boyer Montégut et al., 2004; Dong et al., 2008; Holte & Talley, 2009; Hausmann et al., 104 2017), we should necessarily focus on the seasonality of the response, which has been ab-105 sent in previous studies. 106

In this study, we utilize a high-resolution ocean model in a realistic configuration
 and investigate current-wind interaction near Drake Passage. Our analysis method is straight forward. We compare two simulations, one with and one without current-wind interac-

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tion to quantify the effects. Consistent with previous studies, we document a reduction
in EKE when the full interaction is included, such that it approaches levels observed from
satellite data. The net change of the total Ekman pumping is not significant, but both
linear and nonlinear contributions show compensating modifications. Although the mean
mixed layer depth is not altered, we observe an increase in the stratification of the upper thermocline, especially in austral summer.

Our study is set out as follows. Section 2 contains a detailed description of the experimental design. The model results are evaluated by computing changes in the wind stress and EKE in section 3. We then analyze changes in the vertical velocity, vertical mixing and stratification in section 4. We conclude in section 5 with a discussion of the results.

¹²¹ 2 Experimental Design

The effect of current-wind interaction is investigated using the Massachusetts In-122 stitute of Technology general circulation model (MITgcm) (Marshall, Hill, et al., 1997; 123 Marshall, Adcroft, et al., 1997; A. J. Adcroft et al., 1997; Marshall et al., 1998; A. Ad-124 croft et al., 2004). The study area includes the Drake Passage and its upstream/downstream 125 regions in the Southern Ocean, covering a 140° longitudinal swath. The ACC snakes through 126 the domain that ranges from 75° S to 35° S (Fig. 1(a)). The horizontal resolution is 0.05° 127 or roughly 4 km along the ACC, enabling us to resolve the mesoscale. The model was 128 integrated for five years driven by the surface atmospheric fields from the Interim Eu-129 ropean Centre for Medium-Range Weather Forecasts (ECMWF) Re-Analysis 6 hourly 130 data (Simmons et al., 2007) and monthly-mean lateral boundary condition taken from 131 the Ocean Comprehensive Atlas (Forget, 2010). During the simulation, surface heat and 132 freshwater fluxes are computed from bulk formulae (Large & Yeager, 2004), and the 10 133 m wind, neglecting current-wind interaction. Vertical mixing is calculated using the K-134 profile parameterization (KPP) scheme of Large et al. (1994). KPP first estimates the 135 mixing depth, h, using a Richardson number criterion determined from the surface forc-136 ing, the vertical buoyancy gradient, and the current shear. The eddy diffusivity is then 137 computed using h, a turbulent velocity scale, and a vertical shape function. This sim-138 ulation is referred to as ABS as it uses the absolute wind stress. 139

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Figure 1. Monthly averaged wind stress intensity changes $(\Delta |\tau| = 100 \times (|\tau_{REL}| - |\tau_{ABS}|)/|\tau_{ABS}|)$ in (a) January and (b) July. Black solid lines indicate the position of the ACC based on the sea level height. Sea ice fractions are shown in grayscale. Time series of the monthly averaged wind stress intensity along the ACC (marked by black solid lines in (a,b)) in REL and ABS are shown in orange and gray, respectively, in (c). The shading in (c) represents the 95% confidence interval.

This configuration has its root in the simulation of Tulloch et al. (2014) which was thoroughly compared with observations. The 100 vertical levels of the Tulloch configuration were reduced to 50 in which the top 100 m is represented at 10 m resolution. Despite reduced vertical resolution, the 50-level configuration remains qualitatively similar to observations such as EKE and MLD. This configuration has also been successfully coupled with a biogeochemical model for both online (Song et al., 2015, 2016) and offline simulations (Song et al., 2019).

The effect of current-wind interaction is explored by comparing ABS with a simulation in which the wind relative to the surface ocean current is used in the wind stress calculation as in (1). This simulation, referred to as REL, otherwise has the same configuration as ABS and so differences between ABS and REL can be attributed to the currentwind interaction. Simulating ABS and REL at high-resolution is demanding of comput-

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ing power, and so we only integrate REL for three and a half years. In REL, EKE is rapidly 152 reduced for the first three months, followed by a modest decrease of EKE during the rest 153 of the integration (not shown). Although the simulations do not necessarily achieve a 154 statistically-steady state over the three and half year period, it is sufficient for us to an-155 alyze the effect of current-wind interactions since the magnitude of the difference in EKE 156 between REL and ABS does not continue to increase beyond the first few months of sim-157 ulation. The first six months were regarded as the spin-up period, and the last three years 158 of simulation were the focus of our analysis. 159

¹⁶⁰ 3 The impact of current-wind interaction on upper ocean energy

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3.1 Wind stress changes

The reduction of wind stress can be anticipated in the Southern Ocean where the 162 westerly wind blows over the eastward current. Indeed, the wind stress is reduced in most 163 of the model domain except in limited areas where the current flows in the opposite di-164 rection of the wind (Fig. 1(a,b)). The average reduction is not large (less than 3%) in 165 both austral summer and winter, although there are regions where the reduction can be 166 as large as 10% near to the axis of the ACC. The reduction in the wind stress persists 167 all year round along the ACC, with little sign of a seasonal cycle (Fig. 1(c)). This re-168 sult is consistent with previous studies (Hutchinson et al., 2010; Munday & Zhai, 2015) 169 where the reduction of the wind stress is in the range of 2% to 10%. 170

The reduced eastward wind stress in REL decreases the wind-driven Ekman trans-171 port toward the equator. Since the same boundary conditions are applied to both ABS 172 and REL, instead of using zonal transport, we analyze the impact of the weaker Ekman 173 transport on the conversion of the mean potential energy to mean kinetic energy by com-174 puting $-g/\rho_0 \int \langle \rho \rangle \langle w \rangle dz$. Although the change is only $O(0.1^\circ)$, the latitudes where isopy-175 cnals outcrop in REL are further south than in ABS between 65° S and 45° S (Fig. 2). 176 This is consistent with Ekman transport being weaker in REL. The conversion from mean 177 potential energy to mean kinetic energy is less in REL by approximately 3% in the top 178 350 m over this latitude band. Despite the small differences, reduced energy conversion 179 suggests that there is a lower level of potential energy available for conversion to kinetic 180 energy, as expected because of the weaker Ekman transport in REL. 181

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Figure 2. Shading represents the difference in the zonally averaged (from $155^{\circ}W$ to $60^{\circ}W$) monthly mean Brunt-Vaisälä frequency (N^2) between REL and ABS $(N_{REL}^2 - N_{ABS}^2)$ in (a) January and (b) July. The black solid/dashed lines indicate the mean MLD in ABS and REL. The ΔN^2 and the MLDs in the boxes in (a) and (b) are zoomed in on the inset plots in each panel.

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3.2 Eddy kinetic energy changes

The ACC and Brazil–Malvinas Confluence Zone are known for their high level of the EKE (Wunsch, 2007), and this spatial pattern of EKE is well represented in ABS and REL with no clear seasonal variability (not shown). The difference maps of the EKE between ABS and REL show positive and negative values occurring in proximity along the ACC (Fig. 3(a,b)), probably the result of lateral shifts in mesoscale features. However, EKE is generally lower in REL than in ABL, particularly to the north of the ACC.

Indeed, the spatially averaged EKE is always lower in REL than in ABS, not only at the surface but also over the top 1000 m at all times (Fig. 4). On average, the currentwind interaction reduces the surface EKE by approximately 24%, which is slightly greater than the reduction reported in the Southern Ocean in other studies (Hutchinson et al., 2010; Munday & Zhai, 2015). Although the maximum reduction of the surface EKE oc-



Figure 3. The difference in the monthly mean surface eddy kinetic energy (EKE, $\overline{0.5(u'^2 + v'^2)}$) computed using 5-day mean velocities in (a) January and (b) July. The sea ice fractions in the model simulation are plotted in the grayscale. In (c), the monthly mean values of the energy conversion from the wind work to the EKE along the ACC (marked by black solid lines in Fig. 1) in the ABS and REL simulations are plotted.

curs in April (up to 28%), there is no clear seasonality in the signal. The current-wind interaction alleviates overestimation of EKE in ABS, and the spatially-averaged EKE values in REL compare much better with those obtained using geostrophic current derived from the Ssalto/Duacs gridded sea level anomaly data (Fig. 4(a-c)).

A non-linear least-squares fit shows that the vertical profile of EKE in ABS decays 198 exponentially with depth with a scale of \sim 775 m. In REL, the EKE vertical profile also 199 follows an exponential function but with a decay scale of ~ 850 m, indicating that the 200 EKE in REL decreases less rapidly than in ABS with depth, reflecting the more pronounced 201 surface decrease in EKE in REL. Even though the magnitude of the reduction at depth 202 is much smaller than those at the surface, the percentage reduction of the mean EKE 203 reduction is still reasonably large; at 1106 m the EKE in REL is approximately 14% less 204 than that in ABS. 205

	(a) EKE (cm ² s ⁻²), AVISO		
	104 103 101 95 97 97 93 90 91 100 101 106		
	(b) EKE (cm ² s ⁻²), ABS	(c) EKE (cm ² s ⁻²), REL	(d) EKE (cm² s-²), REL – ABS
5	130133135135129130125125126127128128	103105 98 98 99102 99 96 96 95 95100	-28 -29 -37 -37 -31 -27 -26 -29 -30 -32 -33 -29
15	125128132131125124121121123123124123	99101 95 95 95 97 95 93 94 93 92 95	-27 -28 -36 -36 -30 -27 -26 -28 -29 -31 -32 -28
25	124127130130123122119119121122122121	97100 94 94 94 95 94 92 92 91 91 94	-26 -27 -36 -36 -30 -26 -25 -28 -29 -31 -32 -27
35	123126129129122120118118120121121120	97100 94 93 93 94 93 90 91 90 90 93	-26 -26 -35 -35 -29 -26 -25 -27 -28 -30 -31 -27
45	122125129128121119117116118119120120	97100 94 93 92 93 92 90 90 89 89 93	-25 -25 -35 -35 -29 -26 -25 -27 -28 -30 -31 -27
55	121125128127120118116115117118119119	97101 95 93 92 92 92 89 90 89 89 93	-24 -24 -33 -34 -28 -25 -24 -27 -28 -30 -31 -26
65	120123127126120117115114116118118118	97 101 95 93 92 92 91 88 89 88 88 92	-23 -23 -32 -33 -28 -25 -24 -26 -27 -29 -30 -25
75	119122126125119116114114115117117117	96100 95 94 92 92 91 88 88 87 87 92	-22 -22 -31 -32 -27 -25 -24 -26 -27 -29 -30 -25
85	117121124124118116114113115116116115	96100 94 93 92 92 90 87 88 87 87 91	-22 -21 -30 -31 -26 -24 -23 -25 -27 -29 -29 -25
95	116119123122117115113112114115115114	95 99 94 93 92 91 90 87 87 86 86 90	-21 -21 -29 -30 -25 -23 -23 -25 -26 -29 -29 -24
105	115118121121115114112111113114114113	94 98 93 92 91 91 90 87 87 86 86 89	-21 -20 -28 -29 -24 -23 -22 -25 -26 -28 -29 -24
116	113116119119114112111110112113113112	93 97 92 91 90 90 89 86 86 85 85 88	-21 -20 -28 -28 -24 -22 -21 -24 -26 -28 -28 -23
127	1121141171171121101091091111112112110	92 95 90 89 89 89 88 85 86 84 84 87	-20 -19 -27 -27 -23 -21 -21 -24 -25 -27 -28 -23
	110112115115110109108107109110110108	90 94 89 88 87 88 87 84 85 83 83 86	-20 -19 -26 -27 -22 -21 -20 -23 -25 -27 -27 -22
5 154	108110113112107106105105107108108106	89 92 87 86 86 86 86 83 83 82 81 85	-19 -18 -25 -26 -21 -20 -19 -22 -24 -26 -26 -22
£ 172	105107110109104103103103105106105104	87 90 85 84 84 84 84 82 82 80 80 83	-18 -17 -25 -25 -20 -19 -19 -21 -23 -25 -25 -21
0 1/2 0 105	101104106105100100100100102103102100	84 87 83 81 81 82 82 79 80 78 78 80	-17 -16 -23 -24 -19 -18 -18 -20 -22 -24 -24 -20
		81 84 80 78 78 78 79 77 77 76 75 77	-16 -15 -22 -22 -18 -17 -16 -19 -21 -23 -23 -19
225	97 99 102 100 90 95 90 90 90 98 99 90 90 93 94 96 95 91 90 91 91 94 94 93 92	77 80 76 75 74 75 75 73 74 70 75 74	-10 -13 -22 -22 -10 -17 -10 -19 -21 -23 -23 -19
207	95 94 90 95 91 90 91 91 94 94 95 92 97 90 01 90 95 95 95 96 99 90 99 96		
200	87 83 84 83 70 70 70 80 82 83 82 81		-13 -12 -17 -17 -14 -13 -12 -15 -16 -19 -18 -15
351	02 03 04 03 79 79 79 00 02 03 02 01 76 77 77 77 77 77 77 77 77 77 77		12 11 15 15 12 11 11 12 15 17 17 14
410		64 66 63 62 61 62 62 61 61 66 66 61 E0 61 E0 E7 E7 E7 E0 E6 E7 E6 EF E6	
4//		59 01 58 57 57 57 57 50 57 50 55 50	
553		54 56 53 52 52 52 53 52 52 51 50 52	-9 -8 -12 -12 -10 -9 -8 -10 -12 -14 -13 -11
635	58 58 59 58 50 55 55 50 58 58 58 50	49 51 49 47 47 47 48 47 47 46 46 47	-8 -7 -11 -11 -8 -8 -7 -9 -10 -12 -12 -10
122	51 52 53 52 50 49 49 50 52 52 51 50	44 46 44 43 43 43 43 43 42 42 41 41 42	-/ -6 -9 -9 -/ -/ -/ -8 -9 -11 -10 -9
814	46 46 47 46 44 44 44 44 46 46 45 45	40 41 39 38 38 38 38 37 38 37 36 37	-6 -5 -8 -8 -6 -6 -6 -7 -8 -9 -9 -7
910	41 41 42 41 39 39 39 39 40 41 40 39	35 37 35 34 34 34 34 33 33 33 32 33	-5 -5 -/ -/ -5 -5 -5 -6 -/ -8 -8 -6
1007	36 37 37 36 35 34 34 35 36 36 35 35	32 33 31 30 30 30 30 29 30 29 28 29	-5 -4 -6 -5 -4 -4 -5 -6 -7 -7 -6
1106	32 33 33 32 31 31 30 31 32 32 31 31	28 30 28 2/ 2/ 2/ 27 26 27 26 25 26	-4 -3 -5 -5 -4 -4 -3 -4 -5 -6 -6 -5
	1 2 3 4 5 6 7 8 9 10 11 12	1 2 3 4 5 6 7 8 9 10 11 12	1 2 3 4 5 6 7 8 9 10 11 12
	Month	Month	Month

Figure 4. (a) The monthly mean EKE at the surface averaged over the model domain calculated using AVISO product. The monthly mean EKE values as a function of month and depth in ABS and REL are shown in (b) and (c), respectively. (d) is the difference in the monthly mean EKE between ABS and REL.

The reduction of EKE in REL suggests that the energy conversion from its sources 206 is decreased. Three major conversion processes are wind work, barotropic instability and 207 baroclinic instability (Marchesiello et al., 2003; Seo et al., 2016; Zhan et al., 2016). We 208 find that the reduction in EKE in REL originates primarily from reduced levels of wind 209 work $\left(\left(\overline{u'\tau'_x} + \overline{v'\tau'_y}\right)/\rho_0\right)$ (Fig. 3(c)). There are smaller differences in barotropic and baro-210 clinic contributions to the EKE (not shown), consistent with previous studies. Changes 211 in energy input from the wind are identified as the main cause of reduced EKE in pre-212 vious studies (Seo et al., 2016; Oerder et al., 2018), suggesting a robustness in the im-213 pact of current-wind interaction on the energetic analysis. Reduction of the wind work 214 is similar in each month (Fig. 3c). The annual mean reduction of the wind work is more 215 than 40%, which is greater than that reported in the earlier work in the Southern Ocean 216 (Hutchinson et al., 2010). 217

4 Impact on vertical processes

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4.1 Wind-driven vertical velocity

The current-wind interaction alters not only the wind stress but also its curl, and so we anticipate modifications to the Ekman Pumping rates, w_{curl} . When relative vorticity is not negligible, as in our simulation where the Rossby number (Ro) O(0.1), there is a nonlinear contribution (w_{ζ}) to the Ekman pumping velocity (Stern, 1965; Niiler, 1969; McGillicuddy et al., 2008; Wenegrat & Thomas, 2017). In this case the Ekman pumping velocity (w_{tot}) is given by:

$$w_{tot} \approx w_{curl} + w_{\zeta}$$
 (2)

$$= \frac{\nabla \times \boldsymbol{\tau}}{\rho_0 \left(f + \zeta\right)} + \frac{\boldsymbol{\tau} \times \nabla \zeta}{\rho_0 \left(f + \zeta\right)^2},\tag{3}$$

$$= \frac{\nabla \times \boldsymbol{\tau}}{\rho_0 \left(f + \zeta\right)} + \frac{1}{\rho_0 \left(f + \zeta\right)^2} \left(\tau_x \frac{\partial \zeta}{\partial y} - \tau_y \frac{\partial \zeta}{\partial x}\right),\tag{4}$$

where f is the Coriolis parameter and $\zeta = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}$ is the geostrophic vorticity. According to Eq. (3), in the Southern Hemisphere where f < 0, a negative wind stress curl $(\nabla \times \tau)$ and a positive $\tau \times \nabla \zeta$ result in a positive w_{tot} , i.e. upwelling.

The vertical velocity driven by wind stress curl is increased through current-wind 229 interaction. The annual mean w_{curl} averaged over the model domain in REL is close to 230 0.01 m day^{-1} while it is only 0.001 m day^{-1} in ABS (Fig. 5(a)). Monthly-average w_{curl} 231 values are always greater in REL than ABS, suggesting that there is a net upward mo-232 tion induced by the interaction between the current and wind. On the other hand, the 233 net effect of the current-wind interaction on w_{ζ} is downwelling (Fig. 5(b)). It moves the 234 curve downward and the positive annual mean w_{ζ} in ABS becomes negative in REL. In-235 terestingly, the net effect of current-wind interaction on w_{ζ} is comparable to that in w_{curl} 236 but in the opposite direction. As a result, the change in total Ekman velocity is close 237 to zero (not shown). 238

The changes in w_{curl} and w_{ζ} induced by current-wind interaction arise in different ways which can be understood by considering the idealized meander (Fig. 6) in which the flow is fastest at the core. The wind stress decreases near the core of the meander where the strength of the wind relative to the ocean current is at its minimum (Fig. 6(a)). As a result, the wind stress curl is negative to the north of the core and positive to the south. The resulting vertical velocities in the Southern Hemisphere (f < 0) are upward and downward to the north and south of the core, respectively. The strength of these



Figure 5. The monthly mean values of (a) wind-driven vertical velocity by wind stress curl (w_{curl}) and (b) lateral gradient of vorticity (w_{ζ}) along the ACC (marked by black solid lines in Fig. 1) in the ABS and REL simulations.

vertical velocities is further modified by the absolute vorticity $(f+\zeta)$ according to (4) 246 because the shear of the flow in the meander creates nonzero ζ . To the north of the core, 247 $\zeta > 0$ and the upwelling becomes stronger. To the south of the core, in contrast, neg-248 ative ζ increases the size of the absolute vorticity and weakens the downward motion. 249 Since the size of ζ is smaller than f (Ro ~ O(0.1)), $f + \zeta$ in the denominator has the 250 same sign as f and so the sign of the curl-induced vertical velocity is not changed. There-251 fore, the net vertical motion is upward in w_{curl} when the current-wind interaction is in-252 cluded, thus accounting for Fig. 5(a). 253

²⁵⁴ When $\nabla \zeta$ is non-negligible, the horizontal shear of the flow can drive vertical mo-²⁵⁵tion (w_{ζ}) even in the absence of a wind stress curl. In both Northern and Southern Hemi-²⁵⁶spheres, the upward motion occurs along with the core of the meander while the periph-²⁵⁷ery is characterized by the downward motion as shown in Wenegrat and Thomas (2017) ²⁵⁸and sketched in Fig. 6(b). As above, w_{ζ} is modified by the absolute vorticity which has ²⁵⁹the opposite sign on each side of the core. Since w_{ζ} is inversely proportional to the square



Figure 6. A diagram that visualizes the wind-driven vertical velocity estimated by (3-4) along an idealized meander enveloped by two outer black solid lines under a uniform zonal wind. The black solid line at the center represents the core axis of the meander as the current speed is shown by dashed line. The interaction between the current and wind reduces τ_x (white arrows) along the main axis of the meander. Red and blue shadings are upwelling and downwelling, respectively.

of the absolute vorticity, the impact of ζ is greater on w_{ζ} than on w_{curl} . But the systematic changes of w_{ζ} arise from the fact that the wind stress is at a minimum at the core of the meander. As explained above, the wind stress is weakest at the core of the jet where $w_{\zeta} > 0$, and so one can expect weakened upwelling following (4). Although the downward motion in REL is also weakened relative to ABS due to overall reduced wind stress, $(u_a - u_o)$ is smaller than that at the core, resulting in a net negative w_{ζ} .

If we assume that changes in w_{curl} and w_{ζ} due current-wind interaction are less than 100%, then scale analysis allows us to estimate expected wind-driven velocity changes. Using (1), the size of the wind stress curl can be related to the spatial changes in the current. For example, in the idealized meander system depicted in Fig. 6 with $\tau_y = 0$ and at the crest or trough where $v_o = 0$, the scale of the wind stress curl is

$$\left|\frac{\partial \tau_x}{\partial y}\right| \sim \rho_a C_D \frac{2u_a U_o}{L},\tag{5}$$

where u_a is the zonal wind, L is the spatial scale associated with the meander, and U_o

measures the strength of the ocean current. The numerator of the second term in (4) can

²⁷³ be written as

$$\left|\tau_x \frac{\partial \zeta}{\partial y}\right| \sim \rho_a C_D (u_a - u_o)^2 \frac{U_o}{L^2}.$$
(6)

Then, comparing the scales of the first and the second terms in (4), one can see that

$$\left|\frac{w_{curl}}{w_{\zeta}}\right| = 2u_a \frac{(f+\zeta)L}{(u_a-u_o)^2}.$$
(7)

If we assume that $(u_a - u_o) \sim u_a$, and $(f + \zeta) \sim f$, (7) approaches 1 for $f = 10^{-4}$ s⁻¹, L = 50 km and $u_a = 10$ m s⁻¹, indicating that the changes in w_{curl} and w_{ζ} are similar.

The real Southern Ocean differs from our idealized system since asymmetric crests 278 and troughs and well-developed eddies populate the ACC. Furthermore, the surface wind 279 is not constant in time and space, adding yet more complexity. Sea surface temperature 280 also varies spatially, especially near fronts, eddies and meanders, affecting the wind in 281 the planetary boundary layer through the changes in the vertical turbulent mixing (Chelton 282 et al., 2004; Seo et al., 2007; Byrne et al., 2015, 2016), increasing the complexity of the 283 real system. Nevertheless, the changes in w_{curl} and w_{ζ} observed in Fig. 5 are consistent 284 with the insights obtained from consideration of our idealized meander. 285

286

4.2 Vertical Mixing

The impact of current-wind interaction on near-surface vertical mixing is also of 287 interest. Observations reveal large seasonality in the MLD, ranging from less than 100 288 m in austral summer to more than 500 m in austral winter in the study area (Dong et 289 al., 2008). Our simulations, both ABS and REL, capture the large seasonal and spatial 290 variability exhibited by MLD, as well as its modulation by mesoscale eddies - see Haus-291 mann et al (2017). For example, summertime MLD in REL is generally shallower than 292 50 m except to the north of the ACC where the MLD reaches 70 m or so (Fig. 7(a)). In 293 winter, the MLD is generally deeper near the northern boundary of the ACC, particu-294 larly in the Pacific sector, exceeding 500 m in REL (Fig. 7(b)), which is consistent with 295 observations. 296

The impact of the current-wind interaction on the local MLD can exceed 100 m, but it does not induce a coherent large-scale pattern of change in MLD. Differences are



Figure 7. Monthly averaged mixed layer depth (MLD) in REL for (a) January and (b) July. The MLD differences between REL and ABS for those months are shown in (c) and (d), respectively. (e) shows the monthly mean MLD along the ACC in REL (orange) and ABS (gray).

shown in Fig. 7(c,d) and exhibit both positive and negative values on spatial scales sim-299 ilar to the mesoscale (O(10-100km)). Although the patchiness in the differences may 300 be due to the relatively short integration of the model, it suggests that MLD changes 301 are mainly due to the shift of the meander and eddy locations in both seasons. For ex-302 ample, the MLD anomalies associated with mesoscale eddies in this region average a few 303 tens of meters in winter (Song et al., 2015; Hausmann et al., 2017). If REL and ABS have 304 mesoscale eddies with opposite polarity at the same location, MLD differences between 305 them can easily exceed 100 m (Fig. 7(d)). In summer, observed MLD anomalies asso-306 ciated with mesoscale eddies are less than 10 m (Hausmann et al., 2017), comparable to 307 the MLD differences between the two simulations (Fig. 7(c)). These positive and neg-308

ative MLD anomalies are generally canceled out in the spatial average so that the monthlymean MLD curves are very similar between the two simulations. A careful examination, however, reveals that MLD in REL is a few meters shallower than ABS (Fig. 7(e)).

The KPP vertical mixing scheme used in our simulations determines the mixing 312 depth based on a Richardson number defined by the ratio between the Brunt-Vaisälä fre-313 quency (N^2) and the vertical shear of the flow. Thus small differences in MLD suggest 314 that the Richardson numbers are rather similar in ABS and REL. To quantify, we first 315 compute the rotary power spectral density of the vertical shear of the ocean currents at 316 105 m in both the ABS and REL runs in September (Fig. 8). Two frequency bands show 317 elevated power in both ABS and REL: one near zero associated with the geostrophic com-318 ponent, the other near the inertial frequency (f) in the positive frequency domain (Fig. 319 8(a,b)). The elevated power near the frequency of the local f (dotted line in Fig. 8) in-320 dicates that both simulations capture the near-inertial waves that are important in ver-321 tical mixing. In the Southern Hemisphere, near-inertial waves drive counterclockwise ro-322 tation with near-inertial frequency creating vertical shear and enhancing vertical mix-323 ing (Alford et al., 2016). Hence the ability to resolve near-inertial waves has a big im-324 pact on MLD in our numerical simulations (Jochum et al., 2013; Song et al., 2019). Al-325 though a careful examination shows slightly less power in REL (Fig. 8(c)), both simu-326 lations clearly resolve near-inertial waves, indicating that the current-wind interaction 327 does not significantly alter the generation of near-inertial waves. Although stratification 328 changes are not significant within the mixed layer, there is a systematic increase of N^2 329 at the base of the mixed layer, as described below. 330

331

4.3 Stratification

Current-wind interaction causes change in the upper ocean stratification with in-332 creased N^2 at the bottom of the mixed layer (Fig. 2). This pattern is more pronounced 333 in the summer, when N^2 in ABS is roughly 10% higher than N^2 in REL (Fig. 2(a)). This 334 increase of N^2 is likely a result of weakening of wind-driven Ekman transport. Ekman 335 transport is weaker in REL because the wind stress is weaker (Fig. 1). As a result, the 336 outcropping positions of the isopycnals in REL are further south than those in ABS. At 337 the depth where the direct impact of the wind stress becomes small, isopycnals in REL 338 and ABS converge. Hence the slopes of isopycnals in REL are more gradual than in ABS 339 when connecting the isopycnals at the surface to depth. 340

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Rotary power spectral density (PSD) of du/dz at 105 m, September

Figure 8. Rotary power spectral density of the ocean current vertical shear at 105 m in September as a function of frequency and latitude for (a) ABS and (b) REL. The rotary power spectral analysis reveals motions rotating in both counterclockwise (positive frequencies) and clockwise (negative frequencies). (c) is the difference between (a) and (b). The color represents the intensity. The dotted lines indicate the inertial frequency at each latitude.

One might anticipate a decrease in MLD in REL based on the enhanced stratification, especially in austral summer. Although a closer inspection indicates that the MLD (black thick lines in Fig. 2) is slightly shallower in REL, it generally remains unchanged as the increase of N^2 occurs largely below the mixed layer.

In winter when vertical mixing homogenizes the surface layer, the background N^2 345 is already small and isopycnals are almost vertical near the surface. Although the isopy-346 cnals are shifted poleward near the surface in REL due to the weaker wind stress, the 347 interaction between the current and wind does not alter stratification as much as in sum-348 mer, perhaps because of the absence of a well-developed thermocline. This is particu-349 larly true near the ACC, showing no changes in N^2 (Fig. 2(b)). However, the tendency 350 for an N^2 increase near the bottom of the mixed layer remains, suggesting that the current-351 wind interaction tends to increase the stratification at the base of the mixed layer. 352

5 Discussion and Conclusion

Ocean currents are often neglected in the calculation of wind stress because typ-354 ically surface current speeds are one order of magnitude smaller than the 10 m wind. How-355 ever, previous studies have shown that the presence of ocean currents can have a non-356 negligible effect on wind stress and the ocean's EKE. In the Southern Ocean, numeri-357 cal studies with idealized models report that current-wind interaction reduces the EKE 358 by up to 18% (Hutchinson et al., 2010; Munday & Zhai, 2015). Indeed satellite obser-359 vations suggest that the impact of current-wind interaction is especially large in the South-360 ern Ocean (Renault, McWilliams, & Masson, 2017). Here, we have extended the inves-361 tigation of current-wind interaction to explore its impact on vertical processes such as 362 wind-driven vertical velocity and vertical mixing using a 0.05° resolution model encom-363 passing the Drake passage. 364

Our experiment shows a 24% reduction in surface EKE using the relative wind stress. As a result, the EKE bias in the simulations with absolute wind is alleviated. The size of the EKE reduction is somewhat larger than reported in previous idealised studies, possibly due to the increased resolution employed here. The EKE reduction is not limited to the surface but extends to depths of 1000 m or more where EKE is reduced by 13%. The effect also persists throughout the year. An energy budget analysis shows that the reduced EKE is a consequence of a weaker wind work.

The wind-induced vertical velocity is also modified by the interaction. By consid-372 eration of an idealized meandering jet system in the southern hemisphere (f < 0), one 373 can anticipate that positive relative vorticity in a meander crest will amplify upward mo-374 tion, while negative relative vorticity in a meander trough will weaken downward mo-375 tion. The overall contribution from the wind stress curl created by the current-wind in-376 teraction is therefore one of upwelling. On the other hand, nonlinear contributions to 377 the wind-driven vertical velocity (w_{ζ}) induce a net downward motion due to the inter-378 action. Near the core of the jet where $\nabla \zeta$ is positive, upwelling occurs which contrasts 379 with downwelling outside of the jet. If the ocean current is taken in to account in the 380 wind stress calculation, the wind stress over the core of the jet becomes weaker than out-381 side, leading to a net negative change in w_{ζ} . Interestingly, the changes in w_{curl} and w_{ζ} 382 compensate each other, such that the net changes in the wind-driven vertical velocity 383 are rather small in our simulation. 384

One may anticipate a shoaling of the MLD in REL since both the momentum trans-385 fer from the atmosphere and EKE are reduced. In our experiment, however, the MLD 386 shows only modest changes in the spatial mean. The magnitude of MLD anomalies are 387 considerable in some locations, but this is the result of the shift of locations of mean-388 ders and eddies. Further analysis shows that there are no significant changes in the ver-389 tical shear of the flow and stratification within the mixed layer, leading to little change 390 in the Richardson number. Interestingly, the rotary power spectral density functions of 391 du/dz shows little difference between ABS and REL, which suggests that the near-inertial 392 waves have similar amplitude in both cases and hence there is no modulation of shear-393 induced mixing. In the K-profile parameterization used in our simulations, the mixing 394 depth is determined by the Richardson number, which may explain why there are rather 395 small net MLD changes caused by current-wind interaction. It is possible that vertical 396 mixing schemes based on turbulent kinetic energy budgets may induce a shoaling of the 397 MLD. 398

Another factor that may lead to insensitivity of the MLD is the influence of the 399 upstream open boundary condition. In our study area, the eastward flow constantly en-400 ters from the western boundary (160° W), feeding the same water mass to the interior 401 of ABS and REL. This eastward flow includes the ACC that can be up to 50 cm s⁻¹. 402 It then takes roughly only 180 days to exit downstream (20° W). Hence, even if the current-403 wind interaction were to modify the MLD, inflow from the upstream boundary contin-404 uously resets the water properties in ABS and REL to the values at the western bound-405 ary condition, possibly leading to smaller MLD changes. 406

Below the mixed layer there is a clear increase in stratification due to current-wind 407 interaction. In summer, there is a roughly 10% increase of the Brunt-Vaisälä frequency 408 (N^2) in REL over a few tens of meters. Although this tendency is attenuated in winter, 409 it remains in the thermocline. The current-wind interaction reduces overall eastward wind 410 stress to the ocean, leading to a weaker equatorward Ekman transport. Isopycnals thus 411 outcrop further south in REL while their latitudinal position below the Ekman layer is 412 barely changed. As a result, the slopes of isopycnals in REL are more gradual than those 413 in ABS, yielding enhanced stratification below the mixed layer. 414

This result may appear to contradict the conclusion of Munday and Zhai (2015) in which the isopycnals become steeper when the wind stress is calculated using both

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⁴¹⁷ 10 m wind and ocean current (relative wind stress) in an idealized channel model. In their ⁴¹⁸ analysis, however, the simulation is compared with an absolute wind stress reference in ⁴¹⁹ which the wind stress is calculated using a 10 m wind adjusted to have the same mean ⁴²⁰ value as the relative wind stress simulation. This ensures that the Ekman transport is ⁴²¹ unchanged. In contrast, our study allows the wind stress to deviate. Another possible ⁴²² explanation is that we report the response to changes over a timescale of a few years, ⁴²³ whilst their study documented the multi-decadal change.

Future work will address the biogeochemical implications of our findings, as bio-424 logical and chemical processes can be sensitive to subtle changes in ocean physics due 425 to their intrinsic nonlinearity. Specifically, changes in stratification and upwelling rates 426 can modulate biogeochemical processes in the Southern Ocean due to lack of iron and 427 light available for the primary production (Boyd et al., 1999, 2000; Venables & Moore, 428 2010). In addition to supply of iron through dust deposition, sediment, and sea-ice melt, 429 vertical mixing is an important process since it entrains iron-rich subsurface waters (Boyd 430 & Ellwood, 2010; Tagliabue et al., 2014). In summer when light is abundant, satellite 431 observations and eddy-rich biogeochemical simulations show a positive correlation be-432 tween anomalies of sea level and chlorophyll, suggesting that anomalously deep vertical 433 mixing increases the iron supply and primary production (Song et al., 2018). Hence in-434 creased stratification in the thermocline induced by current-wind interaction may make 435 entrainment of iron-rich water more sporadic thus suppressing primary productivity. 436

Current-wind interaction can also influence air-sea carbon dioxide (CO_2) exchange. 437 Dissolved inorganic carbon (DIC), whose concentration increases poleward in the South-438 ern Ocean, may have lower surface concentrations under weaker Ekman transport with 439 the relative wind stress in both summer and winter. This could cause reduction in the 440 partial pressure of CO_2 (pCO_2), leading to more CO_2 uptake in summer and less out-441 gassing in winter. On the other hand, there may be a decrease in the biological draw-442 down of CO_2 in summer as discussed above, which would counterbalance the changes 443 in the Ekman transport. Hence it is necessary to thoroughly investigate the impact of 444 the current-wind interaction on the carbon cycle in the Southern Ocean. 445

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⁴⁴⁷ The MITgcm can be obtained from http://mitgcm.org. The geostrophic current prod-

448 uct derived from the sea level anomaly can be downloaded in the Copernicus Marine and

Environment Monitoring Service of Ssalto/Duacs gridded "allsat" series and along-track
Sea Level Anomalies, Absolute Dynamic Topographies and Geostrophic velocities over
the Global Ocean, Mediterranean Sea, Black Sea, European Seas and Acrtic Ocean areas, in Delayed-Time and in Near-Real-Time .

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